

Edgar Filing: BORRELLI CARL R - Form 5

BORRELLI CARL R
Form 5
February 14, 2003

OMB APPROVAL

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM 5

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(h) of the Investment Company Act of 1940

☐ Check box if no longer subject to Section 16. Form 4 or Form 5 obligations
may continue. See Instruction 1(b).

☐ Form 3 Holdings Reported

☐ Form 4 Transactions Reported

1. Name and Address of Reporting Person*

Borrelli	Carl	R.
(Last)	(First)	(Middle)

880 Baldwin Road

(Street)

Woodbridge	CT	06525
(City)	(State)	(Zip)

2. Issuer Name and Ticker or Trading Symbol

Southern Connecticut Bancorp, Inc. (SCNO)

3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary)

045-28-3866

4. Statement for Month/Year

January 2003

5. If Amendment, Date of Original (Month/Year)

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6. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

7. Individual or Joint/Group Filing
(check applicable line)

☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,
or Beneficially Owned

1. Title of Security (Instr. 3)	2. Trans- action Date (mm/dd/yy)	2A. Deemed Execution Date, if any (mm/dd/yy)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		
				Amount	(A) or (D)	Price
Borrelli, Carl R.	August 2001			19,129		
Borrelli, Dorothy	August 2001		(Wife)	5,167		
Borrelli, Steven	August 2001		(Son) P	4,711	A	
Borrelli, Paul	August 2001		(Son) P	2,000	A	
Borrelli, Donna	August 2001		(Daughter)P	100	A	
Borrelli, Steven M.	August 2001		(Grand-son)P	100	A	

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* If the form is filed by more than one reporting person, see instruction 4 (b) (v) .

FORM 5 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

[illegible]

Explanation of Responses:

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/s/ Carl R. Borrelli

January 10, 2003

**Signature of Reporting Person

Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.
If space provided is insufficient, see Instruction 6 for procedure.