

KOLB DAVID L
Form 4
August 28, 2009

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
KOLB DAVID L

(Last) (First) (Middle)

160 SOUTH INDUSTRIAL
BLVD., P.O. BOX 12069

(Street)

CALHOUN, GA 30703

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
MOHAWK INDUSTRIES INC
[MHK]

3. Date of Earliest Transaction
(Month/Day/Year)
08/27/2009

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	08/27/2009		X/K ⁽¹⁾	V Amount (A) or (D) Price 100,000 A \$ 49.27	402,740	D	
Common Stock	08/28/2009		P/K ⁽¹⁾	100,000 D \$ 69.84	302,740	D	
Common Stock					1,750	I	Kolb Foundation
Common Stock					369	I	Kolb Holdings, LP
					4,820	I	

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Common Stock						Minor Children
Common Stock	721	I				by Managed Account

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Underlying (Instr. 3 and 4)		
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title
Call Option (obligation to sell)	\$ 118.7891	08/27/2009		E/K ⁽¹⁾		100,000		08/27/2009	08/27/2009	Common Stock
Put Option (right to sell)	\$ 69.84	08/27/2009		X/K ⁽¹⁾		100,000		08/27/2009	08/27/2009	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 23.3281							⁽²⁾	01/01/2011	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 38.7275							⁽²⁾	01/01/2012	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 57.155							⁽²⁾	01/01/2013	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 61.3325							⁽²⁾	01/01/2014	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 75.095							01/01/2008 ⁽²⁾	01/01/2017	Common Stock
Non-Qualified Stock Option	\$ 81.4							01/01/2006 ⁽²⁾	01/01/2015	Common Stock

(right to buy)

Non-Qualified

Stock Option	\$ 83.1175
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(right to buy)

01/01/2007⁽²⁾ 01/01/2016

Commo
Stock

Phantom Stock \$ 0

(3)

(4)

Commo
Stock

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
KOLB DAVID L 160 SOUTH INDUSTRIAL BLVD. P.O. BOX 12069 CALHOUN, GA 30703	X			

Signatures

DAVID L.

KOLB

08/28/2009

****Signature of
Reporting Person**

Date _____

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

In connection with the settlement of a put option entered into on August 27, 2007, as part of a zero-cost collar arrangement, the reporting

- (1) person received, for each share of stock subject to the option, a cash amount equal to the excess of the exercise price of \$69.84 over the market price of \$49.27 per share. On the same date, the related call option expired unexercised.
- (2) SHARES ARE EXERCISABLE ONE YEAR AFTER DATE OF GRANT AT 20% PER YEAR.
- (3) To be paid in cash or stock upon termination as a director.
- (4) To be paid in cash or stock upon termination as a director.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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