

EBIX INC  
Form 8-K  
October 05, 2018

UNITED STATES

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SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 OR 15(d) of The Securities Exchange Act of 1934  
Date of Report (Date of earliest event reported)      October 5, 2018

EBIX, INC.

(Exact name of registrant as specified in its charter)

Delaware                              0-15946                              77-0021975  
(State or other jurisdiction   (Commission File Number) (IRS Employer  
of incorporation)                              Identification No.)

1 Ebix Way Johns Creek, Georgia      30097  
(Address of principal executive offices)   (Zip Code)

Registrant's telephone number, including area code   (678) 281-2020

N/A

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company     

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.



Item 4.01 Changes in Registrant's Certifying Accountant

The Audit Committee (the "Committee") of the Board of Directors of Ebix, Inc. (the "Company") recently conducted a competitive selection process to determine the Company's independent registered public accounting firm for the fiscal year ending December 31, 2018. The Committee invited several public accounting firms to participate in this process, necessitated by the Company's revenue and asset concentration in India for the year 2018. As a result of this process, the Committee approved the appointment of T R Chadha & Co. LLP ("TRC") as the Company's independent registered public accounting firm for the fiscal year ending December 31, 2018 effective October 5, 2018 replacing Cherry Bekaert LLP (the "Former Accounting Firm").

In connection with the audits of the Company's consolidated financial statements for the fiscal years ended December 31, 2016 and 2017, and in the subsequent interim period through October 5, 2018 there were no (a) disagreements with the Former Accounting Firm on any matters of accounting principles or practices, financial statement disclosure or auditing scope and procedures which, if not resolved to the satisfaction of the Former Accounting Firm, would have caused the Former Accounting Firm to make reference to the matter in their report.

Except as provided in the succeeding sentence, there were no reportable events (as that term is described in Item 304(a)(1)(v) of Regulation S-K during the two fiscal years ended December 31, 2016 and 2017 or in the subsequent period through October 5, 2018. The reports of the Former Accounting Firm on the Company's consolidated financial statements as of and for the years ended December 31, 2016 and 2017 did not contain an adverse opinion or a disclaimer of opinion, and were not qualified or modified as to uncertainty, audit scope or accounting principles, except that the Former Accounting Firm's report on internal control over financial reporting expressed an opinion that the Company had not maintained effective internal control over financial reporting as of December 31, 2017 because of the effect of material weaknesses identified by Company management in the design of the Company's controls over accounting for business combinations, the preparation of the income tax provision, and having contemporaneous documentation of significant acquisition related transactions.

The Company has provided a copy of the foregoing disclosures to the Former Accounting Firm and requested a (b) letter indicating whether or not it agrees with such disclosures. A copy of the letter, dated October 5, 2018 is attached hereto as Exhibit 16.1.

(c) During the years ended December 31, 2017 and 2016, and through October 5, 2018, the Company did not consult with TRC regarding any of the matters or events set forth in Item 304(a)(2)(i) or (ii) of Regulation S-K.

The audit committee of the Company intends to engage a Big 4 accounting firm as the Company's independent (d) registered public accounting firm for the fiscal year ending December 31, 2019 after conducting a thorough competitive selection process.

Item 9.01 Exhibits.

Exhibits

16.1 Letter from Cherry Bekaert LLP dated October 5, 2018.



SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

EBIX, INC.

By: /s/ Sean T. Donaghy

Name: Sean T. Donaghy

Title: Chief Financial Officer (principal financial and accounting officer)

Dated: October 5, 2018

rap align=left width="3%">**Mortgage Obligations**(cost \$57,872)**65,342 Agency Mortgage-Backed Securities 0.04%**Fannie Mae ARM 2.405% 3/1/38 6,6056,949 2.412% 5/1/43 3,8533,966 2.43% 10/1/36 5,2175,518 2.553% 6/1/43 1,7421,792 2.568% 10/1/36 7,2477,684

(continues) NQ-DEX [8/16] 10/16 (17651) 3

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# Schedule of investments

Delaware Enhanced Global Dividend and Income Fund (Unaudited)

	Principal amount <sup>o</sup>	Value (U.S. \$)
<b>Agency Mortgage-Backed Securities (continued)</b>		
Fannie Mae ARM		
2.772% 11/1/35	3,965	\$ 4,162
2.834% 4/1/36	14,130	14,920
2.87% 4/1/36	5,093	5,325
3.275% 9/1/43	3,344	3,493
Freddie Mac ARM		
2.498% 10/1/36	7,921	8,395
FREMF Mortgage Trust		
Series 2011-K15 B 144A		
5.116% 8/25/44 #	10,000	11,032
Series 2012-K22 B 144A		
3.811% 8/25/45 #	10,000	10,573
<b>Total Agency</b>		
<b>Mortgage-Backed</b>		
<b>Securities (cost \$80,933)</b>		<b>83,809</b>
<b>Commercial Mortgage-Backed Securities 0.03%</b>		
Banc of America Commercial		
Mortgage Trust		
Series 2007-4 AM		
6.00% 2/10/51	35,000	36,150
JPMorgan Chase Commercial		
Mortgage Securities Trust		
Series 2006-LDP8 AM		
5.44% 5/15/45	587	586
LB-UBS Commercial		
Mortgage Trust		
Series 2006-C6 AJ		
5.452% 9/15/39	10,000	9,300
Series 2006-C6 AM		
5.413% 9/15/39	12,137	12,137
<b>Total Commercial</b>		
<b>Mortgage-Backed</b>		
<b>Securities (cost \$62,940)</b>		<b>58,173</b>
<b>Convertible Bonds 14.67%</b>		
Brokerage 0.52%		
Jefferies Group 3.875%		
exercise price \$44.19,		
maturity date 11/1/29	968,000	985,545
		985,545
Communications 2.23%		
Alaska Communications		
Systems Group 6.25%		
exercise price \$10.28,		
maturity date 5/1/18 @	1,349,000	1,327,079
Clearwire Communications		
144A 8.25% exercise price		
\$7.08, maturity date		

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	12/1/40 #		1,291,000	1,349,902
	DISH Network 144A 3.375% exercise price \$65.18, maturity date 8/15/26 #		449,000	470,608
	Liberty Interactive 144A 1.00% exercise price \$64.18, maturity date 9/30/43 #		980,000	847,337
	Liberty Media 144A 2.25% exercise price \$104.55, maturity date 9/30/46 #		178,000	183,674
Consumer Cyclical	0.93%			4,178,600
	Huron Consulting Group 1.25% exercise price \$79.89, maturity date 10/1/19		565,000	587,600
	Meritor 4.00% exercise price \$26.73, maturity date 2/15/27		1,149,000	1,153,309
Consumer Non-Cyclical	2.14%			1,740,909
	HealthSouth 2.00% exercise price \$37.59, maturity date 12/1/43		701,000	829,371
	Hologic 2.00% exercise price \$31.18, maturity date 3/1/42		597,000	816,024
	NuVasive 144A 2.25% exercise price \$59.82, maturity date 3/15/21 #		285,000	358,209
	Spectrum Pharmaceuticals 2.75% exercise price \$10.53, maturity date 12/15/18 @		922,000	856,307
	Vector Group 1.75% exercise price \$24.64, maturity date 4/15/20		838,000	938,036
			157,000	226,549
				4,024,496
Energy	0.25%			
	Helix Energy Solutions Group 3.25% exercise price \$25.02, maturity date 3/15/32		501,000	469,687
				469,687

4 NQ-DEX [8/16] 10/16 (17651)

(Unaudited)

