

FETTIG JEFF M
Form 4
November 15, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
FETTIG JEFF M

(Last) (First) (Middle)

WHIRLPOOL CORPORATION, 2000 M-63N

(Street)

BENTON HARBOR, MI 49022

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

WHIRLPOOL CORP /DE/ [WHR]

3. Date of Earliest Transaction (Month/Day/Year)

11/13/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

Chairman of the Board

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction or Disposed of (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	V	Amount	(A) or (D)				Price
Common Stock	03/07/2018		G	V	4,311	D	\$ 0	0	D	
Common Stock	03/07/2018		G	V	4,311	A	\$ 0	10,328	I	By trust for reporting person
Common Stock	03/27/2018		G	V	17,900	D	\$ 0	17,900	I	By 3/16/2016 GRAT
Common Stock	03/27/2018		G	V	17,900	A	\$ 0	28,228	I	By trust for reporting person and

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Common Stock	04/02/2018	G	V	28,000	D	\$ 0	228	I	spouse By trust for reporting person and spouse
Common Stock	04/02/2018	G	V	28,000	A	\$ 0	28,000	I	By 4/02/2018 GRAT
Common Stock	05/15/2018	G	V	190	D	\$ 0	38	I	By trust for reporting person and spouse
Common Stock	11/01/2018	G	V	71,862	D	\$ 0	38,138	I	By 11/01/2017 GRAT
Common Stock	11/01/2018	G	V	71,862	A	\$ 0	71,900	I	By trust for reporting person and spouse
Common Stock	11/01/2018	G	V	56,974	D	\$ 0	0	I	By 10/27/2016 GRAT
Common Stock	11/01/2018	G	V	56,974	D	\$ 0	128,874	I	By trust for reporting person and spouse
Common Stock	11/13/2018	M ⁽¹⁾		300,000	A	\$ 31.82	300,000	D	
Common Stock	11/13/2018	F ⁽²⁾		95,212	D	\$ 116.95	204,788	D	
Common Stock	11/13/2018	F ⁽³⁾		81,625	D	\$ 116.95	47,249	I	By trust for reporting person and spouse
Common Stock							7,980.678	I	401(k) Stock Fund

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Employee Stock Option (Right to Buy)	\$ 31.82	11/13/2018		M	300,000	(4)	02/16/2019	Common Stock	300,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
FETTIG JEFF M WHIRLPOOL CORPORATION 2000 M-63N BENTON HARBOR, MI 49022	X		Chairman of the Board	

Signatures

/s/Bridget K. Quinn,
Attorney-in-Fact

11/15/2018

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Mr. Fettig exercised an employee stock option that was due to expire on February 16, 2019 resulting in his net acquisition of 123,163 shares.
- (2) Shares withheld for taxes.
- (3) Shares tendered by attestation in payment of the exercise price.
- (4) Options vested and became exercisable as follows: one third on 2/16/2010; One third on 02/16/2011; one third on 02/16/2012.

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