

ELLSWORTH CONVERTIBLE GROWTH & INCOME FUND INC

Form NSAR-B/A

December 16, 2003

PAGE 1
000 B000000 09/30/2003
000 C000000 0000793040
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 Y
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 ELLSWORTH CONVERTIBLE GROWTH & INCOME FUND
001 B000000 811-04656
001 C000000 9736311177
002 A000000 65 MADISON AVENUE, SUITE 550
002 B000000 MORRISTOWN
002 C000000 NJ
002 D010000 07960
002 D020000 7308
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
008 A000001 DAVIS-DINSMORE MANAGEMENT COMPANY
008 B000001 A
008 C000001 801-08123
008 D010001 MORRISTOWN
008 D020001 NJ
008 D030001 07960
008 D040001 7308
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY
012 B000001 84-416
012 C010001 BROOKLYN
012 C020001 NY
012 C030001 11219
013 A000001 PRICEWATERHOUSECOOPERS LLP
013 B010001 NEW YORK
013 B020001 NY
013 B030001 10019
013 B040001 6013
015 A000001 THE BANK OF NEW YORK
PAGE 2
015 B000001 C
015 C010001 NEW YORK
015 C020001 NY
015 C030001 10006
015 E010001 X
018 000000 Y

Edgar Filing: ELLSWORTH CONVERTIBLE GROWTH & INCOME FUND INC - Form NSAR-B/A

019 A000000 N
019 B000000 0
020 A000001 GOLDMAN, SACHS & CO., INC.
020 B000001 13-5108880
020 C000001 7
020 A000002 CITIGROUP GLOBAL MARKETS
020 B000002 11-2418191
020 C000002 6
020 A000003 MORGAN STANLEY & CO., INC.
020 B000003 13-2655998
020 C000003 3
020 A000004 DEUTSCHE BANKE ALEX BROWN, INC.
020 B000004 13-2730828
020 C000004 3
020 A000005 MERRILL LYNCH, PIERCE, FENNER & SMITH, INC.
020 B000005 13-5674085
020 C000005 3
020 A000006 BEAR, STEARNS & CO.
020 B000006 13-3299429
020 C000006 2
020 A000007 KBC FINANCIAL PRODUCTS
020 B000007 13-4083415
020 C000007 2
020 A000008 JEFFERIES & CO., INC.
020 B000008 95-2622900
020 C000008 1
020 A000009 LEHMAN BROTHERS, INC.
020 B000009 12-2518466
020 C000009 0
020 A000010 BAIRD, PATRICK & CO., INC.
020 B000010 13-2766571
020 C000010 0
021 000000 28
022 A000001 AMERICAN EXPRESS CREDIT CORP.
022 B000001 11-1988350
022 C000001 253367
022 D000001 0
022 A000002 CITIGROUP CAPITAL MARKETS
022 B000002 11-2418191
022 C000002 13639
022 D000002 12468
022 A000003 LEHMAN BROTHERS, INC.
022 B000003 12-2518466
022 C000003 7122
022 D000003 7133
PAGE 3
022 A000004 KBC FINANCIAL PRODUCTS
022 B000004 13-4083415
022 C000004 2395
022 D000004 9906
022 A000005 MORGAN STANLEY & CO., INC.
022 B000005 13-2655998
022 C000005 5463
022 D000005 4084
022 A000006 CIBC OPPENHEIMER CORP.
022 B000006 13-2798343
022 C000006 4214
022 D000006 4858
022 A000007 GOLDMAN, SACHS & CO., INC.
022 B000007 13-5108880
022 C000007 6918
022 D000007 653

Edgar Filing: ELLSWORTH CONVERTIBLE GROWTH & INCOME FUND INC - Form NSAR-B/A

022 A000008 MAXCORP FINANCIAL, INC.
022 B000008 13-3410896
022 C000008 3190
022 D000008 3042
022 A000009 CREDIT SUISSE FIRST BOSTON CORP.
022 B000009 13-5659485
022 C000009 2643
022 D000009 3302
022 A000010 BANC OF AMERICA
022 B000010 56-2058405
022 C000010 4735
022 D000010 299
023 C000000 303686
023 D000000 45745
024 000000 Y
025 A000001 GOLDMAN, SACHS & CO., INC.
025 B000001 13-5108880
025 C000001 D
025 D000001 2299
025 A000002 DELETE
025 D000002 0
025 D000003 0
025 D000004 0
025 D000005 0
025 D000006 0
025 D000007 0
025 D000008 0
026 A000000 N
026 B000000 Y
026 C000000 Y
026 D000000 Y
026 E000000 N
026 F000000 N
026 G010000 N
026 G020000 N
PAGE 4
026 H000000 N
027 000000 N
028 A010000 0
028 A020000 0
028 A030000 0
028 A040000 0
028 B010000 0
028 B020000 0
028 B030000 0
028 B040000 0
028 C010000 0
028 C020000 0
028 C030000 0
028 C040000 0
028 D010000 0
028 D020000 0
028 D030000 0
028 D040000 0
028 E010000 0
028 E020000 0
028 E030000 0
028 E040000 0
028 F010000 0
028 F020000 0
028 F030000 0
028 F040000 0

