### TORTOISE ENERGY CAPITAL CORP

Form 4

October 08, 2009

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average

**OMB APPROVAL** 

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may continue.

if no longer

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* BANK OF AMERICA CORP /DE/

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

TORTOISE ENERGY CAPITAL CORP [TYY]

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year) 04/27/2009

Director X\_\_ 10% Owner \_ Other (specify Officer (give title below)

**BANK OF AMERICA** CORPORATE CENTER, 100 N **TRYON ST** 

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting Person

CHARLOTTE, NC 28255

(State)

(City)

(Zip)

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

	Tuble 1 Troit Delivative Securities required, Disposed of, or Beneficiary Owned								ing owned
1.Title of	2. Transaction Date		3. 4. Securities Acquired				5. Amount of	6.	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transaction(A) or Disposed of (D)				Securities	Ownership	Indirect
(Instr. 3)		any	Code	(Instr. 3, 4 and 5)			Beneficially	Form: Direct	
		(Month/Day/Year)	(Instr. 8)				Owned Following	(D) or Indirect (I)	Ownership (Instr. 4)
						Reported	(Instr. 4)	(IIISII. 4)	
					( \( \Delta \)		Transaction(s)	(msu. 1)	
					or		(Instr. 3 and 4)		
			Code V	Amount	(D)	Price	( ,		
Common	04/27/2009	04/27/2009	P	2,000	Α	\$	24,650	Ţ	By
Stock	0-1/2/1/2007	04/2//2009		2,000	11	16.71	21,030	1	Subsidiary
Common									By
	04/29/2009	04/29/2009	S	200	D	\$ 16.7	24,450	I	•
Stock									Subsidiary
Common			~		_	\$			By
Stock	04/29/2009	04/29/2009	S	200	D	16.71	24,250	1	Subsidiary
Stock						10.71			Bucsialary
Common	04/29/2009	04/29/2009	S	100	D	\$	24,150	т	By
Stock	04/29/2009	04/29/2009	3	100	D	16.71	24,130	1	Subsidiary
	0.4/20/2000	0.4/20/2000	C	200	D		22.050	T	
	04/29/2009	04/29/2009	S	300	D		23,850	1	

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Common Stock						\$ 16.71			By Subsidiary
Common Stock	04/29/2009	04/29/2009	S	100	D	\$ 16.72	23,750	I	By Subsidiary
Common Stock	04/29/2009	04/29/2009	S	100	D	\$ 16.73	23,650	I	By Subsidiary
Common Stock	04/29/2009	04/29/2009	S	300	D	\$ 16.75	23,350	I	By Subsidiary
Common Stock	04/29/2009	04/29/2009	S	100	D	\$ 16.75	23,250	I	By Subsidiary
Common Stock	04/29/2009	04/29/2009	S	500	D	\$ 16.84	22,750	I	By Subsidiary
Common Stock	04/29/2009	04/29/2009	S	100	D	\$ 16.85	22,650	I	By Subsidiary

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Tit.	le and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transact	ionNumber	Expiration D	ate	Amou	ınt of	Derivative
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	rlying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e		Secur	ities	(Instr. 5)
	Derivative				Securities	3		(Instr.	3 and 4)	
	Security				Acquired					
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
									Amount	
									or	
						Date	Expiration	Title	Number	
						Exercisable	Date	ritie	of	
				Codo I	7 (A) (D)				Shares	
				Code V	(A) (D)				Shares	

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

X

BANK OF AMERICA CORP/DE/ BANK OF AMERICA CORPORATE CENTER 100 N TRYON ST

Reporting Owners 2

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CHARLOTTE, NC 28255

MERRILL LYNCH, PIERCE, FENNER & SMITH INC. 4 WORLD FINANCIAL CENTER NORTH TOWER NEW YORK, NY 10080

X

## **Signatures**

Bank of America Corporation, By: /s/ Angelina L. Richardson, Title: Vice President 10/08/2009

\*\*Signature of Reporting Person

Date

Merrill Lynch, Pierce, Fenner & Smith Incorporated, By: /s/ Robert M. Shine, Title: Attorney-in-Fact

10/08/2009

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

### **Remarks:**

The transactions reported on this Form 4 were effected by Merrill Lynch, Pierce, Fenner & Smith Incorporated, an indirect, wholly owned subsidiary of Bank of America Corporation.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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