Edgar Filing: SEI INVESTMENTS CO - Form 4

Form 4	TMENTS CO											
December 0	_									OMB A	PPROVAL	1
FORM	STATES		RITIE ashingt			3235-0						
Subject to Section 16. Form 4 or Form 5 Filed pursuan			OF CHANGES IN BENEFICIAL OWNERSHIP O SECURITIES O Section 16(a) of the Securities Exchange Act of 1934 e Public Utility Holding Company Act of 1935 or Sect							Estimated burden hou response	average urs per	y 31, 2005 0.5
<i>See</i> Inst 1(b).		30(h)	of the I	nvestm	ent	: Compa	ny Ac	t of 1	940			
(Print or Type	Responses)											
1. Name and Address of Reporting Person <u>*</u> GUARINO CARL			2. Issuer Name and Ticker or Trading Symbol SEI INVESTMENTS CO [SEIC]					-	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (J	Middle)	3. Date of Earliest Transaction (Month/Day/Year) 12/08/2015				,	(Check all applicable) <u>X</u> Director Officer (give title <u>10%</u> Owner below) Difficer (specify below)				
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)						6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Tab	ole I - No	on-I	Derivative	Secur	ities A	cquired, Disposed	of, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	ecurity (Month/Day/Year) Execution D		Date, if	Code Disposed of (D)					5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature Indirect Beneficial Ownershij (Instr. 4)	1
				Code	V	Amount	(D)	Price	(instr. 5 and 4)			
Reminder: Re	port on a separate line	e for each cla	ass of sec	urities b	ene	Perso infor requi	ons wh nation red to	no res i cont respo	or indirectly. spond to the colle ained in this form ond unless the fo ntly valid OMB co	n are not orm	SEC 1474 (9-02)	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Am
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	orDerivative	Expiration Date	Underlying Sec
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired (A)		

number.

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	Derivative Security				or Dispose (D) (Instr. 3, 4 and 5)				
			Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title
STOCK OPTION (RIGHT TO PURCHASE)	\$ 53.34	12/08/2015	М		10,000		12/08/2022 <u>(1)</u>	12/08/2025	STOCK OPTION (RIGHT TO PURCHASE

Reporting Owners

Reporting Owner Name / Address	Relationships								
	Director	10% Owner	Officer	Other					
GUARINO CARL									
	Х								

Signatures

RUTH MONTGOMERY (ATTORNEY IN FACT) <u>**</u>Signature of Reporting Person

Date

12/09/2015

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- COMPANY GRANT OF NON-QUALIFIED STOCK OPTIONS 50% WILL VEST WHEN REPORTED, ADJUSTED EARNINGS
- (1) PER SHARE EQUALS OR EXCEEDS \$2.35, 100% WILL VEST WHEN REPORTED, ADJUSTED EARNINGS PER SHAR EQUALS OR EXCEEDS \$3.10.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.