#### Edgar Filing: LAWSON PRODUCTS INC/NEW/DE/ - Form 3/A

#### LAWSON PRODUCTS INC/NEW/DE/

Form 3/A March 27, 2014

## FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

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response...

January 31, 2005

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**SECURITIES** 

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

A Wiltrout Christian

(Last)

(First)

(Middle)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Statement

(Month/Day/Year) 05/14/2013

Person(s) to Issuer

4. Relationship of Reporting

(Check all applicable)

(give title below) (specify below)

SVP, Mktg, PM & Strategic Plng

5. If Amendment, Date Original

Filed(Month/Day/Year)

05/14/2013

C/O LAWSON PRODUCTS, INC., Â 8770 WEST BRYN MAWR AVENUE, SUITE 900

(Street)

Director \_X\_ Officer

10% Owner Other

LAWSON PRODUCTS INC/NEW/DE/ [LAWS]

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

CHICAGO. ILÂ 60631

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership 4. Nature of Indirect Beneficial Ownership

Form: Direct (D) or Indirect (Instr. 5)

(I) (Instr. 5)

Common Stock, \$1.00 par value

1,686

Â D

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

4. 5. Conversion Ownership or Exercise Form of Price of Derivative

6. Nature of Indirect Beneficial

Ownership

(Instr. 5)

Derivative Security:

1

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Restricted Stock Award	12/31/2013	12/31/2013	Common Stock	570 (1)	\$ 0	D	Â
Restricted Stock Award	12/31/2014	12/31/2014	Common Stock	680 (1)	\$ 0	D	Â
Stock Performance Rights	12/22/2012	12/22/2016	Common Stock	2,900 (2)	\$ 17.65	D	Â
Stock Performance Rights	12/31/2014	10/02/2017	Common Stock	10,000 (2)	\$ 10	D	Â
Stock Performance Rights	12/31/2015	12/31/2020	Common Stock	8,713 <u>(2)</u>	\$ 12.18	D	Â
Market Stock Units	12/31/2015	12/31/2015	Common Stock	5,625 <u>(3)</u>	\$ 0	D	Â
Stock Options	12/31/2012	12/31/2019	Common Stock	1,228 (4)	\$ 14.04	D	Â
Stock Options	12/31/2014	12/31/2016	Common Stock	10,000 (5)	\$ 10	D	Â

## **Reporting Owners**

Reporting Owner Name / Address		Relationships				
	Director	10% Owner	Officer	Other		
Wiltrout Christian C/O LAWSON PRODUCTS, INC. 8770 WEST BRYN MAWR AVENUE, SUITE 900 CHICAGO, IL 60631	Â	Â	SVP, Mktg, PM & Strategic Plng	Â		

# **Signatures**

/s/ Neil E. Jenkins, Attorney-in-Fact 03/27/2014

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the right to receive shares of common stock at the exercise date in an amount equal to the number of restricted stock awards.
- (2) Represents the right to receive cash in an amount equal to the appreciation in the common stock above the exercise price at the date the reporting person exercises the right.
- (3) Represents the right to receive an amount of shares of common stock, up to the amount set forth in the table, based upon the appreciation of the common stock from the grant date to December 31, 2015.
- (4) Represents the right to purchase one share of common stock in exchange for the exercise price of \$14.04 at the date the reporting person exercises the right.

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(5) Represents the right to receive shares of common stock equal in value to the apprectiation of the common stock from the exercise price of \$10.00 at the date the reporting person exercises the right.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.