## Edgar Filing: Ayers Chris - Form 4

Ayers Chris Form 4											
December 26								0145.4			
FORM	$ 4 _{\text{UNITED}}$	статес	SECU	DITIES /	ND FY	СНАМСЕ	COMMISSION	т	OMB APPROVAL		
	UNITED	SIAILS		shington				Number:	3235-0287		
Check thi if no long subject to Section 10	er STATEN										
Form 4 or						burden hou response	•				
Form 5 obligation may conti <i>See</i> Instru 1(b).	Is Section 17(	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940									
(Print or Type R	esponses)										
Ayers Chris Symb				er Name <b>an</b> A INC [A		Trading	5. Relationship of Reporting Person(s) to Issuer				
(Last)	(First) (	Middle)	3. Date of Earliest Transaction (C				(Che	eck all applicable)			
. ,	LLA STREET	,		Day/Year)	Tunbuetion		Director X Officer (giv below) Execu		% Owner her (specify lent		
				endment, D onth/Day/Yea	-	ıl	Applicable Line)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
PITTSBURG	GH, PA 15212							More than One R			
(City)	(State)	(Zip)	Tab	le I - Non-l	Derivative	Securities A	cquired, Disposed o	of, or Beneficia	lly Owned		
	2. Transaction Date (Month/Day/Year)		Date, if	3. Transactio Code (Instr. 8)	4. Securit onAcquired Disposed (Instr. 3, 4	(A) or of (D)	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code V	Amount	<ul><li>(A)</li><li>or</li><li>(D) Price</li></ul>	Transaction(s) (Instr. 3 and 4)				
Reminder: Repo	ort on a separate line	e for each cla	ass of sec	urities bene	ficially ow	ned directly of	or indirectly.				
- II	1				Perso	ons who res	pond to the colle ained in this form		SEC 1474 (9-02)		

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exercisable and	7. Title and Amount of	8. Price
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onof	Expiration Date	Underlying Securities	Derivativ
Security	or Exercise		any	Code	Derivative	(Month/Day/Year)	(Instr. 3 and 4)	Security

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr.	8)	Securi Acqui (A) or Dispos of (D) (Instr. and 5)	red sed 3, 4,				(Instr. 5)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock Units	<u>(1)</u>	12/21/2012		А		335		(2)	(2)	Common Stock	335	\$ 8.58

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>			Relationships				
	Director	10% Owner	Officer	Other			
Ayers Chris 201 ISABELLA STREET PITTSBURGH, PA 15212			Executive Vice President				
Signatures							
Brenda Hart (Assistant Secreta attorney	ry), by po	ower of	12/26/2012				
**Signature of Reporting	g Person		Date				

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 1 For 1

Phantom stock units were acquired under the Alcoa Deferred Compensation Plan and are to be paid out in cash after termination of

(2) employment. The reporting person may transfer the phantom stock into an alternative investment account under the Plan at times permitted under the Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.