

Edgar Filing: FLAHERTY & CRUMRINE PREFERRED INCOME FUND INC - Form NSAR-B

FLAHERTY & CRUMRINE PREFERRED INCOME FUND INC

Form NSAR-B

January 28, 2014

PAGE 1  
000 B000000 11/30/2013  
000 C000000 868578  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 FLAHERTY & CRUMRINE PFD INC FUND, INC.  
001 B000000 811-06179  
001 C000000 6267957300  
002 A000000 301 E. Colorado Boulevard, Suite 720  
002 B000000 Pasadena  
002 C000000 CA  
002 D010000 91101  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
008 A000001 FLAHERTY & CRUMRINE INCORPORATED  
008 B000001 A  
008 C000001 801-19384  
008 D010001 PASADENA  
008 D020001 CA  
008 D030001 91101  
010 A000001 BNY MELLON INVESTMENT SERVICING (US) INC.  
010 B000001 84-1761  
010 C010001 WESTBOROUGH  
010 C020001 MA  
010 C030001 01581  
012 A000001 BNY MELLON INVESTMENT SERVICING (US) INC.  
012 B000001 84-1761  
012 C010001 WESTBOROUGH  
012 C020001 MA  
012 C030001 01581  
013 A000001 KPMG LLP  
013 B010001 BOSTON  
013 B020001 MA  
013 B030001 02110  
015 A000001 THE BANK OF NEW YORK MELLON CORP.  
015 B000001 C  
015 C010001 NEW YORK  
015 C020001 NY  
015 C030001 10286  
018 000000 Y  
019 A000000 N  
019 B000000 0  
PAGE 2  
021 000000 0  
022 A000001 WELLS FARGO SECURITIES  
022 B000001 56-2326000  
022 C000001 14652  
022 D000001 17599  
022 A000002 CANTOR FITZGERALD & CO.

Edgar Filing: FLAHERTY & CRUMRINE PREFERRED INCOME FUND INC - Form NSAR-B

022 B000002 13-3680184  
022 C000002 15146  
022 D000002 8819  
022 A000003 GOLDMAN SACHS & CO.  
022 B000003 13-5108880  
022 C000003 6766  
022 D000003 4520  
022 A000004 BANK OF AMERICA CORP  
022 B000004 56-0906609  
022 C000004 5905  
022 D000004 2135  
022 A000005 BARCLAYS CAPITAL INC.  
022 B000005 06-1031656  
022 C000005 4041  
022 D000005 1113  
022 A000006 GLEACHER & COMPANY SECURITIES  
022 B000006 14-1391446  
022 C000006 3055  
022 D000006 2084  
022 A000007 CITIGROUP  
022 B000007 52-1568099  
022 C000007 3067  
022 D000007 1939  
022 A000008 MORGAN STANLEY & CO., LLC  
022 B000008 13-2655998  
022 C000008 475  
022 D000008 4369  
022 A000009 JPMORGAN CHASE & CO.  
022 B000009 13-2624428  
022 C000009 3644  
022 D000009 893  
022 A000010 CABRERA CAPITAL MARKETS  
022 B000010 01-0892965  
022 C000010 1277  
022 D000010 2765  
023 C000000 68084  
023 D000000 56488  
024 000000 Y  
025 A000001 GOLDMAN SACHS & CO.  
025 B000001 13-5108880  
025 C000001 E  
025 D000001 6367  
025 A000002 MORGAN STANLEY & CO., LLC  
025 B000002 13-2655998  
025 C000002 E  
PAGE 3  
025 D000002 375  
025 A000003 WELLS FARGO SECURITIES  
025 B000003 56-2326000  
025 C000003 E  
025 D000003 7361  
025 A000004 BARCLAYS CAPITAL, INC.  
025 B000004 06-1031656  
025 C000004 E  
025 D000004 6965  
025 A000005 BANK OF AMERICA CORP  
025 B000005 56-0906609  
025 C000005 E  
025 D000005 2372  
025 A000006 GOLDMAN SACHS & CO.  
025 B000006 13-5108880  
025 C000006 D

