

DOZIER C MICHAEL
Form 4
March 07, 2019

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
DOZIER C MICHAEL

(Last) (First) (Middle)
777 106TH AVE NE
(Street)

BELLEVUE, WA 98004

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
PACCAR INC [PCAR]

3. Date of Earliest Transaction (Month/Day/Year)
03/05/2019

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
X Officer (give title below) ___ Other (specify below)

VICE PRESIDENT

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
				(A) or (D)	Price		
COMMON STOCK					3,519	D	
COMMON STOCK (SIP) ⁽¹⁾	03/05/2019		J ⁽²⁾	44.752 A	\$ 67.79	9,686.66	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Edgar Filing: DOZIER C MICHAEL - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. D	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
STOCK OPTION <u>(3)</u>	\$ 50.5					01/01/2014	02/03/2021	COMMON STOCK	4,882
STOCK OPTION <u>(3)</u>	\$ 43.24					01/01/2015	02/02/2022	COMMON STOCK	7,756
STOCK OPTION <u>(3)</u>	\$ 47.81					01/01/2016	02/06/2023	COMMON STOCK	6,644
STOCK OPTION <u>(3)</u>	\$ 59.15					01/01/2017	02/07/2024	COMMON STOCK	5,232
STOCK OPTION <u>(3)</u>	\$ 62.46					01/01/2018	02/04/2025	COMMON STOCK	4,814
STOCK OPTION <u>(3)</u>	\$ 50					01/01/2019	02/04/2026	COMMON STOCK	6,926
STOCK OPTION <u>(3)</u>	\$ 67.63					01/01/2020	02/07/2027	COMMON STOCK	9,406
STOCK OPTION <u>(3)</u>	\$ 68.69					01/01/2021	02/07/2028	COMMON STOCK	8,290
STOCK OPTION <u>(3)</u>	\$ 65.56					01/01/2022	02/06/2029	COMMON STOCK	11,178
STOCK UNITS (LTIP) <u>(4)</u>	<u>(4)</u>					<u>(4)</u>	<u>(4)</u>	COMMON STOCK	3,180

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
DOZIER C MICHAEL 777 106TH AVE NE BELLEVUE, WA 98004			VICE PRESIDENT	

Signatures

C. Michael Dozier by Irene E. Song
POA 03/06/2019

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Shares held in PACCAR Savings Investment Plan (SIP).
- (2) Dividend on PACCAR Savings Investment Plan (SIP) shares reinvested pursuant to SIP.
- (3) Option to buy awarded under PACCAR Long Term Incentive Plan (LTIP).

- Restricted stock units awarded under LTIP and convertible to common stock on a one-for-one basis upon satisfaction of all applicable
- (4) vesting conditions. Each award vests in four equal installments commencing on March 1 following the award and January 1 of the next three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.