

AMERICAN ELECTRIC POWER CO INC
Form U-9C-3
November 24, 2003

File No. 074-00015

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM U-9C-3

QUARTERLY REPORT

For the quarter ended September 30, 2003

Filed Pursuant to Rule 58 of the Public Utility Holding Company
Act of 1935

by
AMERICAN ELECTRIC POWER COMPANY, INC.
1 Riverside Plaza, Columbus, Ohio 43215

AMERICAN ELECTRIC POWER COMPANY, INC.
FORM U-9C-3
For the Quarter Ended September 30, 2003

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AMERICAN ELECTRIC POWER COMPANY, INC.

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ITEM 1 ORGANIZATION CHART

Company names are indented and numbered to identify them as subsidiaries of the company that is listed immediately above them at the next tier. Some companies are subsidiaries of more than one company. The reference code for each subsidiary refers to the supplemental schedule to the organization chart that provides details regarding date and state of organization, nature of business, etc. required to be reported under Item 1.

| | <u>Reference Code</u> |
|--|---------------------------|
| 00. American Electric Power Company, Inc. | |
| 01. American Electric Power Service Corporation | |
| 01. AEP C+I Company, LLC | 1 |
| 02. AEP Ohio Commercial + Industrial Retail Company, LLC | 2 |
| 02. AEP Texas Commercial + Industrial Retail GP, LLC | 3 |
| 03. AEP Texas Commercial + Industrial Retail Limited Partnership | 4 |
| 02. AEP Texas Commercial + Industrial Retail Limited Partnership | 4 |
| 02. AEP Gas Power GP, LLC | 5 |
| 03. AEP Gas Power Systems, LLC | 6 |
| 02. AEP Retail Energy LLC | 26 |
| 02. REP Holdco, LLC | 84 |
| 03. Mutual Energy SWEPCO LLC | 16 |
| 03. REP General Partner LLC | 17 |
| 04. Mutual Energy SWEPCO LLC | 16 |
| 01. AEP Coal, Inc. | 7 |
| 02. Snowcap Coal Company, Inc. | 8 |
| 02. AEP Kentucky Coal, LLC | 9 |
| 02. AEP Ohio Coal, LLC | 10 |
| 02. AEP West Virginia Coal, Inc. | 11 |
| 02. Leesville Land, LLC | 62 |
| 02. Springdale Land, LLC | 73 |
| 01. AEP Energy Services, Inc. | 12 |
| 01. AEP Investments, Inc. | |
| 02. AEP EmTech, LLC | 15 |
| 03. Universal Supercapacitors, LLC | 18 |
| 03. Integrated Fuel Cell Technologies, Inc. | 33 |
| 03. Distribution Vision 2010, LLC | 86 |
| 02. Dynelec, Inc. | 87 |
| 02. Energy Trading Platform Holding Company, Inc. | 13 |
| 02. Intercontinental Exchange Inc. | 14 |
| 02. PowerSpan Corp. | 24 |
| 02. AEMT, Inc. | 31 |
| 02. Enerwise Global Technologies, Inc. | 35 |

| | Reference Code |
|---|---------------------------|
| | <hr/> |
| 02. Powerware Solutions, Inc. | 32 |
| 02. PHPK Technologies, Inc. | 25 |
| 01. Mutual Energy L.L.C | 19 |
| 02. AEP Ohio Retail Energy, LLC | 21 |
| 01. AEP Power Marketing, Inc. | 22 |
| 02. AEP Coal Marketing, LLC | 20 |
| 02. AEP Emissions Marketing, LLC | 54 |
| 01. AEP T+D Services, LLC | 23 |
| 01. AEP Pro Serv, Inc. | |
| 02. Diversified Energy Contractors Company, LLC | 64 |
| 03. DECCO II LLC | 65 |
| 04. Diversified Energy Contractors, LP | 66 |
| 03. Diversified Energy Contractors, LP | 66 |
| 03. Industry and Energy Associates, L.L.C | 67 |
| 02. United Sciences Testing, Inc. | 85 |
| 01. AEP Texas POLR, LLC | 27 |
| 02. AEP Texas POLR GP, LLC | 28 |
| 03. POLR Power, L.P. | 29 |
| 02. POLR Power, L.P. | 29 |
| 01. AEP Utilities, Inc. | |
| 02. Southwestern Electric Power Company | |
| 03. The Arklahoma Corporation | 34 |
| 03. Southwest Arkansas Utilities Corporation | 88 |
| 03. Dolet Hills Lignite Company, LLC | 36 |
| 02. CSW Energy, Inc. | |
| 03. CSW Development-I, Inc. | 37 |
| 04. Polk Power GP II, Inc. | 38 |
| 05. Polk Power GP, Inc. | 39 |
| 06. Polk Power Partners, LP | 40 |
| 07. Mulberry Holdings, Inc. | 41 |
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| 05. CSW Mulberry, Inc. | 43 |
| 04. Polk Power Partners, LP | 40 |
| 05. Mulberry Holdings, Inc. | 41 |
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| | Reference Code |
|---|---------------------------|
| 03. CSW Eastex GP I, Inc. | 68 |
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| 03. CSW Eastex LP I, Inc. | 71 |
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Item 1 - Organization Chart (Continued)