Edgar Filing: FLAHERTY & CRUMRINE PREFERRED INCOME FUND INC - Form NSAR-B

025	D000006	1500
025	A000007	JPMORGAN CHASE & CO.
025	B000007	13-2624428
025	C000007	E
025	D000007	4424
025	A000008	CITIGROUP
025	B000008	52-1568099
025	C000008	E
025	D000008	4200
026	A000000	N
026	B000000	N
026	C000000	N
026	D000000	Y
026	E000000	N
026	F000000	N
026	G010000	N
026	G020000	N
026	H000000	N
027	000000	N
028	A010000	0
028	A020000	0
028	A030000	0
028	A040000	0
028	B010000	0
028	B020000	0
028	B030000	0
028	B040000	0
028	C010000	0
028	C020000	0
028	C030000	0
028	C040000	0
028	D010000	0
028	D020000	0
028	D030000	0
028	D040000	0
	PAGE	4
028	E010000	0
028	E020000	0
028	E030000	0
028	E040000	0
028	F010000	0
028	F020000	0
028	F030000	0
028	F040000	0
028	G010000	0
028	G020000	0
028	G030000	0
028	G040000	0
028	H000000	0
030	A000000	0
030	B000000	0.00
030	C000000	0.00
031	A000000	0
031	B000000	0
032	000000	0
033	000000	0
035	000000	0
036	B000000	0
038	000000	0
042	A000000	0
042	B000000	0
042	C000000	0

Edgar Filing: FLAHERTY & CRUMRINE PREFERRED INCOME FUND INC - Form NSAR-B

042 D000000 0  
042 E000000 0  
042 F000000 0  
042 G000000 0  
042 H000000 0  
043 000000 0  
044 000000 0  
045 000000 Y  
046 000000 N  
047 000000 Y  
048 000000 0.000  
048 A010000 100000  
048 A020000 0.625  
048 B010000 0  
048 B020000 0.000  
048 C010000 0  
048 C020000 0.000  
048 D010000 0  
048 D020000 0.000  
048 E010000 0  
048 E020000 0.000  
048 F010000 0  
048 F020000 0.000  
048 G010000 0  
048 G020000 0.000  
PAGE 5  
048 H010000 0  
048 H020000 0.000  
048 I010000 0  
048 I020000 0.000  
048 J010000 0  
048 J020000 0.000  
048 K010000 100000  
048 K020000 0.500  
049 000000 N  
050 000000 N  
051 000000 N  
052 000000 N  
053 A000000 N  
054 A000000 Y  
054 B000000 Y  
054 C000000 N  
054 D000000 N  
054 E000000 N  
054 F000000 N  
054 G000000 Y  
054 H000000 Y  
054 I000000 N  
054 J000000 Y  
054 K000000 N  
054 L000000 N  
054 M000000 Y  
054 N000000 N  
054 O000000 Y  
055 A000000 N  
055 B000000 Y  
056 000000 Y  
057 000000 N  
058 A000000 N  
059 000000 Y  
060 A000000 Y  
060 B000000 Y

Edgar Filing: FLAHERTY & CRUMRINE PREFERRED INCOME FUND INC - Form NSAR-B

061 000000 0  
062 A000000 N  
062 B000000 0.0  
062 C000000 0.0  
062 D000000 0.0  
062 E000000 0.0  
062 F000000 0.0  
062 G000000 0.0  
062 H000000 0.0  
062 I000000 0.0  
062 J000000 0.0  
062 K000000 0.0  
062 L000000 0.0  
062 M000000 0.0  
062 N000000 0.0  
PAGE 6  
062 O000000 0.0  
062 P000000 0.0  
062 Q000000 0.0  
062 R000000 0.0  
063 A000000 0  
063 B000000 0.0  
066 A000000 Y  
066 B000000 N  
066 C000000 N  
066 D000000 N  
066 E000000 N  
066 F000000 Y  
066 G000000 N  
067 000000 N  
068 A000000 N  
068 B000000 N  
069 000000 N  
070 A010000 Y  
070 A020000 N  
070 B010000 Y  
070 B020000 N  
070 C010000 Y  
070 C020000 N  
070 D010000 Y  
070 D020000 N  
070 E010000 Y  
070 E020000 N  
070 F010000 Y  
070 F020000 N  
070 G010000 Y  
070 G020000 N  
070 H010000 Y  
070 H020000 N  
070 I010000 N  
070 I020000 N  
070 J010000 Y  
070 J020000 Y  
070 K010000 Y  
070 K020000 Y  
070 L010000 Y  
070 L020000 Y  
070 M010000 Y  
070 M020000 N  
070 N010000 Y  
070 N020000 N  
070 O010000 Y

