

Fisher Patrick David
Form 3
May 01, 2019

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

^ Fisher Patrick David

(Last) (First) (Middle)

321 SOUTH 1250 WEST

(Street)

LINDON, ^ UT ^ 84042

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

04/30/2019

3. Issuer Name **and** Ticker or Trading Symbol
PROFIRE ENERGY INC [PFIE]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
VP of Product Development

5. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

92,146 ⁽¹⁾

D ^

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

Date
Exercisable

Expiration
Date

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

Title

Amount or
Number of

4. Conversion
or Exercise
Price of
Derivative
Security

5. Ownership
Form of
Derivative
Security:
Direct (D)
or Indirect

6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

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				Shares		(I) (Instr. 5)	
Common Stock	05/25/2019	05/25/2020	Common Stock	13,333 (2)	\$ 1.01	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Fisher Patrick David 321 SOUTH 1250 WEST LINDON, UT 84042	Â	Â	Â VP of Product Development	Â

Signatures

Todd Fugal as attorney-in-fact for Patrick D. Fisher	05/01/2019
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Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Consists of 26,287 shares of common stock and shares issuable under restricted stock units ("RSUs"). The RSU's consist of (1) one award of 7,500 RSUs that began vesting in 20% annual increments on each of the first five anniversaries of March 6, 2018; (2) an award of 12,000 RSU's that vest in 25% annual increments on each of the first four anniversaries of March 14, 2018; and (3) an award of 8,287 RSU's of which 2,762 shares will vest on December 31, 2019, 2,762 shares will vest on December 31, 2020, and 2,763 shares will vest on December 31, 2021. Each RSU represents the right to receive one share of common stock upon vesting.

(2) The option became exercisable in 33.33% annual increments on each of the first three anniversaries of May 25, 2016. 6,666 shares are currently exercisable and the remaining 6,667 shares will become exercisable on May 25, 2019.

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Remarks:

Exhibit List

Exhibit 24- Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.