

Edgar Filing: FIRST MERCHANTS CORP - Form 4

FIRST MERCHANTS CORP

Form 4

January 07, 2002

U.S. SECURITIES AND EXCHANGE COMMISSION  
Washington, DC 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(f) of the Investment Company Act of 1940

☐ Check box if no longer subject to Section 16. Form 4 or Form 5 obligations  
may continue. See Instruction 1(b).

1. Name and Address of Reporting Person\*

|                     |         |          |
|---------------------|---------|----------|
| Ault                | Jerry   | M        |
| (Last)              | (First) | (Middle) |
| 3441 South 100 West |         |          |
| (Street)            |         |          |
| Wabash              | IN      | 46992    |
| (City)              | (State) | (Zip)    |

2. Issuer Name and Ticker or Trading Symbol

First Merchants Corporation - FRME

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

304-34-2277

4. Statement for Month/Year

December/2001

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person to Issuer  
(Check all applicable)

|                                                     |                                                |
|-----------------------------------------------------|------------------------------------------------|
| <input checked="" type="checkbox"/> Director        | <input type="checkbox"/> 10% Owner             |
| <input type="checkbox"/> Officer (give title below) | <input type="checkbox"/> Other (specify below) |

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7. Individual or Joint/Group Filing (Check applicable line)

- ☒ Form filed by one Reporting Person  
☐ Form filed by more than one Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,  
or Beneficially Owned

| 1.<br>Title of Security<br>(Instr. 3) | 2.<br>Transaction<br>Date<br>(mm/dd/yy) | 3.<br>Transaction<br>Code<br>(Instr. 8) |   | 4.<br>Securities Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) |                  |       |
|---------------------------------------|-----------------------------------------|-----------------------------------------|---|----------------------------------------------------------------------------|------------------|-------|
|                                       |                                         | Code                                    | V | Amount                                                                     | (A)<br>or<br>(D) | Price |
| Common                                | 12/24/01                                | G*                                      | V | 2,175                                                                      | D                |       |
| Common                                |                                         |                                         |   |                                                                            |                  |       |

\* If the Form is filed by more than one Reporting Person, see Instruction 4(b) (v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Response)

(Over)

FORM 4 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)

| 2.<br>Conver-<br>sion<br>or<br>Exer-<br>cise | 3. | 4.<br>Trans- | 5.<br>Number of<br>Derivative<br>Securities<br>Acquired (A) | 6.<br>Date<br>Exercisable and | 7.<br>Title and Amount<br>of Underlying<br>Securities<br>(Instr. 3 and 4) |
|----------------------------------------------|----|--------------|-------------------------------------------------------------|-------------------------------|---------------------------------------------------------------------------|
|----------------------------------------------|----|--------------|-------------------------------------------------------------|-------------------------------|---------------------------------------------------------------------------|

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| 1.<br>Title of<br>Derivative<br>Security<br>(Instr. 3) | Price<br>of<br>Deriv-<br>ative<br>Secur-<br>ity | Trans-<br>action<br>Date<br>(Month/<br>Day/<br>Year) | action<br>Code<br>(Instr.<br>8)<br>-----<br>Code V | or Disposed<br>of (D)<br>(Instr. 3,<br>4 and 5)<br>-----<br>(A) (D) | Expiration Date<br>(Month/Day/Year)<br>-----<br>Date Expira-<br>tion<br>Exer- cisable Date | -----<br>Amount<br>or<br>Number<br>of<br>Shares |
|--------------------------------------------------------|-------------------------------------------------|------------------------------------------------------|----------------------------------------------------|---------------------------------------------------------------------|--------------------------------------------------------------------------------------------|-------------------------------------------------|
| Employee Stock<br>Option (Right<br>To Buy)             |                                                 |                                                      |                                                    |                                                                     |                                                                                            |                                                 |

Employee Stock  
Option (Right  
To Buy)

\*Gift by reporting person to church building fund (1,305 shares) and church  
camp (870 shares).

/s/ Larry R. Helms

January 7, 2002

\*\*Signature of Reporting Person  
Jerry M. Ault  
(Confirming Statement on File)

Date

\*\* Intentional misstatements or omissions of facts constitute Federal  
Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.  
If space provided is insufficient, see Instruction 6 for procedure.