Edgar Filing: ELLSWORTH CONVERTIBLE GROWTH & INCOME FUND INC - Form NSAR-B/A

| | | |
|-----|---------|--------|
| 028 | G010000 | 0 |
| 028 | G020000 | 0 |
| 028 | G030000 | 0 |
| 028 | G040000 | 0 |
| 028 | H000000 | 0 |
| 030 | A000000 | 0 |
| 030 | B000000 | 0.00 |
| 030 | C000000 | 0.00 |
| 031 | A000000 | 0 |
| 031 | B000000 | 0 |
| 032 | 000000 | 0 |
| 033 | 000000 | 0 |
| 035 | 000000 | 0 |
| 036 | B000000 | 0 |
| 038 | 000000 | 0 |
| 042 | A000000 | 0 |
| 042 | B000000 | 0 |
| 042 | C000000 | 0 |
| 042 | D000000 | 0 |
| 042 | E000000 | 0 |
| 042 | F000000 | 0 |
| 042 | G000000 | 0 |
| 042 | H000000 | 0 |
| 043 | 000000 | 0 |
| 044 | 000000 | 0 |
| | PAGE | 5 |
| 045 | 000000 | Y |
| 046 | 000000 | N |
| 047 | 000000 | Y |
| 048 | 000000 | 0.000 |
| 048 | A010000 | 100000 |
| 048 | A020000 | 0.750 |
| 048 | B010000 | 100000 |
| 048 | B020000 | 0.500 |
| 048 | C010000 | 0 |
| 048 | C020000 | 0.000 |
| 048 | D010000 | 0 |
| 048 | D020000 | 0.000 |
| 048 | E010000 | 0 |
| 048 | E020000 | 0.000 |
| 048 | F010000 | 0 |
| 048 | F020000 | 0.000 |
| 048 | G010000 | 0 |
| 048 | G020000 | 0.000 |
| 048 | H010000 | 0 |
| 048 | H020000 | 0.000 |
| 048 | I010000 | 0 |
| 048 | I020000 | 0.000 |
| 048 | J010000 | 0 |
| 048 | J020000 | 0.000 |
| 048 | K010000 | 100000 |
| 048 | K020000 | 0.500 |
| 049 | 000000 | N |
| 050 | 000000 | N |
| 051 | 000000 | N |
| 052 | 000000 | N |
| 053 | A000000 | N |
| 054 | A000000 | Y |
| 054 | B000000 | Y |
| 054 | C000000 | Y |
| 054 | D000000 | N |
| 054 | E000000 | N |

Edgar Filing: ELLSWORTH CONVERTIBLE GROWTH & INCOME FUND INC - Form NSAR-B/A

054 F000000 N
054 G000000 N
054 H000000 Y
054 I000000 N
054 J000000 Y
054 K000000 N
054 L000000 N
054 M000000 Y
054 N000000 N
054 O000000 Y
055 A000000 N
055 B000000 N
056 000000 N
057 000000 N
058 A000000 N
PAGE 6
059 000000 Y
060 A000000 Y
060 B000000 Y
061 000000 0
062 A000000 Y
062 B000000 0.0
062 C000000 0.0
062 D000000 0.0
062 E000000 0.0
062 F000000 0.0
062 G000000 0.0
062 H000000 0.0
062 I000000 0.0
062 J000000 0.0
062 K000000 0.0
062 L000000 0.0
062 M000000 0.0
062 N000000 0.0
062 O000000 0.0
062 P000000 60.7
062 Q000000 0.0
062 R000000 36.6
063 A000000 0
063 B000000 1.8
064 A000000 Y
064 B000000 N
066 A000000 Y
066 B000000 N
066 C000000 N
066 D000000 N
066 E000000 Y
066 F000000 N
066 G000000 N
067 000000 N
068 A000000 N
068 B000000 N
069 000000 N
070 A010000 Y
070 A020000 N
070 B010000 Y
070 B020000 N
070 C010000 Y
070 C020000 N
070 D010000 Y
070 D020000 N
070 E010000 N

