

Edgar Filing: SHC CORP - Form 5

SHC CORP  
Form 5  
February 09, 2001

----- UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
FORM 5 WASHINGTON, D.C. 20549  
-----

/ / CHECK BOX IF NO LONGER ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP  
SUBJECT TO SECTION 16. Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 and  
FORM 4 OR FORM 5 OBLI- Section 17(a) of the Public Utility Holding Company Act of 1935  
GATIONS MAY CONTINUE. Section 30(f) of the Investment Company Act of 1940  
SEE INSTRUCTION 1(b).  
/X/ FORM 3 HOLDINGS REPORTED  
/X/ FORM 4 TRANSACTIONS REPORTED

1. Name and Address of Reporting Person*	2. Issuer Name and Ticker or Trading Symbol	6.
Ruben Philip E. (Last) (First) (Middle)	SHC Corp. SONM	X
c/o Kwiatt & Ruben, Ltd. 211 Waukegan Rd., Suite 300 (Street)	3. IRS or Social Security Number of Reporting Person (Voluntary)	--
	4. Statement for Month/Year January 2001	--
	5. If Amendment, Date of Original (Month/Year)	7. X
Northfield IL 60093 (City) (State) (Zip)		--

TABLE I -- NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED

1. Title of Security (Instr. 3)	2. Trans- action Date (Month/ Day/ Year)	3. Transac- tion Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount Owned end of Issue Fisca (Inst and 4
			Amount (A) or Price (D)	
Common Stock	10/8/98	3P	87,500 A .10	See B
Common Stock	12/29/00	4J(2)	306,798 A .05	394,2
Common Stock	4/14/99	3J(1)	207,819 A .11	See B
Common Stock	10/14/99	3J(1)	233,380 A .13	See B
Common Stock	3/1/00	4J(1)	49,133 A .06	See B
Common Stock	3/1/00	4J(1)	69,133 A .08	See B

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\* By Kwiat & Ruben, Ltd.  
 J(1) Legal Fees  
 J(2) Transfer from Kwiat & Ruben, Ltd.

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\*If the form is filed by more than one reporting person, see instruction 4(b)(v).

POTENTIAL PERSONS WHO ARE TO RESPOND TO THE COLLECTION OF INFORMATION CONTAINED IN THIS FORM ARE NOT REQUIRED TO RESPOND UNLESS THE FORM HAS A CURRENTLY VALID OMB CONTROL NUMBER.

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 FORM 5  
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UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 WASHINGTON, D.C. 20549

// CHECK BOX IF NO LONGER ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP  
 SUBJECT TO SECTION 16.  
 FORM 4 OR FORM 5 OBLIGATIONS MAY CONTINUE. Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934  
 SEE INSTRUCTION 1(b). Section 17(a) of the Public Utility Holding Company Act of 1935  
 Section 30(f) of the Investment Company Act of 1940  
 // FORM 3 HOLDINGS REPORTED  
 // FORM 4 TRANSACTIONS REPORTED

1. Name and Address of Reporting Person*	2. Issuer Name and Ticker or Trading Symbol	6.
Ruben Philip E.	SHC Corp. SONM	--
(Last) (First) (Middle)	3. IRS or Social Security Number of Reporting Person (Voluntary)	--
c/o Kwiat & Ruben, Ltd. 211 Waukegan Rd., Suite 300	4. Statement for Month/Year January 2001	--
(Street)	5. If Amendment, Date of Original (Month/Year)	7.
Northfield IL 60093		--

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 TABLE I -- NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED  
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1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount
Common Stock	3/1/00	4J(1)	Amount (A) or Price (D)	98,495 A .07
				See B

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Common Stock	3/1/00	4J(1)	48,838	A	.08	See B
Common Stock	3/1/00	4J(1)	48,894	A	.09	See B
Common Stock	3/1/00	4J(1)	55,971	A	.09	See B
Common Stock	5/3/00	4J(1)	50,000	A	.12	See B
Common Stock	5/3/00	4J(1)	50,000	A	.12	See B

\* By Kwiat & Ruben, Ltd.  
J(1)=Legal Fees

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

// CHECK BOX IF NO LONGER  
SUBJECT TO SECTION 16.  
FORM 4 OR FORM 5 OBLI-  
GATIONS MAY CONTINUE.  
SEE INSTRUCTION 1(b).  
// FORM 3 HOLDINGS REPORTED  
// FORM 4 TRANSACTIONS REPORTED

