

TRANS LUX CORP
Form 3/A
August 16, 2013

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *			2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol	
Â ELSER MARCO M			(Month/Day/Year)	TRANS LUX CORP [TNLX]	
(Last)	(First)	(Middle)	05/25/2012	4. Relationship of Reporting Person(s) to Issuer	
VIA SISTINA 121				(Check all applicable)	
(Street)				5. If Amendment, Date Original Filed(Month/Day/Year)	
ROME,Â L6Â 00187				08/07/2012	
(City)	(State)	(Zip)		6. Individual or Joint/Group Filing(Check Applicable Line)	
				<input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person	

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	42,500	I	Manager of the account. ⁽¹⁾
Common Stock	62,500	I	Manager of the account. ⁽²⁾
Common Stock	60,000	I	Manager of the account and owns 50% of the equity. ⁽³⁾
Series A Convertible Preferred Stock	5,000	I	Manager of the account. ⁽¹⁾
Series A Convertible Preferred Stock	4,000	I	Manager of the account. ⁽²⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: TRANS LUX CORP - Form 3/A

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
A Warrants ⁽⁴⁾	11/14/2011	09/13/2013	Common Stock	90,000	\$ 0.2	I	Manager of the account. <u>(1)</u> <u>(2)</u>
B Warrants	Â ⁽⁵⁾	11/14/2014	Common Stock	90,000	\$ 0.5	I	Manager of the account. <u>(1)</u> <u>(2)</u>

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ELSER MARCO M VIA SISTINA 121 ROME,Â L6Â 00187	Â X	Â	Â	Â

Signatures

/s/ Marco Elser 08/16/2013
 **Signature of Date
 Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Owned by Carlisle Investments, of which I exercise voting and dispositive rights as the account manager, but disclaim any beneficial ownership.
- (2) Owned by Elser & Co., of which I exercise voting and dispositive rights as the account manager, but disclaim any beneficial ownership.
- (3) Owned by AdvCorp plc, of which I exercise voting and dispositive rights as the account manager, and own 50% of the equity of AdvCorp plc.
- (4) Each A Warrant entitles the holder to purchase one share of Common Stock and one B Warrant, at an exercise price of \$0.20 per share.
- (5) The B Warrants are exercisable upon the holder's exercise of the A Warrants pursuant to which the B Warrants are issued, and are exercisable for three years from November 14, 2011, the date of the original offering.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.