

Edgar Filing: HENNESSY ADVISORS INC - Form 8-K/A

HENNESSY ADVISORS INC  
Form 8-K/A  
May 09, 2003

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K/A

AMENDED REPORT

Pursuant to Section 13 or 15(d) of  
The Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): May 9, 2003

HENNESSY ADVISORS, INC.

(Exact name of registrant as specified in its charter)

|   |                                       |  |
|---|---------------------------------------|--|
| California<br>(State or other jurisdiction<br>of incorporation) | 000-49872<br>(Commission<br>File No.) | 68-0176227<br>(IRS Employer<br>Identification No.) |
|---|---------------------------------------|--|

|   |                     |
|---|---------------------|
| 750 Grant Avenue, Suite 100<br>Novato, California<br>(Address of principal executive offices) | 94945<br>(Zip Code) |
|---|---------------------|

Registrant's telephone number including area code: (415) 899-1555

(Former name or former address, if changed since last report)

Item 7. Financial Statements and Exhibits.

(c) Exhibits

Exhibit 99.1 Revised earnings release issued May 9, 2003, by  
Hennessy Advisors, Inc., for the six months ended  
March 31, 2003.

Item 9. Regulation FD Disclosure, and Item 12. Disclosure of Results of  
Operations and Financial Condition

The registrant has issued a revised earnings release for the  
six months ended March 31, 2003, which is included herein as Exhibit  
99.1.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

HENNESSY ADVISORS, INC.

May 9, 2003

By: /s/ Neil J. Hennessy

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Neil J. Hennessy  
President

EXHIBIT INDEX

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