

Edgar Filing: SYNERGY TECHNOLOGIES CORP - Form 4

SYNERGY TECHNOLOGIES CORP

Form 4

March 08, 2002

FORM 4

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

| OMB APPROVAL | |
|--------------------------|---------------|
| OMB Number: | 3235-0287 |
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UNITED STATES SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*

| | | |
|--------|---------|----------|
| COOLEY | THOMAS | E. |
| (Last) | (First) | (Middle) |

5215 Spanish Oak

(Street)

| | | |
|---------|---------|-------|
| Houston | Texas | 77066 |
| (City) | (State) | (Zip) |

2. Issuer Name AND Ticker or Trading Symbol

SYNERGY TECHNOLOGIES CORPORATION ("OILS")

3. I.R.S. Identification Number of Reporting Person, If an entity (Voluntary)

SSN # 459-66-8312

4. Statement for Month/Year

January 2002

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer

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(Check all Applicable)

[X] Director [] 10% Owner
 [X] Officer (Give Title Below) [] Other (Specify Below)

Chief Executive Officer

7. Individual or Joint/Group Reporting (Check Applicable Line)

[X] Form Filed by One Reporting Person
 [] Form Filed by More than One Reporting Person

TABLE I -- NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIAL

| 1. Title of Security (Instr. 3) | 2. Trans- action Date (Month/ Day/ Year) | 3. Trans- action Code (Instr. 8) ----- Code V | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficial Owned at End of Month (Instr. 3 and 4) |
|------------------------------------|---|--|---|---------------|--------|--|
| | | | Amount | (A) or (D) | Price | |
| Common Stock | 01/09/02 | S | 2,500 | D | \$0.80 | 845,159 |
| Common Stock | 01/10/02 | S | 2,000 | D | \$0.78 | 843,159 |

TABLE II -- DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIAL
 (E.G. PUTS CALLS WARRANTS OPTIONS CONVERTIBLE SECURITIES)

| 1. | 2. | 3. | 4. | 5. | 6. | 7. | 8. |
|----|--------------------------------|------------------|--------------------------|--|--|---|--------------------------------|
| | Conver- sion or Exercise | Trans- action | Trans- action Code | Number of Derivative Securities Acquired (A) or Disposed of (D) | Date Exer- cisable and Expiration Date (Month/ Day/Year) | Title and Amount of Underlying Securities (Instr. 3 and 4) | Price of Deriv- ative |

