

Avinger Inc  
 Form 4  
 December 11, 2015

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Lucas Donald A

(Last) (First) (Middle)  
 400 CHESAPEAKE DRIVE  
 (Street)

REDWOOD CITY, CA 94063

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
 Avinger Inc [AVGR]

3. Date of Earliest Transaction  
 (Month/Day/Year)  
 12/09/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
 \_\_\_ Officer (give title below) \_\_\_ Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |        |   |                               |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|--------|---|-------------------------------|
|                                 |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price                             |        |   |                               |
| Common Stock                    | 12/09/2015                           |  | J(1)                           |   | 562,038   | D  | \$ 0                              | 0      | I | Lucas Venture Group IX, LLC   |
| Common stock                    | 12/09/2015                           |  | J(1)                           |   | 15,809  | A  | \$ 15.99                          | 15,809 | I | Lucas Venture Management, LLC |
| Common stock                    | 12/11/2015                           |  | S                              |   | 500   | D  | \$ 15.857                         | 15,309 | I | Lucas Venture Management, LLC |

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|              |            |   |       |   |            |        |   |                               |
|--------------|------------|---|-------|---|------------|--------|---|-------------------------------|
| Common stock | 12/11/2015 | S | 500   | D | \$ 15.8715 | 14,809 | I | Lucas Venture Management, LLC |
| Common stock | 12/11/2015 | S | 500   | D | \$ 16.0682 | 14,309 | I | Lucas Venture Management, LLC |
| Common stock | 12/11/2015 | S | 500   | D | \$ 15.7951 | 13,809 | I | Lucas Venture Management, LLC |
| Common stock | 12/11/2015 | S | 1,000 | D | \$ 15.9279 | 12,809 | I | Lucas Venture Management, LLC |
| Common stock | 12/11/2015 | S | 300   | D | \$ 15.93   | 12,509 | I | Lucas Venture Management, LLC |
| Common stock | 12/11/2015 | S | 200   | D | \$ 15.95   | 12,309 | I | Lucas Venture Management, LLC |
| Common stock | 12/11/2015 | S | 100   | D | \$ 16      | 12,209 | I | Lucas Venture Management, LLC |
| Common stock | 12/11/2015 | S | 65    | D | \$ 15.89   | 12,144 | I | Lucas Venture Management, LLC |
| Common stock | 12/11/2015 | S | 35    | D | \$ 15.9    | 12,109 | I | Lucas Venture Management, LLC |
| Common stock | 12/11/2015 | S | 200   | D | \$ 15.99   | 11,909 | I | Lucas Venture Management, LLC |
| Common stock | 12/11/2015 | S | 100   | D | \$ 16      | 11,809 | I | Lucas Venture Management, LLC |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned Following Transaction (Instr. 6) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|

## Reporting Owners

| Reporting Owner Name / Address | Relationships |           |         |       |
|--------------------------------|---------------|-----------|---------|-------|
|                                | Director      | 10% Owner | Officer | Other |

Lucas Donald A  
400 CHESAPEAKE DRIVE  
REDWOOD CITY, CA 94063

## Signatures

/s/ Donald A. Lucas 12/11/2015

\_\_Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) 1. The reporting person is the managing member of Lucas Venture Group IX, LLC, which made a pro rata distribution for no consideration of Common Stock of the Issuer to its members on December 9, 2015.

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