		Principal amount <sup>o</sup>	Value (U.S. \$)
<b>Convertible Bonds (continued)</b>			
<b>Financials</b>	<b>1.37%</b>		
	Ares Capital 4.75% exercise price \$19.87, maturity date 1/15/18	551,000	\$ 573,040
	Blackhawk Network Holdings 144A 1.50% exercise price \$49.83, maturity date 1/15/22 #	511,000	514,833
	GAIN Capital Holdings 4.125% exercise price \$12.00, maturity date 12/1/18 @	824,000	791,555
	New Mountain Finance 5.00% exercise price \$15.93, maturity date 6/15/19 @	676,000	694,590
			2,574,018
<b>Healthcare</b>	<b>0.52%</b>		
	Brookdale Senior Living 2.75% exercise price \$29.33, maturity date 6/15/18	966,000	967,811
			967,811
<b>Industrials</b>	<b>0.50%</b>		
	Chart Industries 2.00% exercise price \$69.03, maturity date 8/1/18 @	962,000	938,551
			938,551
<b>Real Estate Investment Trusts</b>	<b>1.66%</b>		
	Blackstone Mortgage Trust 5.25% exercise price \$28.36, maturity date 12/1/18	1,069,000	1,165,210
	Spirit Realty Capital 3.75% exercise price \$13.10, maturity date 5/15/21 @	624,000	709,803
	VEREIT 3.75% exercise price \$14.99, maturity date 12/15/20 @	1,219,000	1,245,672
			3,120,685
<b>Technology</b>	<b>4.25%</b>		
	Cardtronics 1.00% exercise price \$52.35, maturity date 12/1/20	1,096,000	1,165,870
	Ciena 144A 3.75% exercise price \$20.17, maturity date 10/15/18 #	469,000	591,233
	Electronics For Imaging 0.75% exercise price \$52.72, maturity date 9/1/19	631,000	684,241
	Intel 3.25% exercise price \$21.18, maturity date 8/1/39	401,000	702,003



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j2 Global 3.25% exercise price \$69.08, maturity date 6/15/29	789,000	937,924
Knowles 144A 3.25% exercise price \$18.43, maturity date 11/1/21 #	248,000	261,330
Micron Technology 3.00% exercise price \$29.16, maturity date 11/15/43	935,000	839,163
Nuance Communications 2.75% exercise price \$32.30, maturity date 11/1/31	712,000	717,785
PROS Holdings 2.00% exercise price \$33.79, maturity date 12/1/19	1,091,000	1,050,087
Verint Systems 1.50% exercise price \$64.46, maturity date 6/1/21	1,101,000	1,026,683
		7,976,319
<b>Transportation 0.30%</b>		
Atlas Air Worldwide Holdings 2.25% exercise price \$74.05, maturity date 6/1/22	595,000	555,209
		555,209
<b>Total Convertible Bonds</b> (cost \$26,098,762)		<b>27,531,830</b>
<b>Corporate Bonds 43.56%</b>		
<b>Banking 1.63%</b>		
Bank of America 4.45% 3/3/26	25,000	26,975
Bank of New York Mellon 2.20% 8/16/23	5,000	4,992
2.50% 4/15/21	10,000	10,306
4.625% 12/29/49	5,000	5,019
BB&T 2.45% 1/15/20	35,000	35,910
Citizens Financial Group 4.30% 12/3/25	5,000	5,298
Credit Suisse Group 144A 6.25% 12/29/49 #	485,000	476,207

(continues) NQ-DEX [8/16] 10/16 (17651) 5

## Schedule of investments

Delaware Enhanced Global Dividend and Income Fund (Unaudited)

	Principal amount <sup>o</sup>	Value (U.S. \$)
<b>Corporate Bonds (continued)</b>		
<b>Banking (continued)</b>		
Huntington Bancshares 2.30% 1/14/22	5,000	\$ 4,987
JPMorgan Chase 4.25% 10/1/27	25,000	27,080
6.75% 1/29/49	715,000	807,206
Lloyds Banking Group 7.50% 4/30/49	245,000	249,594
Morgan Stanley 3.125% 7/27/26	10,000	10,138
3.95% 4/23/27	60,000	62,586
PNC Financial Services Group 5.625% 2/1/17	35,000	35,632
Popular 7.00% 7/1/19	485,000	503,187
Royal Bank of Scotland Group 8.625% 12/29/49	255,000	260,419
Santander UK Group Holdings 2.875% 10/16/20	15,000	15,137
State Street 2.55% 8/18/20	5,000	5,184
3.10% 5/15/23	5,000	5,232
3.55% 8/18/25	5,000	5,474
SunTrust Banks 2.35% 11/1/18	10,000	10,172
Toronto-Dominion Bank 2.125% 4/7/21	5,000	5,062
2.50% 12/14/20	5,000	5,145
UBS Group 6.875% 12/29/49	400,000	398,738
US Bancorp 3.10% 4/27/26	5,000	5,181
USB Capital IX 3.50% 10/29/49	80,000	68,500
Wells Fargo 4.40% 6/14/46	10,000	10,703
Zions Bancorporation 4.50% 6/13/23	5,000	5,214
		3,065,278
<b>Basic Industry 3.88%</b>		
AK Steel 7.625% 5/15/20	271,000	265,580
ArcelorMittal 10.85% 6/1/19	285,000	341,287
Boise Cascade 144A 5.625% 9/1/24 #	425,000	435,625
Builders FirstSource 144A 5.625% 9/1/24 #	215,000	219,837
144A 10.75% 8/15/23 #	585,000	666,900
Cemex Finance 144A 6.00% 4/1/24 #	200,000	211,500
CF Industries 6.875% 5/1/18	10,000	10,773
Chemours 6.625% 5/15/23	215,000	207,475

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Chemours			
7.00% 5/15/25	123,000		118,311
CVR Partners 144A			
9.25% 6/15/23 #	225,000		223,875
Dow Chemical			
8.55% 5/15/19	34,000		40,152
Eastman Chemical			
4.65% 10/15/44	10,000		10,285
FMG Resources August 2006			
144A 6.875% 4/1/22 #	655,000		677,925
144A 9.75% 3/1/22 #	75,000		87,000
Georgia-Pacific			
8.00% 1/15/24	20,000		26,678
International Paper			
4.40% 8/15/47	5,000		5,102
5.15% 5/15/46	5,000		5,644
INVISTA Finance 144A			
4.25% 10/15/19 #	10,000		9,890
James Hardie International			
Finance 144A			
5.875% 2/15/23 #	415,000		434,713
Joseph T Ryerson & Son 144A			
11.00% 5/15/22 #	165,000		181,913
Kraton Polymers 144A			
10.50% 4/15/23 #	185,000		207,200
NCI Building Systems 144A			
8.25% 1/15/23 #	340,000		374,000
New Gold			
144A 6.25% 11/15/22 #	146,000		151,475
144A 7.00% 4/15/20 #	150,000		155,250
NOVA Chemicals 144A			
5.00% 5/1/25 #	285,000		294,975
PQ 144A 6.75% 11/15/22 #	400,000		425,000
Rayonier AM Products 144A			
5.50% 6/1/24 #	259,000		240,430
Rio Tinto Finance USA			
3.75% 6/15/25	5,000		5,309
Steel Dynamics			
5.50% 10/1/24	240,000		253,800
Summit Materials			
6.125% 7/15/23	420,000		428,400
144A 8.50% 4/15/22 #	120,000		131,700
US Concrete 6.375% 6/1/24	405,000		423,225
			7,271,229
Brokerage 0.02%			
Jefferies Group			
5.125% 1/20/23	10,000		10,771
6.45% 6/8/27	5,000		5,622
6.50% 1/20/43	5,000		5,291

6 NQ-DEX [8/16] 10/16 (17651)

(Unaudited)