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP  
Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934  
Section 17(a) of the Public Utility Holding Company Act of 1935  
Section 30(f) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*	2. Issuer Name and Ticker or Trading Symbol	6.
Ruben Philip E. (Last) (First) (Middle) c/o Kwiat & Ruben, Ltd. 211 Waukegan Rd., Suite 300 (Street)	SHC Corp. SONM	--
Northfield IL 60093 (City) (State) (Zip)		--

1. Title of Security (Instr. 3)	2. Trans- action	3. Transac- tion	4. Securities Acquired (A) or Disposed of (D)	5. Amount Secur
TABLE I -- NON-DERIVATIVE SECURITIES ACQUIRED, DISPOS				

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	Date (Month/ Day/ Year)	Code (Instr. 8)	(Instr. 3, 4 and 5)			Beneficial Owned end of Issue Fiscal (Inst and 4
			Amount	(A) or (D)	Price	
Common Stock	5/3/00	4J(1)	50,000	A	.12	See B
Common Stock	5/3/00	4J(1)	50,000	A	.12	See B
Common Stock	5/3/00	4J(1)	50,000	A	.12	See B
Common Stock	5/3/00	4J(1)	50,000	A	.12	See B
Common Stock	5/3/00	4J(1)	50,000	A	.12	See B
Common Stock	5/3/00	4J(1)	50,000	A	.12	See B

\* By Kwiat & Ruben, Ltd.  
J(1)=Legal Fees

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WASHINGTON, D.C. 20549

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SUBJECT TO SECTION 16.  
FORM 4 OR FORM 5 OBLIGATIONS MAY CONTINUE. Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934  
SEE INSTRUCTION 1(b). Section 17(a) of the Public Utility Holding Company Act of 1935  
// FORM 3 HOLDINGS REPORTED Section 30(f) of the Investment Company Act of 1940  
// FORM 4 TRANSACTIONS REPORTED

1. Name and Address of Reporting Person*	2. Issuer Name and Ticker or Trading Symbol	6.
Ruben Philip E.	SHC Corp.	SONM
(Last) (First) (Middle)	3. IRS or Social Security Number of Reporting Person (Voluntary)	4. Statement for Month/Year
c/o Kwiat & Ruben, Ltd. 211 Waukegan Rd., Suite 300		January 2001
(Street)	5. If Amendment, Date of Original	7.

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(Month/Year)

Northfield IL 60093

(City)	(State)	(Zip)	TABLE I -- NON-DERIVATIVE SECURITIES ACQUIRED, DISPOS				
1. Title of Security (Instr. 3)	2. Trans- action Date  (Month/ Day/ Year)	3. Transac- tion Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount	
			Amount	(A) or (D)	Price	Secur Benef Owned end o Issue Fisca (Inst and 4	
Common Stock	5/3/00	4J(1)	50,000	A	.12	See B	
Common Stock	5/3/00	4J(1)	50,000	A	.12	See B	
Common Stock	10/6/00	4J(1)	250,000	D	.10	See B	
Common Stock	12/18/00	4J(1)	200,000	D	.05	See B	
Common Stock	12/28/00	4J(1)	509,798	D	.05	354,8	

\* By Kwiat & Ruben, Ltd.  
J(1)=Legal Fees

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POTENTIAL PERSONS WHO ARE TO RESPOND TO THE COLLECTION OF INFORMATION CONTAINED IN THIS FORM ARE NOT REQUIRED TO RESPOND UNLESS THE FORM A CURRENTLY VALID OMB CONTROL NUMBER.

FORM 5 (CONTINUED)

TABLE II - DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIAL (e.g., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)

1. Title of Derivative Security (Instr. 3)	2. Conver- sion of Exercise Price of Deriv- ative Security	3. Trans- action Date (Month/ Day/ Year)	4. Trans- action Code (Instr. 8)	5. Number of Derivative Securities Ac- quired (A) or Disposed of (D) (Instr. 3, 4, and 5)
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(A)

(D)

7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned at End of Year (Instr. 4)	10. Ownership of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
Title	Amount or Number of Shares			

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Explanation of Responses:

\*\*Intentional misstatements or omissions of facts constitute  
Federal Criminal Violations. SEE 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

/s/ Phi  
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\*\*Signature o

Note: File three copies of this Form, one of which must be manually signed.  
If space provided is insufficient, SEE Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are  
form displays a currently valid OMB number.