HARSCO CORP Form 4 April 09, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box

if no longer subject to Section 16. Form 4 or

Form 5

1(b).

(Last)

(City)

(Instr. 3)

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

OMB APPROVAL

OMB Number:

3235-0287

Expires:

January 31, 2005

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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person * GRASBERGER F NICHOLAS III

350 POPLAR CHURCH ROAD

(First) (Middle)

2. Issuer Name and Ticker or Trading Symbol

HARSCO CORP [HSC]

3. Date of Earliest Transaction (Month/Day/Year)

04/07/2014

(Check all applicable)

Director 10% Owner X_ Officer (give title Other (specify

5. Relationship of Reporting Person(s) to

President & COO

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Issuer

below)

CAMP HILL, PA 17011

1. Title of 2. Transaction Date 2A. Deemed Security

(Month/Day/Year)

(State)

Execution Date, if (Month/Day/Year)

(Zip)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership Form: Direct (D) or Indirect Beneficial (I) (Instr. 4)

7. Nature of Indirect Ownership (Instr. 4)

(A)

Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction Date 3A. Deemed 4. 5. Number of 6. Date Exercisable and 7. Title and Amount Derivative Conversion (Month/Day/Year) Execution Date, if **Transaction**Derivative **Expiration Date** Underlying Securitie Security or Exercise Code Securities (Month/Day/Year) (Instr. 3 and 4) any

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| (Instr. 3) | Price of Derivative Security | | (Month/Day/Year) | (Instr. 8 | | Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | | | | |
|---------------------------------|------------------------------------|------------|------------------|-----------|---|--|--|---------------------|--------------------|---|----------------------------------|
| | | | | Code | V | (A) (I | | Date Exercisable | Expiration Date | Title | Amour or Number of Shar |
| Performance Shares | <u>(1)</u> | 04/07/2014 | | A | | 15,700 | | <u>(1)</u> | 12/31/2016 | Common Stock, \$1.25 par value | 15,70 |
| Restricted Stock Units | <u>(2)</u> | 04/07/2014 | | A | | 15,700 | | <u>(3)</u> | (3) | Common Stock, \$1.25 par value | 15,70 |
| Stock Appreciation Rights | \$ 23.25 | 04/07/2014 | | A | | 51,900 | | <u>(4)</u> | 04/07/2024 | Common Stock, \$1.25 par value | 51,90 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--------------------------------|---------------|-----------|---------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |

GRASBERGER F NICHOLAS III 350 POPLAR CHURCH ROAD CAMP HILL, PA 17011

President & COO

Signatures

F. Nicholas Grasberger, III 04/09/2014

**Signature of Reporting Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each performance share represents a contingent right to receive the equivalent of one share of Harsco common stock.
- (2) Each restricted stock unit represents a contingent right to receive one share of Harsco common stock.
- (3) The restricted stock units vest on the third anniversary of the date of grant.
- (4) The stock appreciation rights vest in 33 1/3% increments on the first three anniversaries of the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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