		Principal amount <sup>o</sup>	Value (U.S. \$)
<b>Corporate Bonds (continued)</b>			
Brokerage (continued)			
	Lazard Group 6.85% 6/15/17	6,000	\$ 6,231
			27,915
<b>Capital Goods 4.20%</b>			
	Ardagh Packaging Finance 144A 4.625% 5/15/23 #	200,000	204,500
	144A 6.00% 6/30/21 #	400,000	414,000
	144A 7.25% 5/15/24 #	200,000	213,750
	Ball 5.25% 7/1/25	200,000	217,250
	BWAY Holding 144A 9.125% 8/15/21 #	473,000	486,007
	Cemex 144A 5.70% 1/11/25 #	1,000,000	1,035,000
	144A 7.25% 1/15/21 #	280,000	301,000
	144A 7.75% 4/16/26 #	200,000	227,000
	Crane 4.45% 12/15/23	10,000	10,742
	Fortive 144A 3.15% 6/15/26 #	5,000	5,219
	Fortune Brands Home & Security 3.00% 6/15/20	5,000	5,124
	Gardner Denver 144A 6.875% 8/15/21 #	714,000	662,235
	General Electric Capital 2.10% 12/11/19	35,000	36,016
	5.55% 5/4/20	5,000	5,703
	6.00% 8/7/19	10,000	11,393
	KLX 144A 5.875% 12/1/22 #	385,000	405,983
	Lockheed Martin 3.55% 1/15/26	5,000	5,444
	Masco 3.50% 4/1/21	5,000	5,244
	Novelis 144A 6.25% 8/15/24 #	525,000	548,625
	Plastipak Holdings 144A 6.50% 10/1/21 #	405,000	423,225
	Reynolds Group Issuer 8.25% 2/15/21	540,000	562,210
	Signode Industrial Group 144A 6.375% 5/1/22 #	360,000	369,000
	StandardAero Aviation Holdings 144A 10.00% 7/15/23 #	400,000	422,000
	TransDigm 144A 6.375% 6/15/26 #	650,000	666,250
	Zekelman Industries 144A 9.875% 6/15/23 #	590,000	632,775
			7,875,695
<b>Communications 4.22%</b>			
	21st Century Fox America 4.95% 10/15/45	5,000	5,890
	American Tower Trust I 144A 3.07% 3/15/23 #	20,000	20,839
	AT&T 3.60% 2/17/23	5,000	5,290

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	4.35% 6/15/45	5,000	5,061
	5.65% 2/15/47	5,000	6,046
	CC Holdings GS V		
	3.849% 4/15/23	5,000	5,389
	CenturyLink		
	5.80% 3/15/22	210,000	218,053
	6.75% 12/1/23	370,000	390,813
	7.50% 4/1/24	130,000	141,294
	Charter Communications		
	Operating 144A		
	4.908% 7/23/25 #	20,000	22,108
	Cogent Communications		
	Finance 144A		
	5.625% 4/15/21 #@	320,000	327,200
	Cogent Communications		
	Group 144A		
	5.375% 3/1/22 #	125,000	129,375
	Comcast 2.35% 1/15/27	15,000	14,888
	Crown Castle Towers 144A		
	4.883% 8/15/20 #	30,000	32,718
	Digicel 144A 6.75% 3/1/23 #	355,000	325,713
	Digicel Group		
	144A 7.125% 4/1/22 #	1,250,000	1,018,750
	144A 8.25% 9/30/20 #	475,000	432,250
	Frontier Communications		
	10.50% 9/15/22	365,000	398,534
	11.00% 9/15/25	249,000	269,854
	Historic TW 6.875% 6/15/18	25,000	27,390
	Lamar Media 144A		
	5.75% 2/1/26 #	360,000	390,600
	Level 3 Financing		
	5.375% 5/1/25	360,000	379,350
	Sable International Finance		
	144A 6.875% 8/1/22 #	290,000	301,600
	Sprint		
	7.125% 6/15/24	510,000	478,125
	7.25% 9/15/21	115,000	114,281
	Sprint Communications		
	144A 7.00% 3/1/20 #	190,000	204,725
	7.00% 8/15/20	302,000	298,980
	Time Warner Cable		
	7.30% 7/1/38	5,000	6,514

(continues) NQ-DEX [8/16] 10/16 (17651) 7

## Schedule of investments

Delaware Enhanced Global Dividend and Income Fund (Unaudited)

	Principal amount <sup>o</sup>	Value (U.S. \$)
<b>Corporate Bonds (continued)</b>		
<b>Communications (continued)</b>		
T-Mobile USA		
6.00% 3/1/23	195,000	\$ 207,439
6.00% 4/15/24	85,000	90,737
6.375% 3/1/25	155,000	167,206
6.50% 1/15/26	320,000	351,400
Verizon Communications		
1.75% 8/15/21	5,000	4,968
4.125% 8/15/46	15,000	15,126
Virgin Media Secured Finance		
144A 5.25% 1/15/26 #	410,000	421,275
Wind Acquisition Finance		
144A 7.375% 4/23/21 #	365,000	376,863
Zayo Group 6.00% 4/1/23	305,000	318,725
		7,925,369
<b>Consumer Cyclical 3.03%</b>		
American Tire Distributors		
144A 10.25% 3/1/22 #	460,000	410,265
Beacon Roofing Supply		
6.375% 10/1/23	290,000	313,200
BMW U.S. Capital		
144A 2.00% 4/11/21 #	5,000	5,066
144A 2.80% 4/11/26 #	5,000	5,193
Boyd Gaming		
144A 6.375% 4/1/26 #	150,000	160,500
6.875% 5/15/23	520,000	564,200
CVS Health 3.875% 7/20/25	5,000	5,522
General Motors Financial		
3.45% 4/10/22	10,000	10,206
3.70% 5/9/23	5,000	5,108
Golden Nugget Escrow 144A		
8.50% 12/1/21 #	120,000	126,000
Group 1 Automotive 144A		
5.25% 12/15/23 #	255,000	259,463
HD Supply 144A		
5.75% 4/15/24 #	205,000	218,837
Home Depot 3.00% 4/1/26	10,000	10,685
Hyundai Capital America		
144A 2.55% 2/6/19 #	10,000	10,207
JC Penney 8.125% 10/1/19	400,000	432,500
L Brands		
6.75% 7/1/36	200,000	215,900
6.875% 11/1/35	415,000	455,463
Lowe's		
3.375% 9/15/25	5,000	5,422
3.70% 4/15/46	5,000	5,239
M/I Homes 6.75% 1/15/21	400,000	420,000
Marriott International		
3.125% 6/15/26	5,000	5,103
MGM Growth Properties		

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Operating Partnership 144A 5.625% 5/1/24 #	215,000	233,813
MGM Resorts International 4.625% 9/1/26	155,000	154,225
Mohegan Tribal Gaming Authority 9.75% 9/1/21	730,000	785,663
Neiman Marcus Group 144A 8.00% 10/15/21 #	225,000	193,657
O Reilly Automotive 3.55% 3/15/26	5,000	5,343
Penske Automotive Group 5.50% 5/15/26	395,000	396,232
Scientific Games International 10.00% 12/1/22	250,000	232,500
Starbucks 2.70% 6/15/22	5,000	5,255
Starwood Hotels & Resorts Worldwide 3.75% 3/15/25 @	5,000	5,237
Target 3.625% 4/15/46	5,000	5,234
Toyota Motor Credit 2.80% 7/13/22	5,000	5,249
Walgreens Boots Alliance 3.10% 6/1/23	10,000	10,335
3.45% 6/1/26	10,000	10,432
		5,687,254
<b>Consumer Non-Cyclical 3.27%</b>		
AbbVie 3.20% 5/14/26	10,000	10,267
Actavis Funding 3.80% 3/15/25	10,000	10,585
Albertsons 144A 6.625% 6/15/24 #	390,000	419,679
Amgen 2.60% 8/19/26	5,000	4,980
Anheuser-Busch InBev Finance 3.65% 2/1/26	30,000	32,063
Archer-Daniels-Midland 2.50% 8/11/26	5,000	5,042
AstraZeneca 3.375% 11/16/25	5,000	5,368
Becton Dickinson 3.734% 12/15/24	5,000	5,447
6.375% 8/1/19	10,000	11,345
Biogen 5.20% 9/15/45	5,000	6,058
Celgene 3.25% 8/15/22	5,000	5,227
3.875% 8/15/25	5,000	5,414
Coca-Cola 2.25% 9/1/26	5,000	5,008
Covidien International Finance 4.20% 6/15/20	20,000	21,847

8 NQ-DEX [8/16] 10/16 (17651)

(Unaudited)

