

BAUER FRED  
Form 4  
March 13, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**BAUER FRED**

(Last) (First) (Middle)

**2775 N. LAKESHORE DRIVE**

(Street)

**HOLLAND, MI 49424**

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

**GENTEX CORP [GNTX]**

3. Date of Earliest Transaction  
(Month/Day/Year)

**03/09/2018**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title below) \_\_\_\_ Other (specify below)

**Chairman of the Board & CEO**

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/09/2018	03/09/2018	M	6,627 A	\$ 14.69 5,536,355	D	
Common Stock	03/09/2018	03/09/2018	M	250,000 A	\$ 12.35 5,786,355	D	
Common Stock	03/09/2018	03/09/2018	M	250,000 A	\$ 9.115 6,036,355	D	
Common Stock	03/09/2018	03/09/2018	M	208,000 A	\$ 11.28 6,244,355	D	
Common Stock	03/09/2018	03/09/2018	S	714,627 D	\$ 23.3171 5,529,728	D	

(1)

Edgar Filing: BAUER FRED - Form 4

Common Stock	03/12/2018	03/12/2018	M	149,373	A	\$ 14.69	5,679,101	D
Common Stock	03/12/2018	03/12/2018	M	54,000	A	\$ 15.89	5,733,101	D
Common Stock	03/12/2018	03/12/2018	M	28,200	A	\$ 17.97	5,761,301	D
Common Stock	03/12/2018	03/12/2018	S	231,573	D	\$ 23.3603 (2)	5,529,728	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount Number Shares
Employee Stock Option (Right to buy)	\$ 14.69	03/09/2018	03/09/2018	M	6,627	(3) 09/20/2021	Common Stock 6,627
Employee Stock Option (Right to buy)	\$ 12.35	03/09/2018	03/09/2018	M	250,000	(4) 08/11/2018	Common Stock 250,000
Employee Stock Option (Right to buy)	\$ 11.28	03/09/2018	03/09/2018	M	208,000	(5) 08/15/2020	Common Stock 208,000
Employee Stock Option (Right to buy)	\$ 9.115	03/09/2018	03/09/2018	M	250,000	(4) 08/16/2019	Common Stock 250,000

buy)

Employee

Stock

Option  
(Right to  
buy)

\$ 17.97

03/12/2018

03/12/2018

M

28,200

(6)

08/17/2023

Common  
Stock

28,200

Employee

Stock

Option  
(Right to  
buy)

\$ 15.89

03/12/2018

03/12/2018

M

54,000

(7)

08/19/2022

Common  
Stock

54,000

Employee

Stock

Option  
(Right to  
buy)

\$ 14.69

03/12/2018

03/12/2018

M

149,373

(3)

09/20/2021

Common  
Stock

149,373

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BAUER FRED 2775 N. LAKESHORE DRIVE HOLLAND, MI 49424			Chairman of the Board & CEO	

## Signatures

/s/ Kevin Nash for Fred T. Bauer by Power of  
Attorney

03/13/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) This transaction was executed in multiple trades at prices ranging from \$23.25 USD to \$23.52 USD; the price reported above reflects the weighted average sale price.
- (2) This transaction was executed in multiple trades at prices ranging from \$23.25 USD to \$23.56 USD; the price reported above reflects the weighted average sale price.
- (3) 7-Year Grant; 20% Vesting every year for 5 years w/2 open years beginning on 20-Aug-2015.
- (4) The option to purchase shares is fully vested.
- (5) 7-Year Grant; 20% Vesting every year for 5 years w/2 open years beginning on 15-Aug-2014.
- (6) 7-Year Grant; 20% Vesting every year for 5 years w/2 open years beginning on 18-Aug-2017.
- (7) 7-Year Grant; 20% Vesting every year for 5 years w/2 open years beginning on 20-Aug-2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.