

WILLIS LEASE FINANCE CORP
Form 4
January 03, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
WILLIS CHARLES F IV

2. Issuer Name and Ticker or Trading Symbol
WILLIS LEASE FINANCE CORP [wlfc]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
773 SAN MARIN DRIVE, SUITE 2215

3. Date of Earliest Transaction (Month/Day/Year)
12/29/2016

Director 10% Owner
 Officer (give title below) Other (specify below)
CEO

(Street)
NOVATO, CA 94998

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock	12/29/2016		G V	33,300 (1)	D \$ 0	604,603	D
Common Stock	12/29/2016		G V	2,046 (2)	D \$ 0	602,557	D
Common Stock						2,134,148	I CFW Partners
Common Stock						1,176	I Spouse (3)
Common Stock						6,338	I Son (4)

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Table with 10 columns: 1. Title of Derivative Security, 2. Conversion or Exercise Price of Derivative Security, 3. Transaction Date, 3A. Deemed Execution Date, 4. Transaction Code, 5. Number of Derivative Securities, 6. Date Exercisable and Expiration Date, 7. Title and Amount of Underlying Securities, 8. Price of Derivative Security, 9. Number of Derivative Securities. Includes sub-columns for Date Exercisable and Expiration Date, and Title and Number of Shares.

Reporting Owners

Table with 2 rows: Reporting Owner Name / Address and Relationships (Director, 10% Owner, Officer, Other). Includes entry for WILLIS CHARLES F IV, 773 SAN MARIN DRIVE, SUITE 2215, NOVATO, CA 94998, with relationships marked as Director, 10% Owner, and Officer (CEO).

Signatures

Charles F. Willis IV, 01/03/2017. Includes a line for the signature and another for the date, with a double asterisk indicating they are the signature and date of the reporting person.

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
(1) Gift of shares to Elizabeth Leatherman, as Trustee of The Charles F. Willis, IV 2016 Descendants' Trust dated as of November 29, 2016 (the "Descendants' Trust"). Mr. Willis does not retain any investment, voting or disposing control over the shares transferred to the Descendants' Trust.
(2) Gift of shares to Austin C. Willis, as Trustee of The Charles F. Willis, V 2016 Trust dated as of August 25, 2016 (the "CWV Trust). Mr. Willis does not retain any investment, voting, or disposing control over the shares transferred to the CWV Trust.
(3) Charlotte Montresor Willis

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(4) Charles F. Willis V UTMA Trust

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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