

Edgar Filing: LOTUS PACIFIC INC - Form 3

LOTUS PACIFIC INC
Form 3
June 11, 2001

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FORM 3
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U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Act of 1934, Section 17(a)
of the Public Utility Holding Company Act of 1935 or Section 30(f) of the
Investment Company Act of 1940

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1. Name and Address of Reporting Person

Hu William G.

(Last) (First) (Middle)

200 Centennial Avenue, Suite 201

(Street)

Piscataway NJ 08854

(City) (State) (ZIP)

2. Date of Event Requiring Statement (Month/Day/Year)

01/05/2001

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

4. Issuer Name and Ticker or Trading Symbol

Lotus Pacific, Inc. "LPFC"

5. Relationship of Reporting Person to Issuer
(check all applicable)

() Director () 10% Owner
(X) Officer (given title below) () Other (specify below)

Chief Executive Officer

6. If Amendment, Date of Original (Month/Day/Year)

7. Individual or Joint/Group Filing (check applicable line)

(X) Form Filed by One Reporting Person
() Form Filed by More than One Reporting Person

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Table I - Non-Derivative Securities Beneficially Owned

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1. Title of Security (Instr. 4)

Common Stock

2. Amount of Securities Beneficially Owned (Instr. 4)

- 0 -

3. Ownership (I) (Instr. 5)

N/A

4. Nature of Indirect Beneficial Ownership (Inst. 5)

N/A

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 4)

No Derivative Securities are beneficially owned

2. Date Exercisable and Expiration Date (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security: Direct(D) or Indirect(I) (Instr. 5)

6. Nature of Indirect Beneficial Ownership (Instr. 5)

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Explanation of Responses:

/s/ William G. Hu

William G. Hu

06/08/2001

Date

** Intentional misstatement or omission of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

SEC 1473 (3/91)