	Principal amount <sup>o</sup>	Value (U.S. \$)
<b>Corporate Bonds (continued)</b>		
Consumer Non-Cyclical (continued)		
Dean Foods 144A 6.50% 3/15/23 #	310,000	\$ 330,150
JBS Investments 144A 7.75% 10/28/20 #	210,000	224,175
JBS USA 144A 5.75% 6/15/25 #	1,530,000	1,560,600
Kraft Heinz Foods 3.00% 6/1/26	15,000	15,231
Kronos Acquisition Holdings 144A 9.00% 8/15/23 #	565,000	590,425
Molson Coors Brewing 3.00% 7/15/26	5,000	5,074
Mylan 144A 3.15% 6/15/21 #	5,000	5,120
144A 3.95% 6/15/26 #	15,000	15,498
NBTY 144A 7.625% 5/15/21 #	600,000	615,000
Post Holdings 144A 7.75% 3/15/24 #	320,000	357,200
Prestige Brands 144A 5.375% 12/15/21 #	210,000	218,663
Revlon Escrow 144A 6.25% 8/1/24 #	230,000	239,775
Reynolds American 4.00% 6/12/22	5,000	5,487
4.45% 6/12/25	15,000	16,867
St. Jude Medical 2.80% 9/15/20	5,000	5,179
SUPERVALU 7.75% 11/15/22	195,000	185,250
Sysco 3.30% 7/15/26	10,000	10,500
Teva Pharmaceutical Finance Netherlands III 2.80% 7/21/23	10,000	10,070
Thermo Fisher Scientific 3.00% 4/15/23	10,000	10,265
US Foods 144A 5.875% 6/15/24 #	335,000	352,587
Valeant Pharmaceuticals International 144A 5.375% 3/15/20 #	260,000	246,350
144A 6.125% 4/15/25 #	180,000	158,625
144A 6.75% 8/15/18 #	375,000	377,963
Zimmer Biomet Holdings 4.625% 11/30/19	30,000	32,409
		6,136,793
Electric 0.71%		
Alabama Power 4.30% 1/2/46	15,000	17,337
Ameren Illinois 9.75% 11/15/18	45,000	52,800
American Transmission Systems 144A 5.25% 1/15/22 #	15,000	17,116



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Berkshire Hathaway Energy 3.75% 11/15/23	10,000	10,952
Black Hills 3.15% 1/15/27	5,000	5,070
Cleveland Electric Illuminating 5.50% 8/15/24	15,000	17,966
CMS Energy 6.25% 2/1/20	5,000	5,713
Commonwealth Edison 5.80% 3/15/18	5,000	5,349
Dominion Resources 3.90% 10/1/25	5,000	5,440
DTE Energy 3.30% 6/15/22	5,000	5,327
Duke Energy 4.80% 12/15/45	5,000	5,917
Dynegy 7.625% 11/1/24	530,000	522,050
Emera 6.75% 6/15/76	395,000	427,881
Emera US Finance 144A 4.75% 6/15/46 #	10,000	11,057
Entergy Louisiana 4.05% 9/1/23	15,000	16,635
Exelon 3.95% 6/15/25	10,000	10,952
Great Plains Energy 4.85% 6/1/21	5,000	5,469
IPALCO Enterprises 5.00% 5/1/18	10,000	10,550
ITC Holdings 3.65% 6/15/24	5,000	5,257
Kansas City Power & Light 3.65% 8/15/25	10,000	10,553
LG&E & KU Energy 4.375% 10/1/21	20,000	22,053
Massachusetts Electric 144A 4.004% 8/15/46 #	10,000	10,480
National Rural Utilities Cooperative Finance 2.85% 1/27/25	5,000	5,209
4.75% 4/30/43	10,000	10,127
NextEra Energy Capital Holdings 2.40% 9/15/19	10,000	10,180
NV Energy 6.25% 11/15/20	5,000	5,884
Pennsylvania Electric 5.20% 4/1/20	10,000	10,861
PPL Electric Utilities 3.00% 9/15/21	10,000	10,597
Public Service of New Hampshire 3.50% 11/1/23	5,000	5,363

(continues) NQ-DEX [8/16] 10/16 (17651) 9

## Schedule of investments

Delaware Enhanced Global Dividend and Income Fund (Unaudited)

	Principal amount <sup>o</sup>	Value (U.S. \$)
<b>Corporate Bonds (continued)</b>		
Electric (continued)		
Public Service of Oklahoma 5.15% 12/1/19	30,000	\$ 33,093
SCANA 4.125% 2/1/22	10,000	10,431
Southern 3.25% 7/1/26	10,000	10,430
4.40% 7/1/46	5,000	5,532
Xcel Energy 3.30% 6/1/25	10,000	10,603
		1,330,234
Energy 5.68%		
Antero Resources 5.375% 11/1/21	155,000	155,969
5.625% 6/1/23	250,000	251,875
6.00% 12/1/20	70,000	72,013
Baytex Energy 144A 5.625% 6/1/24 #	225,000	183,375
Cheniere Corpus Christi Holdings 144A 7.00% 6/30/24 #	205,000	220,375
Continental Resources 5.00% 9/15/22	210,000	204,225
Ecopetrol 5.875% 5/28/45	615,000	578,715
Energy Transfer Equity 7.50% 10/15/20	245,000	269,500
Energy Transfer Partners 4.75% 1/15/26	5,000	5,258
9.70% 3/15/19	7,000	8,062
Enterprise Products Operating 3.95% 2/15/27	5,000	5,274
Freeport-McMoran Oil & Gas 6.50% 11/15/20	425,000	428,187
6.875% 2/15/23	200,000	195,500
Genesis Energy 5.75% 2/15/21	360,000	359,100
6.00% 5/15/23	80,000	80,000
6.75% 8/1/22	244,000	250,100
Hilcorp Energy I 144A 5.00% 12/1/24 #	208,000	202,800
144A 5.75% 10/1/25 #	154,000	151,690
Holly Energy Partners 144A 6.00% 8/1/24 #	225,000	230,625
Laredo Petroleum 7.375% 5/1/22	445,000	451,675
Murphy Oil 6.875% 8/15/24	400,000	418,808
Murphy Oil USA 6.00% 8/15/23	395,000	420,477
Noble Energy 5.05% 11/15/44	5,000	5,009
Noble Holding International 5.00% 3/16/18	210,000	207,007
NuStar Logistics		

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	6.75% 2/1/21	360,000	384,300
	Oasis Petroleum		
	6.875% 3/15/22	560,000	523,600
	Petroleos Mexicanos		
	5.50% 6/27/44	512,000	488,960
	6.625% 6/15/35	1,000,000	1,087,700
	Plains All American Pipeline		
	8.75% 5/1/19	10,000	11,503
	QEP Resources		
	5.25% 5/1/23	420,000	413,700
	Regency Energy Partners		
	5.875% 3/1/22	10,000	10,991
	Sabine Pass Liquefaction		
	144A 5.875% 6/30/26 #	170,000	182,537
	Shell International Finance		
	4.00% 5/10/46	5,000	5,253
	Southwestern Energy		
	6.70% 1/23/25	225,000	232,313
	Sunoco Logistics Partners		
	Operations		
	3.90% 7/15/26	5,000	5,052
	Targa Resources Partners		
	144A 6.75% 3/15/24 #	375,000	400,313
	6.875% 2/1/21	325,000	338,000
	Tesoro Logistics		
	6.375% 5/1/24	310,000	332,475
	Transcanada Trust		
	5.875% 8/15/76	5,000	5,341
	Transocean 5.05% 10/15/22	535,000	429,337
	Williams Partners		
	7.25% 2/1/17	20,000	20,450
	Woodside Finance 144A		
	8.75% 3/1/19 #	15,000	17,226
	WPX Energy 7.50% 8/1/20	395,000	407,591
			10,652,261
Financials	0.84%		
	AerCap Global Aviation Trust		
	144A 6.50% 6/15/45 #	400,000	413,000
	Affiliated Managers Group		
	3.50% 8/1/25	5,000	5,004
	Air Lease 3.00% 9/15/23	5,000	4,973
	Ally Financial		
	5.75% 11/20/25	485,000	517,737
	Aviation Capital Group		
	144A 4.875% 10/1/25 #	5,000	5,394
	144A 6.75% 4/6/21 #	5,000	5,881

10 NQ-DEX [8/16] 10/16 (17651)

(Unaudited)

