

Edgar Filing: GENERAL AMERICAN INVESTORS CO INC - Form 13F-HR

GENERAL AMERICAN INVESTORS CO INC  
Form 13F-HR  
October 31, 2001

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, DC 20549

Form 13F

FORM 13F COVER PAGE

Report for the Calendar Year or Quarter Ended: September 30, 2001

Institutional Investment Manager Filing this Report:

Name: General American Investors Company, Inc.  
Address: 450 Lexington Avenue, Suite 3300  
New York, NY 10017

13F File Number: 28-461

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, lists, and tables, are considered integral parts of this form.

Person Signing this Report:

Name: Eugene L. DeStaebler, Jr.  
Title: Vice-President, Administration  
Phone: 212-916-8400

Signature, Place and Date of Signing:

Eugene L. DeStaebler, Jr. New York, NY October 31, 2001

Report Type (Check only one.):

13F HOLDINGS REPORT  
 13F NOTICE  
 13F COMBINATION REPORT

List of Other Managers Reporting for this Manager:

NONE

I am signing this report as required by the Securities Exchange Act of 1934.

FORM 13F SUMMARY PAGE

Report Summary:

Number of Other Included Managers: 0  
Form 13F Information Table Entry Total: 68  
Form 13F Information Table Value Total: \$805,350,717

| NAME OF ISSUER | TITLE OF CLASS | CUSIP NUMBER | FAIR MARKET VALUE | SHARES OR PRINCIPAL AMOUNT | SH/ PRN | INVEST. DISC. SHARE           |
|----------------|----------------|--------------|-------------------|----------------------------|---------|-------------------------------|
|                |                |              |                   |                            |         | SOLE (A) SHARED (B) OTHER (C) |