| | NAME OF REPORTING COMPANY | ENERGY OR GAS- RELATED COMPANY | DATE OF ORGAN- IZATION | STATE OF ORGAN- IZATION | PERCENTAGE OF VOTING SECURITIES HELD | NATURE OF BUSINESS |
|---|--|---|---------------------------------------|--|---|--|
| 1 | AEP C+I Company, LLC | Energy | 10/10/00 | Delaware | 100.00% | Marketing of natural gas, electricity or energy related products |
| 2 | AEP Ohio Commercial + Industrial Retail Company, LLC | Energy | 10/10/00 | Delaware | 100.00% | Marketing of natural gas, electricity or energy related products |
| 3 | AEP Texas Commercial + Industrial Retail GP, LLC | Energy | 12/11/00 | Delaware | 100.00% | Marketing of natural gas, electricity or energy related products |
| 4 | AEP Texas Commercial + Industrial Retail Limited Partnership | Energy | 12/12/00 | Delaware | 100.00% | Marketing of natural gas, electricity or energy |
| 5 | AEP Gas Power GP, LLC | Energy | 12/19/00 | Delaware | 100.00% | Distributed generation products |
| 6 | AEP Gas Power Systems, LLC | Energy | 07/09/96 | Delaware | 75.00% | Distributed generation products |
| 7 | AEP Coal, Inc. | Energy | 10/29/01 | Nevada | 100.00% | Coal mining |
| 8 | Snowcap Coal Company, Inc. | Energy | 10/29/01 | Delaware | 100.00% | Coal mining |
| 9 | AEP Kentucky Coal, LLC | Energy | 10/29/01 | Delaware | 100.00% | Coal mining |

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|----|--|---|---------------------------------------|--|---|---|
| 10 | AEP Ohio Coal, LLC | Energy | 10/26/01 | Delaware | 100.00% | Coal mining |
| 11 | AEP West Virginia Coal, Inc. | Energy | 10/29/01 | Nevada | 100.00% | Coal mining |
| 12 | AEP Energy Services, Inc. | Energy | 09/24/96 | Ohio | 100.00% | Broker and market energy commodities |
| 13 | Energy Trading Platform Holding Company, Inc. | Energy | 04/28/00 | Delaware | 16.70% | Energy marketing |
| 14 | Intercontinental Exchange, Inc. | Energy | 06/16/00 | Delaware | 5.00% | Energy marketing |
| 15 | AEP EmTech, LLC | Energy | 01/03/01 | Delaware | 100.00% | Development + commercialization of electrotechnologies |
| 16 | Mutual Energy SWEPCO LLC | Energy | 04/04/01 | Delaware | 100.00% | Marketing of natural gas, electricity or energy related products |
| 17 | REP General Partner LLC | Energy | 04/04/01 | Delaware | 100.00% | Marketing of natural gas, electricity or energy related products |
| 18 | Universal Supercapacitors, LLC | Energy | 04/16/02 | Delaware | 50.00% | Non-regulated energy-related services and projects |
| 19 | Mutual Energy L.L.C. | Energy | 12/17/99 | Delaware | 100.00% | Marketing of natural gas, electricity or energy related products |
| 20 | AEP Coal Marketing, LLC | Energy | 01/22/03 | Delaware | 100.00% | Marketing of natural gas, electricity or energy related products |
| 21 | AEP Ohio Retail Energy, LLC | Energy | 09/05/00 | Delaware | 100.00% | Marketing of natural gas, electricity or energy related products |
| 22 | AEP Power Marketing, Inc. | Energy | 07/22/96 | Ohio | 100.00% | Marketing of natural gas, electricity or energy related products |
| 23 | AEP T+D Services, LLC | Energy | 12/12/00 | Delaware | 100.00% | Energy services including operations, supply chain, transmission and distribution |
| 24 | Powerspan Corp | Energy | 05/14/97 | Delaware | 9.80% | Pollution control technology development |
| 25 | PHPK Technologies, Inc. | Energy | 07/01/91 | Ohio | 40.40% | Cryogenic and vacuum equipment manufacture + service |
| 26 | AEP Retail Energy LLC | Energy | 06/28/00 | Delaware | 100.00% | Marketing of natural gas, electricity or energy related products |
| 27 | AEP Texas POLR, LLC (aka AEP Texas Retail GP, LLC) | Energy | 12/11/00 | Delaware | 100.00% | Marketing of natural gas, electricity or energy related products |

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| | NAME OF REPORTING COMPANY | ENERGY OR GAS- RELATED COMPANY | DATE OF ORGAN- IZATION | STATE OF ORGAN- IZATION | PERCENTAGE OF VOTING SECURITIES HELD | NATURE OF BUSINESS |
|----|--|---|---------------------------------------|--|---|---|
| 28 | AEP Texas POLR GP, LLC | Energy | 11/14/01 | Delaware | 100.00% | Marketing of natural gas, electricity or energy related products |
| 29 | POLR Power, L.P. | Energy | 11/14/01 | Delaware | 100.00% | Marketing of natural gas, electricity or energy related products |
| 30 | CSW Power Marketing, Inc. | Energy | Delaware | | 100.00% | Energy management |

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