		Principal amount <sup>o</sup>	Value (U.S. \$)
<b>Corporate Bonds</b> (continued)			
Financials (continued)			
	E*TRADE Financial		
	5.875% 12/29/49	400,000	\$ 416,000
	FBM Finance 144A		
	8.25% 8/15/21 #	200,000	210,500
			1,578,489
Healthcare	3.33%		
	Air Medical Merger Sub 144A		
	6.375% 5/15/23 #	615,000	604,237
	Community Health Systems		
	6.875% 2/1/22	201,000	167,835
	DaVita HealthCare Partners		
	5.00% 5/1/25	450,000	457,200
	5.125% 7/15/24	145,000	149,984
	HCA		
	5.375% 2/1/25	715,000	737,344
	5.875% 2/15/26	110,000	116,463
	HealthSouth		
	5.75% 11/1/24	420,000	437,640
	5.75% 9/15/25	205,000	215,506
	Hill-Rom Holdings 144A		
	5.75% 9/1/23 #	395,000	416,725
	IASIS Healthcare		
	8.375% 5/15/19	635,000	578,644
	Immucor 11.125% 8/15/19	85,000	80,325
	Kinetic Concepts		
	10.50% 11/1/18	235,000	242,637
	12.50% 11/1/19	325,000	332,313
	Mallinckrodt International		
	Finance		
	4.75% 4/15/23	195,000	181,959
	144A 5.50% 4/15/25 #	80,000	79,200
	144A 5.625% 10/15/23 #	245,000	247,144
	MPH Acquisition Holdings		
	144A 7.125% 6/1/24 #	575,000	619,563
	Tenet Healthcare		
	8.125% 4/1/22	580,000	590,150
			6,254,869
Insurance	0.78%		
	American International Group		
	4.125% 2/15/24	5,000	5,391
	Berkshire Hathaway Finance		
	2.90% 10/15/20	35,000	36,829
	Chubb INA Holdings		
	3.35% 5/3/26	5,000	5,377
	Highmark 144A		
	6.125% 5/15/41 #@	5,000	5,012
	HUB International		
	144A 7.875% 10/1/21 #	435,000	445,875
	HUB International		
	144A 9.25% 2/15/21 #	110,000	116,600
	Liberty Mutual Group 144A		
	4.95% 5/1/22 #	5,000	5,582

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MetLife 6.40% 12/15/36	100,000	112,550
Principal Life Global		
Funding II 144A		
3.00% 4/18/26 #	5,000	5,124
Prudential Financial		
5.375% 5/15/45	5,000	5,263
TIAA Asset Management		
Finance		
144A 2.95% 11/1/19 #	5,000	5,140
144A 4.125% 11/1/24 #	10,000	10,550
USI 144A 7.75% 1/15/21 #	420,000	430,500
XLIT		
4.45% 3/31/25	5,000	5,101
6.50% 12/29/49	365,000	274,115
		1,469,009
<b>Media 4.65%</b>		
Altice Luxembourg 144A		
7.75% 5/15/22 #	430,000	459,294
CCO Holdings		
144A 5.375% 5/1/25 #	155,000	163,719
144A 5.50% 5/1/26 #	25,000	26,531
144A 5.75% 2/15/26 #	325,000	348,563
144A 5.875% 5/1/27 #	305,000	327,113
Cequel Communications		
Holdings I 144A		
7.75% 7/15/25 #	325,000	356,687
Columbus Cable Barbados		
144A 7.375% 3/30/21 #	470,000	504,663
CSC Holdings		
5.25% 6/1/24	455,000	443,907
144A		
10.875% 10/15/25 #	200,000	235,000
DISH DBS		
5.875% 11/15/24	110,000	108,900
144A 7.75% 7/1/26 #	457,000	488,757
Gray Television 144A		
5.875% 7/15/26 #	450,000	470,250
Midcontinent		
Communications &		
Midcontinent Finance		
144A 6.875% 8/15/23 #	235,000	249,100
Nexstar Escrow 144A		
5.625% 8/1/24 #	705,000	720,863
RCN Telecom Services 144A		
8.50% 8/15/20 #	315,000	337,050

(continues) NQ-DEX [8/16] 10/16 (17651) 11

# Schedule of investments

## Delaware Enhanced Global Dividend and Income Fund (Unaudited)

	Principal amount <sup>o</sup>	Value (U.S. \$)
<b>Corporate Bonds (continued)</b>		
Media (continued)		
SFR Group 144A 7.375% 5/1/26 #	860,000	\$ 889,025
Sinclair Television Group 144A 5.625% 8/1/24 #	390,000	407,199
Sirius XM Radio 144A 5.375% 4/15/25 #	380,000	399,000
Tribune Media 5.875% 7/15/22	425,000	436,687
Unitymedia 144A 6.125% 1/15/25 #	400,000	431,000
VTR Finance 144A 6.875% 1/15/24 #	430,000	452,446
WideOpenWest Finance 10.25% 7/15/19	445,000	470,587
		8,726,341
Natural Gas 0.36%		
AmeriGas Partners 5.875% 8/20/26	615,000	661,125
KeySpan Gas East 144A 2.742% 8/15/26 #	5,000	5,089
NiSource Finance 6.125% 3/1/22	5,000	5,958
Southern Gas Capital 3.25% 6/15/26	5,000	5,168
		677,340
Real Estate Investment Trusts 1.41%		
AvalonBay Communities 2.95% 5/11/26	5,000	5,068
Communications Sales & Leasing 144A 6.00% 4/15/23 #	170,000	177,225
8.25% 10/15/23	165,000	172,837
Corporate Office Properties 3.60% 5/15/23	5,000	5,001
5.25% 2/15/24	10,000	10,909
CubeSmart 3.125% 9/1/26	5,000	5,006
DDR 3.625% 2/1/25	5,000	5,084
7.50% 4/1/17	5,000	5,160
7.875% 9/1/20	20,000	24,085
Education Realty Operating Partnership 4.60% 12/1/24	5,000	5,266
ESH Hospitality 144A 5.25% 5/1/25 #	580,000	582,720
GEO Group 5.125% 4/1/23	165,000	148,087
GEO Group 5.875% 1/15/22	700,000	665,000

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	5.875% 10/15/24	140,000	126,700
	6.00% 4/15/26	280,000	252,350
	Hospitality Properties Trust		
	4.50% 3/15/25	5,000	5,098
	Host Hotels & Resorts		
	4.50% 2/1/26	5,000	5,348
	Iron Mountain US Holdings		
	144A 5.375% 6/1/26 #	420,000	429,975
	Sovran Acquisition		
	3.50% 7/1/26	5,000	5,130
	UDR 2.95% 9/1/26	5,000	5,036
	WP Carey 4.60% 4/1/24	5,000	5,241
			2,646,326
Services	1.95%		
	BlueLine Rental Finance 144A		
	7.00% 2/1/19 #	395,000	343,650
	GFL Environmental 144A		
	9.875% 2/1/21 #	260,000	284,700
	Herc Rentals		
	144A 7.50% 6/1/22 #	115,000	119,887
	144A 7.75% 6/1/24 #	300,000	314,250
	Mattamy Group 144A		
	6.50% 11/15/20 #	275,000	272,937
	NES Rentals Holdings 144A		
	7.875% 5/1/18 #	240,000	235,200
	Prime Security Services		
	Borrower 144A		
	9.25% 5/15/23 #	740,000	807,525
	Scientific Games		
	8.125% 9/15/18	210,000	211,313
	Team Health 144A		
	7.25% 12/15/23 #	325,000	355,063
	United Rentals North America		
	5.50% 7/15/25	50,000	51,750
	5.75% 11/15/24	355,000	372,750
	Vander Intermediate Holding		
	II 144A PIK 9.75%		
	2/1/19 #	173,662	93,777
	XPO Logistics 144A		
	6.125% 9/1/23 #	185,000	190,550
			3,653,352
Technology	2.40%		
	Apple 3.85% 8/4/46	5,000	5,232
	CDK Global 4.50% 10/15/24	5,000	5,015
	CommScope 144A		
	5.50% 6/15/24 #	150,000	158,063

12 NQ-DEX [8/16] 10/16 (17651)

(Unaudited)

