

RTI SURGICAL, INC.  
Form 3  
December 20, 2016

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0104  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol	
Â Brandt Kevin D		(Month/Day/Year)	RTI SURGICAL, INC. [RTIX]	
(Last)	(First)	(Middle)	4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
11621 RESEARCH CIRCLE			(Check all applicable)	
(Street)			<input type="checkbox"/> Director	<input type="checkbox"/> 10% Owner
ALACHUA, Â FL Â 32615			<input type="checkbox"/> Officer	<input checked="" type="checkbox"/> Other
(City)	(State)	(Zip)	(give title below) (specify below)	
			Vice President and GM	
			6. Individual or Joint/Group Filing(Check Applicable Line)	
			<input checked="" type="checkbox"/> Form filed by One Reporting Person	
			<input type="checkbox"/> Form filed by More than One Reporting Person	

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	30,585 <sup>(1)</sup>	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	

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				Shares		(I) (Instr. 5)	
Option to purchase Common Stock, \$.001 par value per share	Â (2)	07/16/2022	Common Stock, par value \$.001	60,000	\$ 3.5	D	Â
Option to purchase Common Stock, \$.001 par value per share	Â (3)	02/25/2023	Common Stock, par value \$.001	40,000	\$ 3.6	D	Â
Option to purchase Common Stock, \$.001 par value per share	Â (4)	02/25/2024	Common Stock, par value \$.001	20,000	\$ 3.78	D	Â
Option to purchase Common Stock, \$.001 par value per share	Â (5)	02/17/2025	Common Stock, par value \$.001	20,000	\$ 5.23	D	Â
Option to purchase Common Stock, \$.001 par value per share	Â (6)	02/24/2026	Common Stock, par value \$.001	38,710	\$ 3.31	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Brandt Kevin D 11621 RESEARCH CIRCLE ALACHUA, FL 32615	Â	Â	Â	Vice President and GM

## Signatures

/s/ Kevin D.  
Brandt

12/20/2016

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This contains 12,085 Restricted Stock Awards that will vest as to 4,029 on 2/24/2017 and 4,028 on each of 2/24/2018 and 2/24/2019.
- (2) Consist of 48,000 fully vested and exercisable options and 12,000 unvested options that will vest and become exercisable on 7/16/2017.
- (3) Consist of 24,000 fully vested and exercisable options and 16,000 unvested options that will vest and become exercisable as to 8,000 on each of 2/25/2017 and 2/25/2018.
- (4) Consist of 8,000 fully vested and exercisable options and 12,000 unvested options that will vest and become exercisable as to 4,000 shares on each of 2/25/2017, 2/25/2018 and 2/25/2019.

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- (5) Consist of 4,000 fully vested and exercisable options and 16,000 unvested options that will vest and become exercisable as to 4,000 shares on each of 2/17/2017, 2/17/2018, 2/17/2019 and 2/17/2020.
- (6) This option will become exercisable as to 7,742 shares on each of 2/24/2017, 2/24/2018, 2/24/2019, 2/24/2020 and 2/24/2021.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.