Brody Elliot J. Form 4 April 16, 2013

## FORM 4

#### **OMB APPROVAL**

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16.

Washington, D.C. 20549

January 31, Expires: 2005

Form 4 or Form 5 obligations STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* Brody Elliot J.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Last)

(First) (Middle) CCOM Group, Inc. [CCOM]

(Check all applicable)

17556 LAKE ESTATES DRIVE

(Street)

3. Date of Earliest Transaction (Month/Day/Year)

Director \_ 10% Owner Officer (give title Other (specify below)

04/12/2013

6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

BOCA RATON, FL 33496

| (City)                               | (State)                                 | (Zip) Tabl  | e I - Non-D                            | Derivative S   | Securi | ties Ac  | quired, Disposed   | of, or Benefici   | ally Owned                                 |
|--------------------------------------|---|---|--|--|--------|--|--|---|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) Price |        | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Common<br>Stock                      | 04/12/2013                              |   | P                                      | 43,660   | A      | \$<br>0.6  | 196,872  | I   | By the Elliot J. Brody Revocable Trust (1) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

### Edgar Filing: Brody Elliot J. - Form 4

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative            | 2. Conversion                                     | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if | 4.<br>Transacti    | 5.<br>iorNumber   | 6. Date Exer<br>Expiration D |                    | 7. Title and A Underlying S |                                     | 8. Pric<br>Deriva  |
|-----------------------------------|---|--------------------------------------|-------------------------------|--------------------|---|------------------------------|--------------------|-----------------------------|-------------------------------------|--------------------|
| Security (Instr. 3)               | or Exercise<br>Price of<br>Derivative<br>Security |                                      | any<br>(Month/Day/Year)       | Code<br>(Instr. 8) | of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | (Month/Day,                  |                    | (Instr. 3 and               |                                     | Securit<br>(Instr. |
|                                   |   |                                      |                               | Code V             | (A) (D)   | Date<br>Exercisable          | Expiration<br>Date | Title                       | Amount<br>or<br>Number<br>of Shares |                    |
| Convertible<br>Preferred<br>Stock | <u>(2)</u>  |                                      |                               |                    |   | (3)                          | <u>(4)</u>         | Common<br>Stock             | 47,768                              |                    |

## **Reporting Owners**

| Reporting Owner Name / Address                                      | Relationships |           |         |       |  |  |  |
|---|---------------|-----------|---------|-------|--|--|--|
|   | Director      | 10% Owner | Officer | Other |  |  |  |
| Brody Elliot J.<br>17556 LAKE ESTATES DRIVE<br>BOCA RATON, FL 33496 |               | X         |         |       |  |  |  |

## **Signatures**

Elliot J. Brody 04/16/2013

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Elliot J. Brody ("Brody") is the settlor of the Elliot J. Brody Revocable Trust ("Trust"). Mr. Brody has the sole voting and investment power over all the shares of common stock (the "Common Shares") of CCOM Group, Inc. (the "Company") and over all the shares of
- (1) convertible preferred stock of the Company (the "Convertible Preferred Stock," and together with the Common Shares, the "Securities") that are owned by the Trust and is deemed to be the beneficial owner of the Securities under Section 13(d) of the Securities and Exchange Act of 1934. Mr. Brody has a pecuniary interest in the Securities through his unilateral right to revoke the Trust.
- (2) Conversion to Common Stock on a 1 to 1 basis.
- (3) Immediately.
- (4) There is no expiration date for Convertible Preferred Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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