

ARCHER TIMOTHY
Form 4
June 07, 2012

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
ARCHER TIMOTHY

(Last) (First) (Middle)

C/O LAM RESEARCH CORPORATION, 4650 CUSHING PARKWAY

(Street)

FREMONT, CA 94538

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
LAM RESEARCH CORP [LRCX]

3. Date of Earliest Transaction (Month/Day/Year)
06/04/2012

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
 Officer (give title below) ___ Other (specify below)
Chief Operating Officer

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount or (D) Price		
Common Stock	06/04/2012		A		145,468 (3)	A	(1) 0 D
Common Stock	06/04/2012		A		4,227	A	(2) 0 I By 401(k)
Common Stock	06/04/2012		A		500	A	(2) 150,195 (3) I By Spouse 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form

SEC 1474 (9-02)

displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 36.29	06/04/2012		A	22,500		11/15/2005	12/18/2013	Common Stock	22,500
Stock Option (right to buy)	\$ 27.77	06/04/2012		A	18,000		11/15/2005	06/11/2014	Common Stock	18,000
Stock Option (right to buy)	\$ 24.43	06/04/2012		A	33,750		12/16/2008	12/16/2014	Common Stock	33,750
Stock Option (right to buy)	\$ 29.68	06/04/2012		A	73,125		12/14/2010	12/14/2016	Common Stock	73,125
Stock Option (right to buy)	\$ 23.25	06/04/2012		A	56,250		12/13/2011	12/13/2017	Common Stock	56,250
Stock Option (right to buy)	\$ 11.09	06/04/2012		A	42,188		<u>(9)</u>	12/18/2018	Common Stock	42,188
Stock Option (right to buy)	\$ 21.28	06/04/2012		A	84,375		<u>(11)</u>	12/17/2019	Common Stock	84,375
Stock Option (right to buy)	\$ 29.34	06/04/2012		A	40,500		<u>(13)</u>	12/16/2020	Common Stock	40,500

buy)										
Stock										
Option	\$ 22.01	06/04/2012	A	45,000	12/15/2009	12/15/2015	Common	45,000		
(right to							Stock			
buy)										

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ARCHER TIMOTHY C/O LAM RESEARCH CORPORATION 4650 CUSHING PARKWAY FREMONT, CA 94538			Chief Operating Officer	

Signatures

Kym Hall by Power of Attorney
06/07/2012

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Acquired in connection with the merger of BLMS Inc. ("Merger Sub"), a wholly owned subsidiary of Lam Research Corporation ("Lam Research"), with and into Novellus Systems, Inc. ("Novellus"), pursuant to the terms of the Agreement and Plan of Merger by and among Merger Sub, Lam Research and Novellus dated December 14, 2011 (the "Merger"). In connection with the Merger, Mr. Archer received 145,468 shares of Lam Research common stock in exchange for 129,306 shares of Novellus common stock. On June 4, 2012, the effective date of the Merger, the closing price of Lam Research's common stock was \$35.99 and the closing price of Novellus' common stock was \$40.39 per share.
- (2) Acquired in connection with the Merger. The indirect holdings of the 401(k) are held in the Company unitized stock fund.
- (3) Amount reported includes shares subject to unvested Restricted Stock Units.
- (4) Received in the Merger in exchange for an employee stock option to acquire 20,000 shares of Novellus common stock for \$40.82 per share.
- (5) Received in the Merger in exchange for an employee stock option to acquire 16,000 shares of Novellus common stock for \$31.24 per share.
- (6) Received in the Merger in exchange for an employee stock option to acquire 30,000 shares of Novellus common stock for \$27.48 per share.
- (7) Received in the Merger in exchange for an employee stock option to acquire 65,000 shares of Novellus common stock for \$33.39 per share.
- (8) Received in the Merger in exchange for an employee stock option to acquire 50,000 shares of Novellus common stock for \$26.15 per share.
- (9) The option vests fully on August 18, 2012.
- (10) Received in the Merger in exchange for an employee stock option to acquire 37,500 shares of Novellus common stock for \$12.47 per share.
- (11) The option vests in three equal annual installments beginning December 17, 2011.
- (12)

Edgar Filing: ARCHER TIMOTHY - Form 4

Received in the Merger in exchange for an employee stock option to acquire 75,000 shares of Novellus common stock for \$23.93 per share.

- (13) The option vests in four equal annual installments beginning December 16, 2011.
- (14) Received in the Merger in exchange for an employee stock option to acquire 36,000 shares of Novellus common stock for \$33.00 per share.
- (15) Received in the Merger in exchange for an employee stock option to acquire 40,000 shares of Novellus common stock for \$24.76 per share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.