

MILSTEIN PHILIP L
Form 4
November 27, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
MILSTEIN PHILIP L

2. Issuer Name and Ticker or Trading Symbol
MARCUS CORP [MCS]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
11/27/2018

Director 10% Owner
 Officer (give title below) Other (specify below)

OGDEN CAP PROPERTIES, LLC, 545 MADISON AVENUE, 6TH FLOOR

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

NEW YORK, NY 10022-4219

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | | (A) or (D) Code V Amount (D) Price | | | |
| Common Stock | | | | | 45,760 | D | |
| Common Stock | 11/27/2018 | | S | 5,000 | \$ 42,3238 <u>(1)</u> | I | As trustee for PLM Foundation <u>(2)</u> |
| Common Stock | | | | | 124,111 | I | As co-trustee for SVM Foundation <u>(2)</u> |

Edgar Filing: MILSTEIN PHILIP L - Form 4

| | | | |
|--------------|-------|---|--------------------|
| Common Stock | 8,100 | I | By children (2) |
| Common Stock | 2,000 | I | By spouse (2) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Underlying Securities (Instr. 3 and 4) | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Option (Right to Buy) ⁽³⁾ | \$ 10.78 | | | | | 05/28/2009 | 05/28/2019 | Common Stock | 500 |
| Stock Option (Right to Buy) ⁽³⁾ | \$ 11.14 | | | | | 05/27/2010 | 05/27/2020 | Common Stock | 500 |
| Stock Option (Right to Buy) ⁽³⁾ | \$ 10.5 | | | | | 05/26/2011 | 05/26/2021 | Common Stock | 500 |
| Stock Option (Right to Buy) ⁽³⁾ | \$ 13.33 | | | | | 05/31/2012 | 05/31/2022 | Common Stock | 500 |
| Stock Option (Right to Buy) ⁽³⁾ | \$ 13.45 | | | | | 05/30/2013 | 05/30/2023 | Common Stock | 1,000 |

| | | | | | |
|---|----------|------------|------------|-----------------|-------|
| Buy) ⁽³⁾ | | | | | |
| Stock Option (Right to Buy) ⁽³⁾ | \$ 16.84 | 05/29/2014 | 05/29/2024 | Common Stock | 1,000 |
| Stock Option (Right to Buy) ⁽³⁾ | \$ 19.65 | 05/28/2015 | 05/28/2025 | Common Stock | 1,000 |
| Stock Option (Right to Buy) ⁽³⁾ | \$ 18.97 | 12/31/2015 | 12/31/2025 | Common Stock | 583 |
| Stock Option (Right to Buy) ⁽³⁾ | \$ 31.55 | 12/29/2016 | 12/29/2026 | Common Stock | 1,000 |
| Stock Option (Right to Buy) ⁽³⁾ | \$ 27.2 | 12/28/2017 | 12/28/2027 | Common Stock | 1,000 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| MILSTEIN PHILIP L OGDEN CAP PROPERTIES, LLC 545 MADISON AVENUE, 6TH FLOOR NEW YORK, NY 10022-4219 | | X | | |

Signatures

/s/ Steven R. Barth, Attorney-in-Fact for Philip L.
Milstein

11/27/2018

 Signature of Reporting Person

 Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The price in Column 4 is a weighted average price. The prices actually received ranged from \$42.2969 to \$42.3428. The reporting person has provided to the issuer, and will provide to any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range for all transactions reported in this Form 4 utilizing a weighted average price.

(2) The undersigned disclaims any beneficial interest in shares owned by his wife, children, held by him as trustee for PLM Foundation, or held by him as co-trustee for SVM Foundation.

(3) Granted pursuant to The Marcus Corporation 2004 Equity and Incentive Awards Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Edgar Filing: MILSTEIN PHILIP L - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.