

LEVINE ALAN B
Form 4
February 15, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
LEVINE ALAN B

(Last) (First) (Middle)

102 WILLENBROCK ROAD, ONE
TRIBOLOGY CENTER

(Street)

OXFORD, CT 06478

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
RBC Bearings INC [ROLL]

3. Date of Earliest Transaction
(Month/Day/Year)

02/14/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director
____ Officer (give title below)
____ 10% Owner
____ Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Common Stock	02/14/2018		M		500 A \$ 44.6	20,520 (1) (2) (3)	D
Common Stock	02/14/2018		S		500 D \$ 115.7	20,020 (1) (2) (3)	D
Common Stock	02/14/2018		M		2,000 A \$ 51.08	22,020 (1) (2) (3)	D
Common Stock	02/14/2018		S		2,000 D \$ 115.7	20,020 (1) (2) (3)	D
Common Stock	02/14/2018		M		1,200 A \$ 64.15	21,220 (1) (2) (3)	D

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Common Stock	02/14/2018	S	1,200	D	\$ 115.7	20,020 ^{(1) (2)} <u>(3)</u>	D
Common Stock	02/14/2018	M	800	A	\$ 72.83	20,820 ^{(1) (2)} <u>(3)</u>	D
Common Stock	02/14/2018	S	800	D	\$ 115.7	20,020 ^{(1) (2)} <u>(3)</u>	D
Common Stock	02/14/2018	M	400	A	\$ 72.94	20,420 ^{(1) (2)} <u>(3)</u>	D
Common Stock	02/14/2018	S	400	D	\$ 115.7	20,020 ^{(1) (2)} <u>(3)</u>	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	
Option to Purchase Common Stock	\$ 44.6	02/14/2018		M	500	06/04/2013 ⁽⁴⁾	06/04/2019	Common Stock	500
Option to Purchase Common Stock	\$ 51.08	02/14/2018		M	2,000	06/14/2014 ⁽⁴⁾	06/14/2020	Common Stock	2,000
Option to Purchase Common Stock	\$ 64.15	02/14/2018		M	1,200	07/01/2015 ⁽⁵⁾	07/01/2021	Common Stock	1,200
Option to Purchase Common Stock	\$ 72.83	02/14/2018		M	800	07/01/2016 ⁽⁶⁾	07/01/2022	Common Stock	800

Stock

Option to
Purchase
Common
Stock

\$ 72.94

02/14/2018

M

400

07/08/2017⁽⁷⁾

07/08/2023

Common
Stock

400

Option to
Purchase
Common
Stock

\$ 99.64

06/27/2018⁽⁸⁾

06/27/2024

Common
Stock

2,000

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

LEVINE ALAN B
102 WILLENBROCK ROAD
ONE TRIBOLOGY CENTER
OXFORD, CT 06478

Signatures

/s/Thomas J. Williams /attorney
in fact

02/15/2018

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) 1,250 shares of the reported Common Stock are Restricted Shares. The Restrictions lapse in accordance with the following schedule 1/3 vest on 6/27/2018, 1/3 vest on 6/27/2019, 1/3 vest on 6/27/2020. Unvested shares of Restricted Stock (i) may be immediately forfeited to the Company at the time the grantee ceases to be an officer or employee of, or otherwise perform services for, the Company or its subsidiaries under certain circumstances or (ii) may lapse upon the happening of certain events.

(2) 416 shares of the reported Common Stock are Restricted Shares. The Restrictions lapse on 7/1/2018. Unvested shares of Restricted Stock (i) may be immediately forfeited to the Company at the time the grantee ceases to be an officer or employee of, or otherwise perform services for, the Company or its subsidiaries under certain circumstances or (ii) may lapse upon the happening of certain events.

(3) 833 shares of the reported Common Stock are Restricted Shares. The Restrictions lapse in accordance with the following schedule- 1/2 vest on 7/8/2018 and 1/2 vest on 7/8/2019. Unvested shares of Restricted Stock (i) may be immediately forfeited to the Company at the time the grantee ceases to be an officer or employee of, or otherwise perform services for, the Company or its subsidiaries under certain circumstances or (ii) may lapse upon the happening of certain events.

(4) Options to purchase shares of Common Stock vest on 6/14/2018. Options expire 7 years from grant date.

(5) Options to purchase shares of Common Stock are subject to the following vesting schedule- 1/2 vest on 7/1/2018 and 1/2 vest on 7/1/2019. Options expire 7 years from grant date

(6) Options to purchase shares of Common Stock are subject to the following vesting schedule- 1/3 vest on 7/1/2018, 1/3 vest on 7/1/2019 and 1/3 vest on 7/1/2020. Options expire 7 years from grant date.

(7) Options to purchase shares of Common Stock are subject to the following vesting schedule- 1/4 vest on 7/8/2018, 1/4 vest on 7/8/2019, 1/4 vest on 7/8/2020 and 1/4 vest on 7/8/2021. Options expire 7 years from grant date.

(8) Options to purchase shares of Common Stock are subject to the following vesting schedule- 1/5 vest on 6/27/2018, 1/5 vest on 6/27/2019, 1/5 vest on 6/27/2020, 1/5 vest on 6/27/2021 and 1/5 vest on 6/27/2022. Options expire 7 years from grant date.

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