Edgar Filing: ESTEE LAUDER COMPANIES INC - Form 4

ESTEE LAUDER COMPANIES INC

Form 4

December 10, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

() Check this box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person

Rose, Marshall

667 Madison Avenue

23rd Floor

New York, NY 10021

- Issuer Name and Ticker or Trading Symbol The Estee Lauder Companies Inc.
- 3. IRS or Social Security Number of Reporting Person (Voluntary)
- 4. Statement for Month/Year 11/30/2001
- 5. If Amendment, Date of Original (Month/Year)
- 6. Relationship of Reporting Person(s) to Issuer (Check all applicable) (X) Director () 10% Owner () Officer (give title below) () Other (specify below)
- 7. Individual or Joint/Group Filing (Check Applicable Line)
 - (X) Form filed by One Reporting Person
 - () Form filed by More than One Reporting Person

Table I Non-De	erivative Securities	Acquired, Dispose	d of, or	Beneficially Owned

1	10 10 14	C ' 7 ' 1 (7)		7
1. Title of Security	2. 3. 4.	.Securities Acquired (A)	5	.Amount of
	Transaction	or Disposed of (D)		Securities
	I I I			Beneficially
		A/		Owned at
	Date Code V	Amount D	Price	End of Month

Table II -- Derivative Securitites Acquired, Disposed of, or Beneficially Owned

1.Title of Derivative	2.Con-	3.	4.	5.Numbe	r of De	6.Date Exer	7. Title and Amount	8.F
Security	version	Transa	ction	rivati	ve Secu	cisable and	of Underlying	of
	or Exer			rities	Acqui	Expiration	Securities	vat
	cise			red(A)	or Dis	Date(Month/		Sec
	Price of			posed	of(D)	Day/Year)		rit
	Deriva-					Date Expir		1
	tive				A	/ Exer- ation	Title and Number	1
	Secu-				D	cisa- Date	of Shares	1
	rity	Date	Code V	Amoun	t I	ble		1

	(Cash Payo 1 f						Class A Comm 447.43 on Stock	
Stock Units	(Cash Payo 1 f	for 1 09/13 A	(1 V 39.16	A	 (2)	(2)	Class A Comm 39.16	\$38

Edgar Filing: ESTEE LAUDER COMPANIES INC - Form 4

ut)	I	/2001)					on Stock		
Stock Units (ut)	Cash Payo 1 fo	1 09/28 A /2001)		A 	(2) 	(2)	Class A Comm	n 45.25 	\$33
Stock Units (Cash Payo 1 fo:	1 10/02 A /2001)	(3 V 5.31 	A 	(2) 	(2)	Class A Comm	n 5.31 	\$32
Stock Units (ut)	Cash Payo 1 fo	1 10/31 A /2001)	(1 V 558.14 	A 	(2) 	(2)	Class A Comm	n 558.14 	\$32
Stock Units (out)	Share Pay 1 fo	1 10/31 A /2001)	(4 V 746.36	A 	(2) 	(2)	Class A Comm	n 746.36 	\$33 5
Option (Right	to Buy) \$32.	25 10/31 A /2001) 	(5 V 5,000 	A 			1 Class A Comm 0 on Stock 	n 5,000 	
					ı				ı

Explanation of Responses:

- 1. Reflects grant of stock units in lieu of cash for quarterly portion of annual retainer and/or meeting fees.
- 2. The stock units will be paid out in cash as of the first January 1 (or first business day thereafter) after the last date of the Reporting Person's service as a director of the Issuer.
- 3. Represents reinvestment of dividend equivalents on outstanding Stock Units.
- 4. Granted pursuant to the Issuer's Non-Employee Director Share Incentive Plan. Price per unit is based on the average closing price of the Issuer's Class A Common Stock for the twenty days preceding the date of grant.
- 5. Granted pursuant to the Issuer's Non-Employee Director Share Incentive Plan. SIGNATURE OF REPORTING PERSON

Marshall Rose

DATE

12/04/2001