

MICROSOFT CORP
Form 3
February 07, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person		2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol	
* Nadella Satya		02/04/2014	MICROSOFT CORP [MSFT]	
(Last)	(First)	(Middle)	4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
C/O MICROSOFT CORPORATION, ONE MICROSOFT WAY			(Check all applicable)	
(Street)			☒ Director ☐ 10% Owner ☒ Officer ☐ Other (give title below) (specify below) Chief Executive Officer	
REDMOND, WA 98052-6399			6. Individual or Joint/Group Filing(Check Applicable Line) ☒ Form filed by One Reporting Person ☐ Form filed by More than One Reporting Person	
(City)	(State)	(Zip)		

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	1,089,443 ⁽¹⁾	D	

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
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Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)
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Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Nadella Satya C/O MICROSOFT CORPORATION ONE MICROSOFT WAY REDMOND, WA 98052-6399	☒	☐	☐	Chief Executive Officer

Signatures

Keith R. Dolliver, Attorney-in-Fact for Satya Nadella	02/07/2014
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__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes an aggregate of 975,777 shares represented by stock awards that vest, subject to continued employment, as follows: 56,137 shares vest on August 29, 2014, 140,588 shares vest on August 31, 2014, 117,961 shares vest on August 15, 2015, 56,138 shares vest on August 29, 2015, 91,743 shares vest on August 31, 2015, 117,962 shares vest on August 15, 2016, 56,138 shares vest on August 29, 2016, 47,048 shares vest on August 31, 2016, 117,962 shares vest on August 15, 2017, 56,138 shares vest on August 29, 2017, and 117,962 shares vest on August 15, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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