Ujobai Joseph P Form 4 September 21, 2017

Check this box

if no longer

subject to

Section 16.

Form 4 or

obligations

may continue.

See Instruction

Form 5

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB APPROVAL OMB** 

Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

**SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Ujobai Joseph P

(First)

ONE FREEDOM VALLEY DRIVE

(Street)

2. Issuer Name and Ticker or Trading

Symbol

09/19/2017

SEI INVESTMENTS CO [SEIC]

5. Relationship of Reporting Person(s) to Issuer

3. Date of Earliest Transaction

(Month/Day/Year)

Director 10% Owner \_ Other (specify

(Check all applicable)

EXECUTIVE VICE PRESIDENT

\_X\_\_ Officer (give title . below)

(Middle)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

OAKS, PA 19456

(City)	(State) (Zip)	Table I -	Non-Deri	vative Seco	urities	Acquire	d, Disposed of, o	r Beneficially	Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securit on(A) or Dis (Instr. 3, 4	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)	(IIIstr. 4)	
STOCK OPTION (RIGHT TO PURCHASE)	09/19/2017		M	20,000	A	\$ 15.77	32,540	D	
COMMON STOCK	09/19/2017		S	20,000	D	\$ 58.77	12,540	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Edgar Filing: Ujobai Joseph P - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisexpiration Date (Month/Day/Y	e	7. Title and Amou Underlying Securi (Instr. 3 and 4)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title
STOCK OPTION (RIGHT TO PURCHASE)	\$ 15.77	09/19/2017		M	20,000	02/28/2014	12/13/2011	STOCK OPTION (RIGHT TO PURCHASE)

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Ujobai Joseph P

ONE FREEDOM VALLEY DRIVE

**EXECUTIVE VICE PRESIDENT** 

OAKS, PA 19456

## **Signatures**

RUTH MONTGOMERY (ATTORNEY IN FACT)

09/21/2017

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2