Edgar Filing: Romano Paul Anthony JR - Form 4/A

Romano Paul Form 4/A December 29	•										
FORM	1									PPROVAL	
	UNITE	D STATE		ITIES A hington,			NGE (COMMISSION	OMB Number:	3235-0287	
subject to				NGES IN BENEFICIAL OWN					Expires:	January 31, 2005	
								NERSHIP OF	Estimated a	average	
Section 16. Form 4 or				SECURITIES					burden hours per response 0.		
Form 5	Filed p	oursuant to	Section 10	6(a) of the	e Securiti	ies E	xchang	e Act of 1934,	response	0.5	
obligatior may conti	¹⁸ Section 1	7(a) of the	Public Ut	ility Hold	ling Com	pany	Act of	f 1935 or Section	n		
See Instru		30(h)) of the In	vestment	Compan	y Act	t of 194	40			
1(b).											
(Print or Type Responses)											
1. Name and Address of Reporting Person *2. IssuerRomano Paul Anthony JRSymbol				er Name and Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer			
	·		-	Financial Holdings, Inc. [AFH]				(Chashall anglischis)			
(Last)	(First)	(Middle)	3. Date of	Earliest Tra	ansaction			(Chec	k all applicable	e)	
			Day/Year)				Director 10% Owner				
1103 KING EDWARD 11/			11/08/20	11/08/2017				XOfficer (give titleOther (specify below)			
								Vice President			
Filed(Mon			ndment, Date Original				6. Individual or Joint/Group Filing(Check				
			Month/Day/Year) 3/2017				Applicable Line) _X_ Form filed by One Reporting Person				
11/13/2017 _X_ Form filed by One Reporting Person ST. CHARLES, IL 60174 Form filed by More than One Reporting Person											
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	Securi	ties Acc	uired, Disposed of	f, or Beneficial	ly Owned	
1.Title of Security	2. Transaction Date 2A. Dec (Month/Day/Year) Executi		emed 3.		4. Securities Acquired on(A) or Disposed of				6. Ownership Form: Direct		
(Instr. 3) any			Code (D)				Beneficially	(D) or	Beneficial		
		(Month	(Day/Year) (Instr. 8) (Instr. 3, 4 and 5)				5)	Owned Following	Indirect (I) (Instr. 4)	Ownership (Instr. 4)	
						Reported	(11047.1)	(
						or		Transaction(s) (Instr. 3 and 4)			
				Code V	Amount	(D)	Price	(msu. 5 and 4)			
Common Shares	11/08/2017			S	9,477 (2)	D	\$ 20.1 (1)	75,486 <u>(3)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. iorNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	;	Date	Amou Unde Secur	le and unt of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
				Code V	7 (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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Reporting Owners

Reporting Owner Name / Addres	s	Relationships							
	Director	10% Owner	Officer	Other					
Romano Paul Anthony JR 1103 KING EDWARD ST. CHARLES, IL 60174			Vice President						
Signatures									
Diana Jenkins	12/29/2017								

**Signature of

Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Average Price
- The original filing dated 11/13/2017 indicated disposal of 9,447 common shares (transaction date 11/8/2017). It was found that there was (2)a clerical error in reporting this transaction. The actual number of shares disposed of was 9,477.
- (3) This balance is as of the date of this 4A filing, 12/29/2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.