	Principal amount <sup>o</sup>	Value (U.S. \$)
<b>Corporate Bonds (continued)</b>		
Technology (continued)		
CommScope Technologies Finance 144A 6.00% 6/15/25 #	240,000	\$ 255,900
Diamond 1 Finance 144A 6.02% 6/15/26 # 144A 8.10% 7/15/36 #	15,000 310,000	16,078 361,049
Entegris 144A 6.00% 4/1/22 #	415,000	433,156
Fidelity National Information Services 5.00% 10/15/25	10,000	11,499
First Data 144A 7.00% 12/1/23 #	986,000	1,036,533
Infor Software Parent 144A PIK 7.125% 5/1/21 #	110,000	103,675
Infor US 6.50% 5/15/22	510,000	519,563
Micron Technology 144A 7.50% 9/15/23 #	185,000	204,425
Microsemi 144A 9.125% 4/15/23 #	435,000	500,250
Microsoft 2.40% 8/8/26 3.45% 8/8/36 3.70% 8/8/46	5,000 5,000 5,000	5,033 5,151 5,209
National Semiconductor 6.60% 6/15/17	20,000	20,871
Oracle 2.40% 9/15/23 4.00% 7/15/46	5,000 25,000	5,050 26,397
Sensata Technologies UK Financing 144A 6.25% 2/15/26 #	350,000	385,437
Solera 144A 10.50% 3/1/24 #	255,000	283,687
Western Digital 144A 10.50% 4/1/24 #	140,000	158,550
		4,505,823
Transportation 0.44%		
Air Canada 2015-1 Class A Pass Through Trust 144A 3.60% 3/15/27 #	4,922	5,137
American Airlines 2014-1 Class A Pass Through Trust 3.70% 10/1/26	4,567	4,807
American Airlines 2015-1 Class A Pass Through Trust 3.375% 5/1/27	4,773	4,940
ERAC USA Finance 144A 5.25% 10/1/20 #	15,000	16,849
Norfolk Southern 2.90% 6/15/26	5,000	5,179
OPE KAG Finance Sub 144A 7.875% 7/31/23 #	330,000	315,150



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Penske Truck Leasing			
144A 3.30% 4/1/21 #		5,000	5,172
144A 3.375% 2/1/22 #		5,000	5,179
Union Pacific 3.35% 8/15/46		5,000	5,022
United Airlines 2014-1			
Class A Pass Through Trust			
4.00% 4/11/26		4,714	5,049
United Airlines 2014-2			
Class A Pass Through Trust			
3.75% 9/3/26		4,855	5,140
United Parcel Service			
5.125% 4/1/19		10,000	11,005
XPO Logistics 144A			
6.50% 6/15/22 #		420,000	438,375
			827,004
<b>Utilities 0.76%</b>			
AES			
5.50% 4/15/25		345,000	355,502
6.00% 5/15/26		50,000	53,125
Ameren 3.65% 2/15/26		5,000	5,373
Calpine			
5.50% 2/1/24		205,000	205,513
5.75% 1/15/25		540,000	540,000
Dominion Gas Holdings			
4.60% 12/15/44		5,000	5,452
Dynegy 7.375% 11/1/22		35,000	34,737
Enel 144A			
8.75% 9/24/73 #		200,000	234,000
			1,433,702
<b>Total Corporate Bonds</b>			
(cost \$79,371,417)			<b>81,744,283</b>

(continues) NQ-DEX [8/16] 10/16 (17651) 13

# Schedule of investments

## Delaware Enhanced Global Dividend and Income Fund (Unaudited)

	Principal amount <sup>o</sup>	Value (U.S. \$)
<b>Non-Agency Asset-Backed Security 0.01%</b>		
Nissan Auto Receivables Owner Trust Series 2016-A A2B 0.858% 2/15/19	25,000	\$ 25,025
<b>Total Non-Agency Asset-Backed Security</b> (cost \$25,000)		<b>25,025</b>
<b>Non-Agency Collateralized Mortgage Obligations 0.06%</b>		
Citicorp Mortgage Securities Trust Series 2007-1 2A1 5.50% 1/25/22	4,089	4,081
Citicorp Residential Mortgage Trust Series 2006-3 A5 5.911% 11/25/36	100,000	102,926
<b>Total Non-Agency Collateralized Mortgage Obligations</b> (cost \$91,002)		<b>107,007</b>
<b>Senior Secured Loans 2.62%«</b>		
Accudyne Industries Borrower 1st Lien 4.00% 12/13/19	449,407	401,096
Amaya Holdings 1st Lien 5.00% 8/1/21	402,965	399,467
Applied Systems 2nd Lien 7.50% 1/23/22 @	602,331	606,095
BJ's Wholesale Club 2nd Lien 8.50% 3/31/20	417,999	419,915
Blue Ribbon 1st Lien 5.00% 11/13/21	172,438	173,192
Chesapeake Energy 8.50% 8/23/21	170,000	175,277
Flint Group 2nd Lien 8.25% 9/7/22 @	375,000	354,375
FMG Resources August 2006 1st Lien 3.75% 6/30/19	407,799	405,724
Frank Russell Tranche B 1st Lien 6.75% 6/1/23	235,000	221,634
Immucor Tranche B2 1st Lien 5.00% 8/17/18	329,147	320,919
KIK Custom Products 1st Lien 6.00% 8/26/22 @	263,212	263,047
KRATON Polymers Tranche B 1st Lien 6.00% 1/6/22	75,000	75,007
Mohegan Tribal Gaming Authority Tranche B 1st Lien 5.50% 6/15/18	248,688	248,960

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Neiman Marcus Group 1st Lien 4.25% 10/25/20	109,719	103,197
Solera Tranche B 1st Lien 5.75% 3/3/23	139,650	140,752
Stardust Finance Holdings 2nd Lien 10.50% 3/13/23	200,000	199,000
Stardust Finance Holdings Tranche B 1st Lien 6.50% 3/13/22 @	207,850	206,811
Windstream Services Tranche B6 1st Lien 5.75% 3/29/21	191,520	193,196
<b>Total Senior Secured Loans</b> (cost \$4,816,829)		<b>4,907,664</b>
<b>Sovereign Bonds 4.62%Δ</b>		
Indonesia 2.87%		
Indonesia Government International Bonds 144A 5.125% 1/15/45 # 6.625% 2/17/37 144A 6.75% 1/15/44 #	1,000,000 1,350,000 1,800,000	1,144,761 1,769,822 2,465,510
		5,380,093
Mexico 1.75%		
Mexico Government International Bond 3.60% 1/30/25 4.35% 1/15/47	1,632,000 1,500,000	1,734,000 1,550,625
		3,284,625
<b>Total Sovereign Bonds</b> (cost \$7,998,362)		<b>8,664,718</b>
<b>U.S. Treasury Obligations 0.72%</b>		
U.S. Treasury Bond 2.50% 5/15/46	95,000	100,552
U.S. Treasury Notes 1.125% 7/31/21 1.375% 1/31/21 1.625% 5/15/26	185,000 60,000 1,010,000	184,400 60,552 1,013,984
<b>Total U.S. Treasury Obligations</b> (cost \$1,363,978)		<b>1,359,488</b>

14 NQ-DEX [8/16] 10/16 (17651)

(Unaudited)

	Principal amount <sup>o</sup>	Value (U.S. \$)
<b>Leveraged Non-Recourse Security 0.00%</b>		
JPMorgan Fixed Income Auction Pass Through Trust Series 2007-B 144A 0.00% 1/15/87 #@	500,000	\$ 0
<b>Total Leveraged</b>		
<b>Non-Recourse Security</b> (cost \$425,000)		<b>0</b>
	<b>Number of shares</b>	
<b>Master Limited Partnership 0.57%</b>		
Ares Management	9,000	164,520
Brookfield Infrastructure Partners	5,400	258,876
Merion Countryside 144A #@=	693,473	645,762
<b>Total Master Limited</b>		
<b>Partnership</b> (cost \$997,642)		<b>1,069,158</b>
<b>Preferred Stock 0.82%</b>		
Bank of America 6.50%	470,000	512,935
Freddie Mac 6.02%	40,000	106,400
General Electric 5.00%	10,000	10,737
GMAC Capital Trust I 6.602%	12,000	303,120
Integrus Energy Group 6.00% @	300	8,251
Morgan Stanley 5.55%	400,000	410,500
Northstar Realty Finance 8.50%	3,580	91,326
Vornado Realty Trust 6.625%	3,700	95,608
<b>Total Preferred Stock</b> (cost \$2,421,085)		<b>1,538,877</b>
<b>Rights 0.01%</b>		
Safeway CVR @=	23,200	23,546
Safeway CVR @=	23,200	1,132
<b>Total Rights</b> (cost \$0)		<b>24,678</b>
<b>Warrant 0.00%</b>		
Wheeler Real Estate Investment Trust strike price \$5.50, expiration date 4/29/19 @	12,540	1,129
<b>Total Warrant</b> (cost \$104)		<b>1,129</b>
<b>Short-Term Investments 2.76%</b>		
Discount Notes 0.77%#		
Federal Home Loan Bank 0.315% 11/1/16	880,544	880,097

