ANALOGIC CORP Form 4

November 02, 2016

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Number:

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

ANALOGIC CORP [ALOG]

3. Date of Earliest Transaction

4. If Amendment, Date Original

(Month/Day/Year)

Filed(Month/Day/Year)

10/31/2016

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

PARKS FRED B

(Middle)

(First) (Last)

C/O ANALOGIC CORPORATION, 8 CENTENNIAL **DRIVE** 

(Street)

(State)

PEABODY, MA 01960

(Zip)

**OMB APPROVAL** 

**OMB** 

3235-0287

Expires:

January 31, 2005

Estimated average burden hours per

response...

0.5

5. Relationship of Reporting Person(s) to

(Check all applicable)

\_X\_\_ Director 10% Owner Other (specify X\_ Officer (give title below)

President/CEO/Director

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Issuer

(City)

1. Title of

Security

(Instr. 3)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 4. Securities

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

(Month/Day/Year)

3. Code (Instr. 8)

TransactionAcquired (A) or

Code V Amount (D) Price

Disposed of (D) (Instr. 3, 4 and 5)

(A)

or

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership Form: Direct (I) (Instr. 4)

7. Nature of Indirect (D) or Indirect Beneficial Ownership (Instr. 4)

Transaction(s) (Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of

Symbol

information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion

3. Transaction Date 3A. Deemed

5. Number of (Month/Day/Year) Execution Date, if TransactionDerivative

6. Date Exercisable and **Expiration Date** 

7. Title and Amount of 8. Underlying Securities

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|   | Security<br>(Instr. 3)       | or Exercise<br>Price of<br>Derivative<br>Security |            | any<br>(Month/Day/Year) | Code<br>(Instr. | Securities 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |        | d of | (Month/Day/Year)    |                    | (Instr. 3 and 4) |                                     | ( |
|---|------------------------------|---|------------|-------------------------|-----------------|--|--------|------|---------------------|--------------------|------------------|-------------------------------------|---|
|   |                              |   |            |                         | Code            | V  | (A)    | (D)  | Date<br>Exercisable | Expiration<br>Date | Title            | Amount<br>or<br>Number<br>of Shares |   |
| i | Restricted<br>Stock<br>Units | (1)   | 10/31/2016 |                         | A               | V  | 34,208 |      | <u>(1)</u>          | <u>(1)</u>         | Common<br>Stock  | 34,208                              |   |
| , | Restricted<br>Stock<br>Units | <u>(2)</u>  | 10/31/2016 |                         | A               | V  | 1,618  |      | (2)                 | (2)                | Common<br>Stock  | 1,618                               |   |

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# **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |                        |       |  |  |  |
|--------------------------------|---------------|-----------|------------------------|-------|--|--|--|
|                                | Director      | 10% Owner | Officer                | Other |  |  |  |
| PARKS FRED B                   |               |           |                        |       |  |  |  |
| C/O ANALOGIC CORPORATION       | X             |           | President/CEO/Director |       |  |  |  |
| 8 CENTENNIAL DRIVE             | Λ             |           | President/CEO/Director |       |  |  |  |
| PEABODY, MA 01960              |               |           |                        |       |  |  |  |

## **Signatures**

/s/ John J. Fry, by Power of Attorney For Fred B.
Parks
11/02/2016

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents Restricted Stock Units ("RSUs"), 50% of which will vest based on the achievement of performance goals with respect to the issuer's 2017 fiscal year and 50% of which will vest based on the achievement of performance goals with respect to the issuer's 2018

- (1) fiscal year, provided that, if the goals in 2017 are not achieved, the subject RSUs will remain outstanding and will vest to the extent that the 2018 goals are achieved. Following the end of the issuer's 2017 and 2018 fiscal years, if the applicable goals have been met, the RSUs earned will be converted to common stock and a like number of shares will be issued to the grantee.
- (2) Represents RSUs, 100% of which will vest on April 30, 2017, subject to the grantee's continued employment through that date. On that date, the RSUs will be converted to common stock and a like number of shares will be issued to the grantee.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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