Edgar Filing: ELLSWORTH CONVERTIBLE GROWTH & INCOME FUND INC - Form NSAR-B/A

| | | | |
|-------|---------|----|-------|
| 070 | E020000 | N | |
| 070 | F010000 | N | |
| 070 | F020000 | N | |
| 070 | G010000 | N | |
| 070 | G020000 | N | |
| | PAGE | 7 | |
| 070 | H010000 | Y | |
| 070 | H020000 | N | |
| 070 | I010000 | N | |
| 070 | I020000 | N | |
| 070 | J010000 | Y | |
| 070 | J020000 | Y | |
| 070 | K010000 | Y | |
| 070 | K020000 | Y | |
| 070 | L010000 | Y | |
| 070 | L020000 | Y | |
| 070 | M010000 | N | |
| 070 | M020000 | N | |
| 070 | N010000 | Y | |
| 070 | N020000 | N | |
| 070 | O010000 | Y | |
| 070 | O020000 | N | |
| 070 | P010000 | N | |
| 070 | P020000 | N | |
| 070 | Q010000 | N | |
| 070 | Q020000 | N | |
| 070 | R010000 | Y | |
| 070 | R020000 | Y | |
| 071 | A000000 | | 73546 |
| 071 | B000000 | | 71199 |
| 071 | C000000 | | 82330 |
| 071 | D000000 | 86 | |
| 072 | A000000 | 12 | |
| 072 | B000000 | | 2518 |
| 072 | C000000 | | 1898 |
| 072 | D000000 | | 0 |
| 072 | E000000 | | 0 |
| 072 | F000000 | | 643 |
| 072 | G000000 | | 0 |
| 072 | H000000 | | 0 |
| 072 | I000000 | | 25 |
| 072 | J000000 | | 28 |
| 072 | K000000 | | 1 |
| 072 | L000000 | | 13 |
| 072 | M000000 | | 108 |
| 072 | N000000 | | 0 |
| 072 | O000000 | | 0 |
| 072 | P000000 | | 0 |
| 072 | Q000000 | | 0 |
| 072 | R000000 | | 33 |
| 072 | S000000 | | 51 |
| 072 | T000000 | | 0 |
| 072 | U000000 | | 0 |
| 072 | V000000 | | 0 |
| 072 | W000000 | | 139 |
| 072 | X000000 | | 1041 |
| 072 | Y000000 | | 0 |
| | PAGE | 8 | |
| 072 | Z000000 | | 3375 |
| 072AA | 000000 | | 0 |
| 072BB | 000000 | | 442 |
| 072CC | 010000 | | 8368 |

Edgar Filing: ELLSWORTH CONVERTIBLE GROWTH & INCOME FUND INC - Form NSAR-B/A

| | |
|-------------|------------------------------|
| 072CC020000 | 0 |
| 072DD010000 | 3143 |
| 072DD020000 | 0 |
| 072EE000000 | 0 |
| 073 A010000 | 0.3010 |
| 073 A020000 | 0.0000 |
| 073 B000000 | 0.0000 |
| 073 C000000 | 0.0000 |
| 074 A000000 | 1330 |
| 074 B000000 | 0 |
| 074 C000000 | 0 |
| 074 D000000 | 54496 |
| 074 E000000 | 31341 |
| 074 F000000 | 1542 |
| 074 G000000 | 0 |
| 074 H000000 | 0 |
| 074 I000000 | 0 |
| 074 J000000 | 2259 |
| 074 K000000 | 0 |
| 074 L000000 | 473 |
| 074 M000000 | 75 |
| 074 N000000 | 91516 |
| 074 O000000 | 1673 |
| 074 P000000 | 6 |
| 074 Q000000 | 0 |
| 074 R010000 | 0 |
| 074 R020000 | 0 |
| 074 R030000 | 0 |
| 074 R040000 | 36 |
| 074 S000000 | 0 |
| 074 T000000 | 89801 |
| 074 U010000 | 10462 |
| 074 U020000 | 0 |
| 074 V010000 | 8.58 |
| 074 V020000 | 0.00 |
| 074 W000000 | 0.0000 |
| 074 X000000 | 913 |
| 074 Y000000 | 0 |
| 075 A000000 | 0 |
| 075 B000000 | 85538 |
| 076 000000 | 8.05 |
| 077 A000000 | Y |
| 077 Q010000 | Y |
| 077 Q020000 | Y |
| 078 000000 | N |
| 080 A000000 | ICI MUTUAL INSURANCE COMPANY |
| 080 C000000 | 1050 |
| PAGE 9 | |
| 081 A000000 | Y |
| 081 B000000 | 2 |
| 082 A000000 | Y |
| 082 B000000 | 100 |
| 083 A000000 | N |
| 083 B000000 | 0 |
| 084 A000000 | N |
| 084 B000000 | 0 |
| 085 A000000 | Y |
| 085 B000000 | N |
| 086 A010000 | 0 |
| 086 A020000 | 0 |
| 086 B010000 | 0 |
| 086 B020000 | 0 |

Edgar Filing: ELLSWORTH CONVERTIBLE GROWTH & INCOME FUND INC - Form NSAR-B/A

| | | |
|-----------|--------------|--------------|
| 086 | C010000 | 0 |
| 086 | C020000 | 0 |
| 086 | D010000 | 0 |
| 086 | D020000 | 0 |
| 086 | E010000 | 0 |
| 086 | E020000 | 0 |
| 086 | F010000 | 0 |
| 086 | F020000 | 0 |
| 087 | A010000 | COMMON STOCK |
| 087 | A020000 | 28-9074106 |
| 087 | A030000 | ECF |
| 088 | A000000 | N |
| 088 | B000000 | N |
| 088 | C000000 | N |
| 088 | D000000 | N |
| SIGNATURE | GARY LEVINE | |
| TITLE | VP/TREASURER | |