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	0.335% 9/7/16	166,215	166,208
	0.335% 9/19/16	251,104	251,072
	0.39% 9/21/16	65,023	65,014
	0.39% 9/23/16	79,315	79,303
			1,441,694
<b>Repurchase Agreements</b>	<b>1.35%</b>		
	Bank of America Merrill Lynch		
	0.26%, dated 8/31/16, to		
	be repurchased on 9/1/16,		
	repurchase price \$499,578		
	(collateralized by U.S.		
	government obligations		
	0.625% 7/15/21;		
	market value \$509,566)	499,574	499,574
	Bank of Montreal		
	0.27%, dated 8/31/16, to		
	be repurchased on 9/1/16,		
	repurchase price \$832,630		
	(collateralized by U.S.		
	government obligations		
	0.362% 8.75%		
	7/31/17 2/15/45; market		
	value \$849,276)	832,624	832,624
	BNP Paribas		
	0.30%, dated 8/31/16, to		
	be repurchased on 9/1/16,		
	repurchase price		
	\$1,206,812 (collateralized		
	by U.S. government		
	obligations		
	0.00% 3.625%		
	11/17/16 8/15/43; market		
	value \$1,230,938)	1,206,802	1,206,802
			2,539,000
<b>U.S. Treasury Obligations</b>	<b>0.64%≠</b>		
	U.S. Treasury Bill		
	0.231% 10/6/16	314,992	314,923
	0.256% 11/3/16	880,544	880,130
			1,195,053
<b>Total Short-Term</b>			
	<b>Investments</b>		
	(cost \$5,175,716)		5,175,747
<b>Total Value of Securities</b>			
	<b>Before Options</b>		
	<b>Written 142.75%</b>		
	(cost \$257,515,918)		267,890,455

(continues) NQ-DEX [8/16] 10/16 (17651) 15

# Schedule of investments

## Delaware Enhanced Global Dividend and Income Fund (Unaudited)

	Number of Contracts	Value (U.S. \$)
<b>Options Written (0.01%)</b>		
Equity Call Options (0.01%)		
GEO Group strike price \$22.50, expiration date 9/30/16	(250)	\$ (6,250)
JC Penney strike price \$9.50, expiration date 9/16/16	(500)	(13,500)
<b>Total Options Written</b>		
(premium received \$48,041)		<b>(19,750)</b>
<b>Borrowing Under Line of Credit (43.70%)</b>		<b>(82,000,000)</b>
<b>Receivables and Other</b>		
<b>Assets Net of     Liabilities 0.96%</b>		<b>1,794,363</b>
<b>Net Assets Applicable to 15,863,616 Shares Outstanding 100.00%</b>		<b>\$ 187,665,068</b>

# Security exempt from registration under Rule 144A of the Securities Act of 1933, as amended. At Aug. 31, 2016, the aggregate value of Rule 144A securities was \$54,899,646, which represents 29.25% of the Fund's net assets.

@ Illiquid security. At Aug. 31, 2016, the aggregate value of illiquid securities was \$12,698,305, which represents 6.77% of the Fund's net assets.

Pass Through Agreement. Security represents the contractual right to receive a proportionate amount of underlying payments due to the counterparty pursuant to various agreements related to the rescheduling of obligations and the exchange of certain notes.

Securities have been classified by type of business.

100% of the income received was in the form of cash.

= Security is being fair valued in accordance with the Fund's fair valuation policy. At Aug. 31, 2016, the aggregate value of fair valued securities was \$670,440, which represents 0.36% of the Fund's net assets.

≠ The rate shown is the effective yield at the time of purchase.

° Principal amount shown is stated in U.S. dollars unless noted that the security is denominated in another currency.

Non-income-producing security.

Variable rate security. The rate shown is the rate as of Aug. 31, 2016. Interest rates reset periodically.

Δ Securities have been classified by country of origin.

« Senior secured loans generally pay interest at rates which are periodically redetermined by reference to a base lending rate plus a premium. These base lending rates are generally: (i) the prime rate offered by one or more U.S. banks, (ii) the lending rate offered by one or more European banks such as the London Interbank Offered Rate (LIBOR), and (iii) the certificate of deposit rate. Senior secured loans may be subject to restrictions on resale. Stated rate in effect at Aug. 31, 2016.

Step coupon bond. Coupon increases or decreases periodically based on a predetermined schedule. Stated rate in effect at Aug. 31, 2016.

The following foreign currency exchange contract was outstanding at Aug. 31, 2016:

### Foreign Currency Exchange Contracts

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Counterparty	Contracts to Receive (Deliver)	In Exchange For	Settlement Date	Unrealized Appreciation (Depreciation)
BNYM	JPY (3,185,280)	USD 30,773	9/1/16	\$ (14)

The use of foreign currency exchange contracts involves elements of market risk and risks in excess of the amounts disclosed in the financial statements. The foreign currency exchange contract presented above represents the Fund's total exposure in such contracts, whereas only the net unrealized appreciation (depreciation) is reflected in the Fund's net assets.

**Summary of abbreviations:**

ADR American Depositary Receipt  
 ARM Adjustable Rate Mortgage  
 BNYM Bank of New York Mellon  
 CVA Dutch Certificate  
 CVR Contingent Value Rights  
 ETN Exchange-Traded Note  
 FDR Finnish Depositary Receipt  
 JPY Japanese Yen  
 PIK Payment-in-kind  
 REIT Real Estate Investment Trust  
 REMIC Real Estate Mortgage Investment Conduit  
 USD U.S. Dollar

16 NQ-DEX [8/16] 10/16 (17651)

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# Notes

## Delaware Enhanced Global Dividend and Income Fund

August 31, 2016 (Unaudited)

### 1. Significant Accounting Policies

The following accounting policies are in accordance with U.S. generally accepted accounting principles (U.S. GAAP) and are consistently followed by Delaware Enhanced Global Dividend and Income Fund (Fund). This report covers the period of time since the Fund's last fiscal year end.

**Security Valuation** Equity securities and exchange-traded funds (ETFs), except those traded on the Nasdaq Stock Market LLC (Nasdaq), are valued at the last quoted sales price as of the time of the regular close of the New York Stock Exchange on the valuation date. Equity securities and ETFs traded on the Nasdaq are valued in accordance with the Nasdaq Official Closing Price, which may not be the last sales price. If, on a particular day, an equity security or ETF does not trade, the mean between the bid and ask prices will be used, which approximates fair value. Equity securities listed on a foreign exchange are normally valued at the last quoted sales price on the valuation date. U.S. government and agency securities are valued at the mean between the bid and ask prices, which approximates fair value. Other debt securities and credit default swap contracts are valued based upon valuations provided by an independent pricing service or broker/counterparty and reviewed by management. To the extent current market prices are not available, the pricing service may take into account developments related to the specific security, as well as transactions in comparable securities. Valuations for fixed income securities utilize matrix systems, which reflect such factors as security prices, yields, maturities, and ratings, and are supplemented by dealer and exchange quotations. For asset-backed securities, collateralized mortgage obligations, commercial mortgage securities, and U.S. government agency mortgage securities, pricing vendors utilize matrix pricing which considers prepayment speed, attributes of the collateral; yield or price of bonds of comparable quality, coupon, maturity, and type as well as broker/dealer-supplied prices. Swap prices are derived using daily swap curves and models that incorporate a number of market data factors, such as discounted cash flows, trades, and values of the underlying reference instruments. Foreign currency exchange contracts and foreign cross currency exchange contracts are valued at the mean between the bid and ask prices, which approximates fair value. Interpolated values are derived when the settlement date of the contract is an interim date for which quotations are not available. Exchange-traded options are valued at the last reported sale price or, if no sales are reported, at the mean between the last reported bid and ask prices, which approximates fair value. Generally, other securities and assets for which market quotations are not readily available are valued at fair value as determined in good faith under the direction of the Fund's Board of Trustees. In determining whether market quotations are readily available or fair valuation will be used, various factors will be taken into consideration, such as market closures or suspension of trading in a security. The Fund may use fair value pricing more frequently for securities traded primarily in non-U.S. markets because, among other things, most foreign markets close well before the Fund values its securities, generally as of 4:00 p.m. Eastern time. The earlier close of these foreign markets gives rise to the possibility that significant events, including broad market moves, government actions or pronouncements, aftermarket trading, or news events may have occurred in the interim. Whenever such a significant event occurs, the Fund may frequently value foreign securities using fair value prices based on third-party vendor modeling tools (international fair value pricing).

