

Sullivan James T
Form 3
August 12, 2005

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Sullivan James T

(Last) (First) (Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

08/12/2005

3. Issuer Name **and** Ticker or Trading Symbol
Rockwood Holdings, Inc. [ROC]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
Controller & Princ. Acct. Off.

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

C/O ROCKWOOD HOLDINGS.
INC.,Â 100 OVERLOOK
CENTER

(Street)

PRINCETON,Â NJÂ 08540

(City) (State) (Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock, par value \$0.01 per share

8,556

D Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Options (right to buy)	Â <u>(1)</u>	01/01/2012	Common Stock	6,845	\$ 14.61	D	Â
Employee Stock Options (right to buy)	Â <u>(2)</u>	10/15/2014	Common Stock	10,268	\$ 14.61	D	Â
Employee Stock Options (right to buy)	Â <u>(3)</u>	01/01/2012	Common Stock	6,845	\$ 14.61	D	Â
Employee Stock Options (right to buy)	Â <u>(4)</u>	10/15/2014	Common Stock	13,690	\$ 14.61	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Sullivan James T C/O ROCKWOOD HOLDINGS. INC. 100 OVERLOOK CENTER PRINCETON, NJ 08540	Â	Â	Â Controller & Princ. Acct. Off.	Â

Signatures

/s/ James T.
Sullivan

08/12/2005

__Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These options were granted on January 1, 2002 and vest 10% on each of the first and second anniversary of grant, 25% on each of the third and fourth anniversary of grant and 30% on the fifth anniversary of grant.
- (2) These options were granted on October 15, 2004 and vest in five equal annual installments beginning October 15, 2005.
- (3) These options vest on January 1, 2010, but may vest earlier upon the satisfaction of certain performance targets.
- (4) These options vest on October 15, 2012, but may vest earlier upon the satisfaction of certain performance targets.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.
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