### Edgar Filing: EMERSON ELECTRIC CO - Form 3

#### EMERSON ELECTRIC CO

Form 3

October 14, 2016

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

SECURITIES

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement EMERSON ELECTRIC CO [EMR] Bulanda Mark J (Month/Day/Year) 10/04/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 8000 W. FLORISSANT AVE. (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person ST. LOUIS, MOÂ 63136 (give title below) (specify below) Form filed by More than One VP-Acquisitions & Development Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 91,901 Common Stock 169,682 I 401(k) plan Common Stock 467.243 Ι 401(k) excess plan Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

currently valid OMB control number.

1. Title of Derivative	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
Security	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial
(Instr. 4)	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership

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			(Instr. 4)		Price of	Derivative	(Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Buy)	10/01/2008(1)	10/01/2017	Common Stock	6,000	\$ 53.835	D	Â
Employee Stock Option (Right to Buy)	10/04/2011(1)	10/04/2020	Common Stock	12,000	\$ 53.31	D	Â
Employee Stock Option (Right to Buy)	06/05/2013(1)	06/05/2022	Common Stock	10,000	\$ 44.81	D	Â
Employee Stock Option (Right to Buy)	10/01/2014(1)	10/01/2023	Common Stock	40,000	\$ 65.07	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Bulanda Mark J 8000 W. FLORISSANT AVE. ST. LOUIS Â MOÂ 63136	Â	Â	VP-Acquisitions & Development	Â	

## **Signatures**

/s/ John G. Shively, Attorney-in-fact for Mark J. Bulanda

10/14/2016

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options become exercisable in three equal installments beginning on the date indicated.

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#### **Remarks:**

Exhibit List - Exhibit 24 - Power of Attorney for Mark J. Bulanda

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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