### 2. Investments

At Aug. 31, 2016, the cost of investments for federal income tax purposes has been estimated since final tax characteristics cannot be determined until fiscal year end. At Aug. 31, 2016, the cost and unrealized appreciation (depreciation) of investments for the Fund were as follows:

Cost of investments	\$ 257,515,918
Aggregate unrealized appreciation of investments	\$ 31,737,700
Aggregate unrealized depreciation of investments	(21,363,163)
Net unrealized appreciation of investments	\$ 10,374,537

(continues) NQ-DEX [8/16] 10/16 (17651) 17



(Unaudited)

## 2. Investments (continued)

U.S. GAAP defines fair value as the price that the Fund would receive to sell an asset or pay to transfer a liability in an orderly transaction between market participants at the measurement date under current market conditions. A three-level hierarchy for fair value measurements has been established based upon the transparency of inputs to the valuation of an asset or liability. Inputs may be observable or unobservable and refer broadly to the assumptions that market participants would use in pricing the asset or liability. Observable inputs reflect the assumptions market participants would use in pricing the asset or liability based on market data obtained from sources independent of the reporting entity. Unobservable inputs reflect the reporting entity's own assumptions about the assumptions that market participants would use in pricing the asset or liability based on the best information available under the circumstances. The Fund's investment in its entirety is assigned a level based upon the observability of the inputs which are significant to the overall valuation. The three-level hierarchy of inputs is summarized below.

Level 1 Inputs are quoted prices in active markets for identical investments. (Examples: equity securities, open-end investment companies, futures contracts, exchange-traded options contracts)

Level 2 Other observable inputs, including, but not limited to: quoted prices for similar assets or liabilities in markets that are active, quoted prices for identical or similar assets or liabilities in markets that are not active, inputs other than quoted prices that are observable for the assets or liabilities (such as interest rates, yield curves, volatilities, prepayment speeds, loss severities, credit risks, and default rates), or other market-corroborated inputs. (Examples: debt securities, government securities, swap contracts, foreign currency exchange contracts, foreign securities utilizing international fair value pricing, broker-quoted securities, fair valued securities)

Level 3 Significant unobservable inputs, including the Fund's own assumptions used to determine the fair value of investments. (Examples: broker-quoted securities, fair valued securities)

Level 3 investments are valued using significant unobservable inputs. The Fund may also use an income-based valuation approach in which the anticipated future cash flows of the investment are discounted to calculate fair value. Discounts may also be applied due to the nature or duration of any restrictions on the disposition of the investments. Valuations may also be based upon current market prices of securities that are comparable in coupon, rating, maturity, and industry. The derived value of a Level 3 investment may not represent the value which is received upon disposition and this could impact the results of operations.

18 NQ-DEX [8/16] 10/16 (17651)

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(Unaudited)

The following table summarizes the valuation of the Fund's investments by fair value hierarchy levels as of Aug. 31, 2016:

Securities	Level 1	Level 2	Level 3	Total
Common Stock	\$ 130,368,421	\$	\$	\$ 130,368,421
Convertible Preferred Stock <sup>1</sup>	4,894,250	214,231		5,108,481
Exchange-Traded Note	56,625			56,625
Agency, Asset-Backed and Mortgage-Backed Securities		339,356		339,356
Corporate Debt		109,276,113		109,276,113
Foreign Debt		8,664,718		8,664,718
Senior Secured Loans		4,907,664		4,907,664
Master Limited Partnership <sup>1</sup>	423,396		645,762	1,069,158
Preferred Stock <sup>1</sup>	490,054	1,048,823		1,538,877
Rights			24,678	24,678
Warrant	1,129			1,129
U.S. Treasury Obligations		1,359,488		1,359,488
Short-Term Investments		5,175,747		5,175,747
Total Value of Securities	\$ 136,233,875	\$ 130,986,140	\$ 670,440	\$ 267,890,455
Foreign Currency Exchange Contracts	\$	\$ (14)	\$	\$ (14)
Option Written	\$ (19,750)	\$	\$	\$ (19,750)

The securities that have been valued at zero on the Schedule of investments are considered to be Level 3 investments in this table.

<sup>1</sup>Security type is valued across multiple levels. Level 1 investments represent exchange-traded investments, Level 2 investments represent investments with observable inputs or matrix-priced investments, and Level 3 investments represent investments without observable inputs. The amounts attributed to Level 1 investments, Level 2 investments, and Level 3 investments represent the following percentages of the total market value of these security types:

	Level 1	Level 2	Level 3	Total
Convertible Preferred Stock	95.81%	4.19%		100.00%
Master Limited Partnership	39.60%		60.40%	100.00%
Preferred Stock	31.84%	68.16%		100.00%

During the period ended Aug. 31, 2016, there were no transfers between Level 1 investments, Level 2 investments, or Level 3 investments that had a significant impact to the Fund. This does not include transfers between Level 1 investments and Level 2 investments due to the Fund utilizing international fair value pricing during the period. In accordance with the fair valuation procedures described in Note 1, international fair value pricing of securities in the Fund occurs when market volatility exceeds an established rolling threshold. If the threshold is exceeded on a given date, then prices of international securities (those that traded on exchanges that close at a different time than the time that the Fund's net asset value (NAV) is determined) are established using a separate pricing feed from a third party vendor designed to establish a price for each such security as of the time that the Fund's NAV is determined. Further, international fair value pricing uses other observable market-based inputs in place of the closing exchange price due to the events occurring after the close of the exchange or market on which the investment is principally traded, causing a change in classification between levels.

A reconciliation of Level 3 investments is presented when the Fund has a significant amount of Level 3 investments at the beginning, interim, or end of the period in relation to net assets. Management has determined not to provide additional disclosure on Level 3 inputs since the Level 3 investments are not considered significant to the Fund's net assets at the end of the period.

(continues) NQ-DEX [8/16] 10/16 (17651) 19

(Unaudited)

**3. Subsequent Events**

Management has determined that no material events or transactions occurred subsequent to Aug. 31, 2016 that would require recognition or disclosure in the Fund's Schedule of investments.

20 NQ-DEX [8/16] 10/16 (17651)

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**Item 2. Controls and Procedures.**

The registrant's principal executive officer and principal financial officer have evaluated the registrant's disclosure controls and procedures within 90 days of the filing of this report and have concluded that they are effective in providing reasonable assurance that the information required to be disclosed by the registrant in its reports or statements filed under the Securities Exchange Act of 1934 is recorded, processed, summarized and reported within the time periods specified in the rules and forms of the Securities and Exchange Commission.

There were no significant changes in the registrant's internal control over financial reporting that occurred during the registrant's last fiscal quarter that have materially affected, or are reasonably likely to materially affect, the registrant's internal control over financial reporting.

**Item 3. Exhibits.**

File as exhibits as part of this Form a separate certification for each principal executive officer and principal financial officer of the registrant as required by Rule 30a-2(a) under the Act (17 CFR 270.30a-2(a)), exactly as set forth below:

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