#### Edgar Filing: LAZARD GLOBAL TOTAL RETURN & INCOME FUND INC - Form 3/A

#### LAZARD GLOBAL TOTAL RETURN & INCOME FUND INC

Form 3/A March 08, 2017

## FORM 3

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

January 31, 2005

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Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response... Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

PAUL NATHAN A

(Last)

(First) (Middle)

C/O LAZARD ASSET MANAGEMENT LLC ("LAM"), 30 ROCKEFELLER **PLAZA** 

(Street)

Statement (Month/Day/Year)

02/28/2017

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

LAZARD GLOBAL TOTAL RETURN & INCOME FUND INC [LGI]

4. Relationship of Reporting

Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year) 04/29/2004

(Check all applicable)

\_X\_ Director 10% Owner X\_ Officer \_X\_\_ Other (give title below) (specify below) Pres. of LGI / Man. Dir., Gen Cnsl-LAM (1)

6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

NEW YORK. NYÂ 10112

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Direct (D) or Indirect

(I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

> Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

4. 5. Conversion or Exercise Form of

6. Nature of Indirect Ownership Beneficial Ownership (Instr. 5)

Price of Derivative Derivative Security:

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Date Expiration Title Amount or Security Direct (D)

Exercisable Date Number of Shares (I)

(Instr. 5)

## **Reporting Owners**

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
PAUL NATHAN A C/O LAZARD ASSET MANAGEMENT LLC ("LAM") 30 ROCKEFELLER PLAZA NEW YORK, NY 10112	ÂX	Â	Pres. of LGI	Man. Dir., Gen Cnsl-LAM

# **Signatures**

/s/ Nathan A.
Paul

\*\*Signature of Reporting Person

O3/07/2017

Date

# **Explanation of Responses:**

No securities are beneficially owned

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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#### **Remarks:**

(1) LAM is the investment adviser to LGI. Mr. Paul was appointed as a Director and the Preside Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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