

GLOBIX CORP
Form SC 13G
February 03, 2006

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

SCHEDULE 13G

Under the Securities Exchange Act of 1934
(Amendment No.)*

GLOBIX CORPORATION

(Name of Issuer)

COMMON STOCK

(Title of Class of Securities)

37957F200

(CUSIP Number)

March 7, 2005

(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

- ☐ Rule 13d-1(b)
- ☐ Rule 13d-1(c)

ý Rule 13d-1(d)

*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

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1. NAMES OF REPORTING PERSON: **NORTHEAST UTILITIES**

S.S OR I.R.S. IDENTIFICATION NO. OF ABOVE PERSON **04-2147929**

2. CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP

(a) ☐

(b) ☐

3. SEC USE ONLY

4. CITIZENSHIP OR PLACE OF ORGANIZATION **Massachusetts**

5. SOLE VOTING POWER **0**

NUMBER OF
SHARES
BENEFICIALLY
OWNED BY
EACH
REPORTING
PERSON WITH

6. SHARED VOTING POWER **2,723,674 shares of Common Stock**

7. SOLE DISPOSITIVE POWER **0**

8. SHARED DISPOSITIVE POWER **2,723,674 shares of Common Stock**

9. AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON
2,723,674 shares of Common Stock

10. CHECK IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES ☐

11. PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)
Approximately 5.62% as of the date of this filing (based on 48,468,013 shares of Common Stock issued and outstanding as of January 23, 2006).

12. TYPE OF REPORTING PERSON **OO**

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1. NAMES OF REPORTING PERSON **NU ENTERPRISES, INC.**

S.S OR I.R.S. IDENTIFICATION NO. OF ABOVE PERSON **06-1533877**

2. CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP

(a) ☐
(b) ☐

3. SEC USE ONLY

4. CITIZENSHIP OR PLACE OF ORGANIZATION **Connecticut**

5. SOLE VOTING POWER **0**

NUMBER OF
SHARES
BENEFICIALLY
OWNED BY
EACH
REPORTING
PERSON WITH

6. SHARED VOTING POWER **2,723,674 shares of Common Stock**

7. SOLE DISPOSITIVE POWER **0**

8. SHARED DISPOSITIVE POWER **2,723,674 shares of Common Stock**

9. AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON
2,723,674 shares of Common Stock

10. CHECK IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES ☐

11. PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)
Approximately 5.62% as of the date of this filing (based on 48,468,013 shares of Common Stock issued and outstanding as of January 23, 2006).

12. TYPE OF REPORTING PERSON **CO**

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1. NAMES OF REPORTING PERSON **MODE 1 COMMUNICATIONS, INC.**

S.S OR I.R.S. IDENTIFICATION NO. OF ABOVE PERSON **06-1455488**

2. CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP

(a) ☐

(b) ☐

3. SEC USE ONLY

4. CITIZENSHIP OR PLACE OF ORGANIZATION **Connecticut**

5. SOLE VOTING POWER **0**

NUMBER OF
SHARES
BENEFICIALLY
OWNED BY
EACH
REPORTING
PERSON WITH

6. SHARED VOTING POWER **2,723,674 shares of Common Stock**

7. SOLE DISPOSITIVE POWER **0**

8. SHARED DISPOSITIVE POWER **2,723,674 shares of Common Stock.**

9. AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON
2,723,674 shares of Common Stock

10. CHECK IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES ☐

11. PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)
Approximately 5.62% as of the date of this filing (based on 48,468,013 shares of Common Stock issued and outstanding as of January 23, 2006).

12. TYPE OF REPORTING PERSON **CO**

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Item 1

- (a) Name of Issuer: **GLOBIX CORPORATION**
- (b) Address of Issuer's Principal Executive Offices
139 Centre Steet
New York, NY 10013

Item 2

- (a) Name of Person Filing
- (b) Address of Principal Business Office
- (c) Citizenship
Northeast Utilities
107 Selden Street
Berlin, CT 06037

Massachusetts Voluntary Association

NU Enterprises, Inc.
107 Selden Street
Berlin, CT 06037

Connecticut Corporation

Mode 1 Communications, Inc.
107 Selden Street
Berlin, CT 06037

Connecticut Corporation

- (d) Title of Class of Securities: **Common Stock, par value \$0.01 per share**
- (e) CUSIP Number **37957F200**

Item 3

If this statement is filed pursuant to §§240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is a:

- (a) ☐ Broker or dealer registered under section 15 of the Act (15 U.S.C. 78o).
- (b) ☐ Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c).
- (c) ☐ Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c).

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- (d) o Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C 80a-8).
- (e) o An investment adviser in accordance with §240.13d-1(b)(1)(ii)(E);
- (f) o An employee benefit plan or endowment fund in accordance with §240.13d-1(b)(1)(ii)(F);
- (g) o A parent holding company or control person in accordance with § 240.13d-1(b)(1)(ii)(G);
- (h) o A savings associations as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
- (i) o A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);
- (j) o Group, in accordance with §240.13d-1(b)(1)(ii)(J).

If this statement is filed pursuant to Rule 13d-1(c), check this box. o

Item 4 Ownership:

Provide the following information regarding the aggregate number and percentage of the class of securities of the issuer identified in Item 1.

Northeast Utilities

NU Enterprises, Inc.

Mode 1 Communications, Inc.

- (a) Amount beneficially owned:
2,723,674 shares of Common Stock
- (b) Percent of class:
Approximately 5.62% as of the date of this filing
- (c) Number of shares as to which the person has:
 - (i) Sole power to vote or to direct the vote
0

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(ii) Shared power to vote or to direct the vote

2,723,674 shares of Common Stock

(iii) Sole power to dispose or to direct the disposition of

0

(iv) Shared power to dispose or to direct the disposition of

2,723,674 shares of Common Stock

Item 5 Ownership of Five Percent or Less of a Class:

Not applicable.

Item 6 Ownership of More than Five Percent on Behalf of Another Person:

Not applicable.

Item 7 Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company or Control Person:

Mode 1 Communications, Inc. is a wholly-owned subsidiary of NU Enterprises, Inc., which itself is a wholly-owned subsidiary of Northeast Utilities.

Item 8 Identification and Classification of Members of the Group:

Not Applicable.

Item 9 Notice of Dissolution of Group:

Not Applicable.

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Item 10

Certification:

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

Signature

After reasonable inquiry and to the best of its knowledge and belief, the undersigned certify that the information set forth in this statement is true, complete and correct.

Dated this 3rd day of February, 2006

Northeast Utilities

/s/ Gregory B. Butler

Name: Gregory B. Butler

Title: Senior Vice President and General Counsel of

Northeast Utilities Service Company, as Agent

for the above company.

NU Enterprises, Inc.

/s/ Gregory B. Butler

Name: Gregory B. Butler

Title: Senior Vice President and General Counsel of
Northeast Utilities Service Company, as Agent
for the above company

Mode 1 Communications, Inc.

/s/ Gregory B. Butler

Name: Gregory B. Butler

Title: Senior Vice President and General Counsel of
Northeast Utilities Service Company, as Agent
for the above company