Edgar Filing: FLAHERTY & CRUMRINE PREFERRED INCOME FUND INC - Form NSAR-B

070 O020000 Y  
 070 P010000 N  
 070 P020000 N  
 070 Q010000 N  
 070 Q020000 N  
     PAGE 7  
 070 R010000 Y  
 070 R020000 N  
 071 A000000 71434  
 071 B000000 70168  
 071 C000000 218741  
 071 D000000 32  
 072 A000000 12  
 072 B000000 7725  
 072 C000000 7371  
 072 D000000 0  
 072 E000000 36  
 072 F000000 1234  
 072 G000000 216  
 072 H000000 0  
 072 I000000 33  
 072 J000000 28  
 072 K000000 0  
 072 L000000 70  
 072 M000000 72  
 072 N000000 0  
 072 O000000 0  
 072 P000000 786  
 072 Q000000 0  
 072 R000000 55  
 072 S000000 48  
 072 T000000 0  
 072 U000000 0  
 072 V000000 0  
 072 W000000 166  
 072 X000000 2708  
 072 Y000000 0  
 072 Z000000 12424  
 072AA000000 0  
 072BB000000 1703  
 072CC010000 0  
 072CC020000 3404  
 072DD010000 13156  
 072DD020000 0  
 072EE000000 0  
 073 A010000 1.2000  
 073 A020000 0.0000  
 073 B000000 0.0000  
 073 C000000 0.0000  
 074 A000000 0  
 074 B000000 0  
 074 C000000 0  
 074 D000000 15242  
 074 E000000 198509  
 074 F000000 458  
 074 G000000 0  
 074 H000000 0  
     PAGE 8  
 074 I000000 1568  
 074 J000000 0  
 074 K000000 0  
 074 L000000 2745

Edgar Filing: FLAHERTY & CRUMRINE PREFERRED INCOME FUND INC - Form NSAR-B

074 M000000	48
074 N000000	218570
074 O000000	0
074 P000000	100
074 Q000000	75700
074 R010000	0
074 R020000	0
074 R030000	0
074 R040000	210
074 S000000	0
074 T000000	142560
074 U010000	10986
074 U020000	0
074 V010000	12.98
074 V020000	0.00
074 W000000	0.0000
074 X000000	234
074 Y000000	0
075 A000000	0
075 B000000	146847
076 000000	12.48
077 A000000	Y
077 B000000	Y
077 C000000	N
077 D000000	N
077 E000000	N
077 F000000	N
077 G000000	N
077 H000000	N
077 I000000	N
077 J000000	N
077 K000000	N
077 L000000	N
077 M000000	N
077 N000000	N
077 O000000	N
077 P000000	N
077 Q010000	N
077 Q020000	N
077 Q030000	N
078 000000	N
080 A000000	Federal Insurance Company
080 B000000	N/A
080 C000000	600
081 A000000	N
081 B000000	0
082 A000000	N
	PAGE 9
082 B000000	0
083 A000000	N
083 B000000	0
084 A000000	N
084 B000000	0
085 A000000	Y
085 B000000	N
086 A010000	63
086 A020000	877
086 B010000	0
086 B020000	0
086 C010000	0
086 C020000	0
086 D010000	0

Edgar Filing: FLAHERTY & CRUMRINE PREFERRED INCOME FUND INC - Form NSAR-B

086	D020000	0
086	E010000	0
086	E020000	0
086	F010000	0
086	F020000	0
087	A010000	COMMON STOCK
087	A020000	338480106
087	A030000	PFD
088	A000000	N
088	B000000	N
088	C000000	N
088	D000000	N
SIGNATURE	R. ERIC CHADWICK	
TITLE	CHIEF FINANCIAL	