Sandeep Reddy Form 4 April 03, 2018

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* Sandeep Reddy

(First) (Middle) (Last)

C/O GUESS?, INC., 1444 S. ALAMEDA STREET

(Street)

(State)

2. Issuer Name and Ticker or Trading Symbol

**GUESS INC [GES]** 

3. Date of Earliest Transaction (Month/Day/Year)

03/30/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

**OMB APPROVAL** 

Expires:

response...

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

Director 10% Owner X\_ Officer (give title Other (specify below) below)

**CFO** 

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

LOS ANGELES, CA 90021

(City)

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

Transaction(A) or Disposed of Code (D) (Instr. 8)

3.

(Instr. 3, 4 and 5) (A)

(Instr. 3 and 4) Amount (D) Price 20,628 \$0

A

4. Securities Acquired

Code V Common 03/30/2018 A (1) Stock

(Zip)

5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially Owned

(D) or Indirect (I) Following (Instr. 4) Reported Transaction(s)

Ownership (Instr. 4)

Beneficial

84,089 (2) D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Sandeep Reddy - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed o (D) (Instr. 3, 4, and 5)	6. Date Exer Expiration I (Month/Day	Date	7. Title and Underlying S (Instr. 3 and	Securities
				Code V	(A) (D	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 20.74	03/30/2018		A	26,671 (1)	<u>(3)</u>	03/30/2028	Common Stock	26,671

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b>r-</b>	Director	10% Owner	Officer	Other		
Sandeep Reddy						
C/O GUESS?, INC.			CFO			
1444 S. ALAMEDA STREET			CrO			
LOS ANGELES, CA 90021						

# **Signatures**

Jason T. Miller (attorney-in-fact) 04/03/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Acquired pursuant to Issuer's employee equity incentive plan.
- (2) Includes 1,414 shares acquired March 29, 3018 under a tax-conditioned plan and not required to be reported pursuant to Rule 16b-3(c).
- (3) The option vests in four equal installments on January 5th of each 2019, 2020, 2021 and 2022.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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