Carroll Pamela Form 3 October 16, 2018

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF Expires: **SECURITIES**

January 31, 2005

Estimated average burden hours per response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Carroll Pamela

(Last)

(First) (Middle) 2. Date of Event Requiring Statement (Month/Day/Year) 10/11/2018

3. Issuer Name and Ticker or Trading Symbol

GENOCEA BIOSCIENCES, INC. [GNCA]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O GENOCEA BIOSCIENCES, INC., 100 ACORN PARK DRIVE, 5TH **FLOOR**

(Street)

(Check all applicable)

10% Owner Director _X__ Officer Other (give title below) (specify below) SVP IMMUNO-ONCOLOGY

6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

CAMBRIDGE, MAÂ 02140

(City) (State) (Zip)

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. 5. Ownership Conversion or Exercise Form of Price of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Derivative Security:

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	(1)	07/18/2026	Common Stock	60,000	\$ 4.31	D	Â
Stock Option (Right to Buy)	(2)	02/28/2027	Common Stock	20,000	\$ 4.67	D	Â
Stock Option (Right to Buy)	(3)	02/08/2028	Common Stock	70,000	\$ 0.98	D	Â

Reporting Owners

CAMBRIDGE, MAÂ 02140

Reporting Owner Name / Address	Relationships					
· · ·	Director	10% Owner	Officer	Other		
Carroll Pamela						
C/O GENOCEA BIOSCIENCES, INC.	Â	Â	SVP IMMUNO-ONCOLOGY	Â		
100 ACORN PARK DRIVE, 5TH FLOOR	11	11	71 5 11 IIVIIVICI 10-OI (COLOGI	11		

Signatures

/s/ Pamela
Carroll

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The Stock Option will vest as to 1/4th of the Shares subject to the option on the first anniversary of the vesting start date (7/18/2016) and thereafter as to 1/48th of such Shares (rounded down to the nearest whole share except for the fourth anniversary of the vesting start date, with respect to which all remaining shares will vest) on each of the next 36 consecutive monthly anniversaries following the first anniversary of the vesting start date.
- The Stock Option will vest as to 1/4th of the Shares subject to the option on the first anniversary of the vesting start date (02/28/17) and thereafter as to 1/48th of such Shares (rounded down to the nearest whole share except for the fourth anniversary of the vesting start date, with respect to which all remaining shares will vest) on each of the next 36 consecutive monthly anniversaries following the first anniversary of the vesting start date.
- (3) The Stock Option will vest as to 1/4th of the Shares subject to the option on the first anniversary of the vesting start date (02/08/2018) and thereafter as to 1/48th of such Shares (rounded down to the nearest whole share except for the fourth anniversary of the vesting start date, with respect to which all remaining shares will vest) on each of the next 36 consecutive monthly anniversaries following the first anniversary of the vesting start date.

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Remarks:

Exhibit List - Exhibit 24 Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2