### Edgar Filing: Silver Richard - Form 4

Silver Richard Form 4 January 16, 2018												
FORM 4			<b>CECU</b>				NCE			APPROVAL		
	UNITED	STATES		RITIES A shington			NGE (	COMMISSION	N OMB Number:	3235-0287		
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. <i>See</i> Instruction 1(b).	IENT OF suant to S a) of the F 30(h)	<b>CHAN</b> ection 1 Public U	IGES IN SECUI	Expires:January 31200Estimated averageburden hours perresponse0.								
(Print or Type Respon	nses)											
1. Name and Addres CITY OF LOND GROUP PLC			Symbol	er Name <b>an</b>			ng	5. Relationship o Issuer				
(Last)	(First) (N	Aiddle)	CHINA FUND INC [CHN] 3. Date of Earliest Transaction (Ch						eck all applicable)			
77 GRACECHURCH STREET,			(Month/Day/Year) 01/12/2018					Director    X 10% Owner       Officer (give title     Other (specify below)				
( LONDON, X0 E	Street)			endment, D nth/Day/Yea	-	ıl		_X_ Form filed by	One Reporting	Person		
		(Zip)	Tab	la I Non	Dorivotivo	Socur	itios A o	Person quired, Disposed	of or Bonofic	ially Owned		
1.Title of 2. Tra	ansaction Date hth/Day/Year)	-	ed Date, if	3. Transactie Code (Instr. 8)	4. Securit or(A) or Di (Instr. 3, Amount	ies Ac sposed	quired of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock, par value $\$.01$ per share (1)								308,857	I	By: Emerging (BMI) Markets Country Fund (2)		
Common Stock, par value $.01$ per share								116,563	I	By: Emerging Markets Free Fund (3)		
Common								250,030	Ι	By:		

Stock, par value \$.01 per share $(1)$								Emerging Markets Global Fund (4)
Common Stock, par value $.01$ per share						172,029	Ι	By: Emerging Markets Investable Fund (5)
Common Stock, par value $\$.01$ per share (1)	01/12/2018	Р	4,225	A	\$ 22.85	340,344	I	By: Global Emerging Markets Fund <u>(6)</u>
Common Stock, par value $.01$ per share (1)						168,067	I	By: The Emerging World Fund
Common Stock, par value $.01$ per share (1)						158,448	Ι	By: Emerging Free Markets Country Fund ( <u>8)</u>
Common Stock, par value $\$.01$ per share (1)						305,041	Ι	By: Emerging Markets Country Fund <u>(9)</u>
Common Stock, par value $\$.01$ per share $(1)$						46,658	I	By: Investable Emerging Markets Country Fund (10)
Common Stock, par value $.01$ per share (1)						42,096	I	By: The EM Plus CEF Fund (11)
Common Stock, par value \$.01 per share $(1)$						2,293,373	I	By: unaffiliated third-party segregated accounts (12)

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transact Code (Instr. 8)	Securities Acquired (A) or		ate	Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owno Follo Repo
				Disposed						Trans
				of (D) (Instr. 3,						(Instr
				(insu: 5, 4, and 5)						
				i, and 5)						
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
CITY OF LONDON INVESTMENT GROUP PLC 77 GRACECHURCH STREET LONDON, X0 EC3V0AS		Х					
CITY OF LONDON INVESTMENT MANAGEMENT CO LTD 77 GRACECHURCH STREET LONDON ENGLAND LONDON, X0 EC3V0AS		Х					
Silver Richard C/O ANJIE LAROCCA, DEUTSCHE ASSET MGMT. 345 PARK AVENUE NEW YORK, NY 10154	Х	Х					
Signatures							
/s/ Barry Olliff, Director- City of London Group PLC		01/16/2018					
<u>**</u> Signature of Reporting Person			Da	ate			
/s/ Barry Olliff, Director- City of London Investment Management Limited	7	01/16	5/2018				
**Signature of Reporting Person			Da	ate			
/s/ Julian Reid			01/16	6/2018			

**Reporting Owners** 

Signatures

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\*\*Signature of Reporting Person

/s/ Richard A. Silver

\*\*Signature of Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This Form 4 is filed jointly by City of London Investment Group, PLC ("CLIG"), City of London Investment Management Company Limited ("CLIM"), Julian Reid, and Richard A. Silver (collectively, the "Reporting Persons"). Each of the Reporting Persons is a

- (1) member of a Section 13(d) group that collectively owns more than 10% of the Issuer's outstanding shares of Common Stock. Each of the Reporting Persons disclaims beneficial ownership of the securities reported herein except to the extent of his or its pecuniary interest therein. No one direct beneficial owner of the reported securities owns more than 5% of the outstanding securities of the Issuer.
- (2) These securities are beneficially owned by Emerging (BMI) Markets Country Fund.
- (3) These securities are beneficially owned by Emerging Markets Free Fund.
- (4) These securities are beneficially owned by Emerging Markets Global Fund.
- (5) These securities are beneficially owned by Emerging Markets Investable Fund.
- (6) These securities are beneficially owned by Global Emerging Markets Fund.
- (7) These securities are beneficially owned by The Emerging World Fund.
- (8) These securities are beneficially owned by Emerging Free Markets Country Fund.
- (9) These securities are beneficially owned by by Emerging Markets Country Fund.
- (10) These securities are beneficially owned by Investable Emerging Markets Country Fund.
- (11) These securities are beneficially owned by The EM Plus CEF Fund.
- (12) These securities are beneficially owned by unaffiliated third-party segregated accounts.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Date

01/16/2018

Date