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|                            |        |           |            |           |   |   |
|----------------------------|--------|-----------|------------|-----------|---|---|
| ADC Telecommunications, In | COMMON | 000886101 | 523,500    | 150,000   | N | X |
| Analog Devices, Inc.       | COMMON | 032654105 | 2,452,500  | 75,000    | N | X |
| Adams Express Company      | COMMON | 006212104 | 1,157,223  | 77,666    | N | X |
| American International Gro | COMMON | 026874107 | 15,210,000 | 195,000   | N | X |
| Alkermes, Inc.             | COMMON | 01642T108 | 4,307,600  | 220,000   | N | X |
| AmerUs Group Co.           | COMMON | 03072M108 | 11,264,000 | 320,000   | N | X |
| AMR Corporation            | COMMON | 001765106 | 9,570,000  | 500,000   | N | X |
| ANADIGICS, Inc.            | COMMON | 032515108 | 4,544,500  | 372,500   | N | X |
| Annuity and Life Re (Holdi | COMMON | G03910109 | 18,672,500 | 550,000   | N | X |
| AXT, Inc.                  | COMMON | 00246W103 | 1,945,100  | 183,500   | N | X |
| The Boeing Company         | COMMON | 097023105 | 16,750,000 | 500,000   | N | X |
| Bookham Technology plc     | COMMON | 09856Q108 | 256,000    | 200,000   | N | X |
| Bristol-Myers Squibb Compa | COMMON | 110122108 | 23,613,000 | 425,000   | N | X |
| BioReliance Corporation    | COMMON | 090951104 | 2,219,840  | 198,200   | N | X |
| Berkshire Hathaway Inc.    | COMMON | 084670108 | 22,050,000 | 315       | N | X |
| Brooks Automation, Inc.    | COMMON | 11434A100 | 4,653,250  | 175,000   | N | X |
| Brooktrout, Inc.           | COMMON | 114580103 | 740,250    | 225,000   | N | X |
| Coca-Cola Enterprises Inc. | COMMON | 191219104 | 11,505,000 | 750,000   | N | X |
| Central Securities Corpora | COMMON | 155123102 | 1,694,142  | 73,980    | N | X |
| Costco Companies, Inc.     | COMMON | 22160K105 | 24,003,000 | 675,000   | N | X |
| Cox Communications, Inc. - | COMMON | 224044107 | 21,710,000 | 520,000   | N | X |
| Cisco Systems, Inc.        | COMMON | 17275R102 | 6,820,800  | 560,000   | N | X |
| Genentech, Inc.            | COMMON | 368710406 | 14,300,000 | 325,000   | N | X |
| EMCORE Corporation         | COMMON | 290846104 | 1,686,320  | 197,000   | N | X |
| Ethan Allen Interiors Inc. | COMMON | 297602104 | 7,562,500  | 275,000   | N | X |
| Ford Motor Company         | COMMON | 345370100 | 29,503,675 | 1,700,500 | N | X |
| First Midwest Bancorp, Inc | COMMON | 320867104 | 7,262,700  | 215,000   | N | X |
| Fusion Medical Technologe  | COMMON | 361128101 | 180,000    | 40,000    | N | X |
| Golden West Financial      | COMMON | 381317106 | 28,178,500 | 485,000   | N | X |
| Genaera Corporation        | COMMON | 36867G100 | 688,500    | 270,000   | N | X |
| The Home Depot, Inc.       | COMMON | 437076102 | 82,303,650 | 2,145,000 | N | X |
| Health Net, Inc.           | COMMON | 42222G108 | 5,766,000  | 300,000   | N | X |
| IDEC Pharmaceuticals Corpo | COMMON | 449370105 | 29,742,000 | 600,000   | N | X |
| JDS Uniphase Corporation   | COMMON | 46612J101 | 316,000    | 50,000    | N | X |
| John Hancock Financial Ser | COMMON | 41014S106 | 18,377,000 | 460,000   | N | X |
| Johnson & Johnson          | COMMON | 478160104 | 8,587,000  | 155,000   | N | X |
| Medtronic, Inc.            | COMMON | 585055106 | 12,615,000 | 290,000   | N | X |
| MedImmune, Inc.            | COMMON | 584699102 | 8,515,570  | 239,000   | N | X |
| MetLife, Inc.              | COMMON | 59156R108 | 7,425,000  | 250,000   | N | X |
| Millennium Pharmaceuticals | COMMON | 599902103 | 2,131,200  | 120,000   | N | X |
| Molex Incorporated Class A | COMMON | 608554200 | 16,827,750 | 692,500   | N | X |
| M&T Bank Corporation       | COMMON | 55261F104 | 26,640,000 | 360,000   | N | X |
| NTL Incorporated           | COMMON | 629407107 | 558,000    | 180,000   | N | X |
| Annaly Mortgage Management | COMMON | 035710409 | 8,308,750  | 575,000   | N | X |
| Newell Rubbermaid Inc.     | COMMON | 651229106 | 3,406,500  | 150,000   | N | X |
| OSI Pharmaceuticals, Inc.  | COMMON | 671040103 | 5,850,000  | 180,000   | N | X |
| PepsiCo, Inc.              | COMMON | 713448108 | 8,487,500  | 175,000   | N | X |
| Pfizer Inc                 | COMMON | 717081103 | 35,689,000 | 890,000   | N | X |
| PartnerRe Ltd.             | COMMON | G6852T105 | 27,082,500 | 575,000   | N | X |
| PRI Automation, Inc.       | COMMON | 69357H106 | 3,206,400  | 320,000   | N | X |
| PSC Inc.                   | COMMON | 69361E107 | 251,600    | 340,000   | N | X |
| Everest Re Group, Ltd.     | COMMON | G3223R108 | 39,467,000 | 610,000   | N | X |
| Repsol, S.A. - ADR         | COMMON | 76026T205 | 10,192,000 | 700,000   | N | X |
| Reinsurance Group of Ameri | COMMON | 759351109 | 18,760,500 | 550,000   | N | X |
| Royce Value Trust          | COMMON | 780910105 | 920,097    | 69,863    | N | X |
| Silicon Graphics, Inc.     | COMMON | 827056102 | 138,230    | 300,500   | N | X |
| SunTrust Banks, Inc.       | COMMON | 867914103 | 17,316,000 | 260,000   | N | X |
| THREE-FIVE SYSTEMS, INC.   | COMMON | 88554L108 | 3,985,000  | 250,000   | N | X |
| The TJX Companies, Inc.    | COMMON | 872540109 | 43,592,500 | 1,325,000 | N | X |
| Transatlantic Holdings, In | COMMON | 893521104 | 19,425,800 | 230,000   | N | X |

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|                            |        |           |            |         |   |   |
|----------------------------|--------|-----------|------------|---------|---|---|
| Tri-Continental Corporatio | COMMON | 895436103 | 1,119,850  | 61,194  | N | X |
| Uniroyal Technology Corpor | COMMON | 909163107 | 1,249,720  | 398,000 | N | X |
| Viewpoint Corporation      | COMMON | 92672P108 | 782,000    | 230,000 | N | X |
| Wind River Systems, Inc.   | COMMON | 973149107 | 1,575,000  | 150,000 | N | X |
| Waste Management, Inc.     | COMMON | 94106L109 | 7,487,200  | 280,000 | N | X |
| Wal-Mart Stores, Inc.      | COMMON | 931142103 | 28,215,000 | 570,000 | N | X |
| Zygo Corporation           | COMMON | 989855101 | 1,031,000  | 100,000 | N | X |
| Zarlink Semiconductor Inc. | COMMON | 989139100 | 2,983,000  | 380,000 | N | X |