Edgar Filing: CARNIVAL CORP - Form 4

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Form 4	LCORP										
October 19,	2009										
FORM	Λ4								OMB AF	PPROVAL	
	UNITEI) STATES			AND EX 1, D.C. 2(ANGE CO	OMMISSION	OMB Number:	3235-0287	
Check this box if no longer subject to Section 16. Form 4 or			OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES						Expires: Estimated a burden hou response	rs per	
Form 5 obligatio may con <i>See</i> Instr 1(b).	tinue. Section 17	7(a) of the	Public U	Jtility Ho	lding Coi	npan	•	Act of 1934, 1935 or Section)		0.0	
(Print or Type	Responses)										
1. Name and Address of Reporting Person <u>*</u> MBA I, L.P.			2. Issuer Name and Ticker or Trading Symbol CARNIVAL CORP [CCL]				8	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
	(First) VID LEY N, 1201 NORTI STREET, 18TH			Day/Year)	Fransaction			Director Officer (give t below)	X 10% itleX 0th below) e Remarks	6 Owner	
				4. If Amendment, Date Original Filed(Month/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
	10N, DE 19801	L						Person			
(City)	(State)	(Zip)	Tab	ole I - Non-	Derivative	Secu	rities Acqu	ired, Disposed of,	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)		3.4. Securities Acquired (ATransactionor Disposed of (D)Code(Instr. 3, 4 and 5)(Instr. 8)			(D)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
				Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)		
Common Stock	10/15/2009			S	13,117 (1)	D	\$ 33.3639 (2)	900,000	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Tit	le and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onNumber	Expiration D	ate	Amo	unt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Unde	rlying	Security	Secu
(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Derivativ Securities Acquired	5		Secur (Instr	rities : 3 and 4)	(Instr. 5)	Bene Owne Follo
					(A) or Disposed						Repo Trans
					of (D)						(Instr
					(Instr. 3,						(IIISu
					(insu: 5, 4, and 5)						
					4, and <i>5)</i>						
									Amount		
						Date	Expiration		or		
						Exercisable	Date	Title			
						Exclusione	Dute		of		
				Code V	(A) (D)				Shares		
Repor	rting O	wners									

Relationships

Reporting Owner Name / Address	Director	10% Owner	Officer	Other
MBA I, L.P. ATTN: DAVID LEY HAMILTON 1201 NORTH MARKET STREET, 18TH FLOOR WILMINGTON, DE 19801		Х		See Remarks
Signatures				

/s/ John J. O'Neil, Vice President of MDT I, Inc., as General Partner of MBA I, L.P.	10/19/2009
**Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares were sold pursuant to a Rule 10b-5-1(c) sales plan dated August 12, 2009.

This transaction was executed in multiple trades at prices ranging from \$33.2400 to \$33.4600. The price reported above reflects the weighted average purchase price on the dates indicated. The reporting person ehreby undertakes to provide upon request to the SEC staff,

(2) the issuer or a security holder of hte issuer full information regarding teh number of shares and prices at whch the transactions werer effected.

Remarks:

The reporting person may be deemed a member of a Section 13(d) group that owns more than 10% of the Common Stock of C Corporation. However, the reporting person disclaims such group membership, and this report shall not be deemed an admiss that the reporting person is a member of a Section 13(d) group that owns more than 10% of the Common Stock of Carnival Corporation for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.