Harvey Keith Form 4 March 07, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL

OMB Number:

3235-0287

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obligations

may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person * Harvey Keith

(First)

2. Issuer Name and Ticker or Trading Symbol

Issuer

5. Relationship of Reporting Person(s) to

KAISER ALUMINUM CORP

[KALU]

Director 10% Owner

(Check all applicable)

President & COO

3. Date of Earliest Transaction (Month/Day/Year)

03/05/2019

X_ Officer (give title below)

Other (specify

C/O KAISER ALUMINUM CORP., 27422 PORTOLA PARKWAY SUITE 200

> (Street) 4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

(Middle)

X Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

FOOTHILL RANCH, CA 92610

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative	Secur	ities Acqui	red, Disposed of	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	omr Disposed of (D) (Instr. 3, 4 and 5) (A)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock, par value	03/05/2019		Code V	4,000 (1)	(D)	Price \$ 0 (1)	82,824 (2)	D	

\$0.01 per

Common

share

Stock, par 12,532 \$ 0 (3)value 03/05/2019 A 95,356 (2) D

\$0.01 per

share

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Common Stock, par value \$0.01 per share	03/05/2019	F(4)	4,888	D	\$ 108.79	90,468 (2)	D
Common Stock, par value \$0.01 per share	03/05/2019	F(5)	2,533	D	\$ 108.79	87,935 <u>(6)</u>	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title I	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Harvey Keith C/O KAISER ALUMINUM CORP. 27422 PORTOLA PARKWAY SUITE 200 FOOTHILL RANCH, CA 92610

President & COO

Signatures

/s/ Cherrie I. Tsai, with power of attorney for Keith Harvey 03/07/2019

**Signature of Reporting Person Date

Reporting Owners 2

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Grant to the reporting person effective as of March 5, 2019 of restricted stock units under the Kaiser Aluminum Corporation 2016 Equity (1) and Incentive Compensation Plan. All restrictions will lapse on March 5, 2022 or earlier upon the occurrence of certain specified circumstances.
- (2) Includes 47,966 shares acquired pursuant to grants of restricted stock units.
- Shares earned upon the vesting on March 5, 2019 of certain performance shares granted to the reporting person in 2016 under the Kaiser Aluminum Corporation 2006 Equity and Performance Incentive Plan, as amended. On March 5, 2019, the Registrant's compensation committee certified the performance shares payout multiplier based on the level of achievement by the Registrant of certain pre-established performance goals for 2016 through 2018.
- (4) Shares withheld to satisfy the withholding tax obligations resulting from the vesting on March 5, 2019 of the above-referenced performance shares.
- Shares withheld to satisfy the withholding tax obligations resulting from the vesting on March 5, 2019 of certain restricted stock units granted to the reporting person in 2016 under the Kaiser Aluminum Corporation 2006 Equity and Performance Incentive Plan, as amended.
- (6) Includes 42,859 shares acquired pursuant to grants of restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.