Edgar Filing: Altman Peter - Form 4

Alternon Date

Altman Pete Form 4	r								
November 1	3, 2018								
FORM	14						OMB AF	PROVAL	
	UNITED STAT	S SECURITIES A Washington			GE C	OMMISSION	OMB Number:	3235-0287	
Check th if no long	aer.			CIAI	0.113		Expires:	January 31, 2005	
subject to Section 1 Form 4 c	6. STATEMENT	OF CHANGES IN SECUI	BENEFT	CIAL	OWN	EKSHIP OF	Estimated a burden hour response	verage	
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940									
(Print or Type]	Responses)								
1. Name and A Altman Pete	Address of Reporting Person [*] er	2. Issuer Name and Ticker or Trading Symbol BioCardia, Inc. [BCDA]				5. Relationship of Reporting Person(s) to Issuer			
(Least)	(First) (Middle)					(Chec)	k all applicable)	
(Last)	3. Date of Earliest T (Month/Day/Year)	ransaction			_X_ Director 10% Owner				
	ARDIA, INC., 125 AY ROAD, SUITE B	11/12/2018	11/12/2018				X Officer (give title Other (specify below) below) President and CEO		
(Street) 4. If Amer Filed(Mon			ate Original ar)			6. Individual or Joint/Group Filing(CheckApplicable Line)_X_ Form filed by One Reporting Person			
SAN CARLOS, CA 94070 Form filed by More than One Reporting Person						porting			
(City)	(State) (Zip)	Table I - Non-	Derivative S	Securitie	es Acqu	iired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date2A. Deemed3.4. Securities A(Month/Day/Year)Execution Date, if any (Month/Day/Year)Transaction(A) or Dispose Code (Instr. 3, 4 and		sposed o	of (D)	Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
		Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)			
Common Stock	11/12/2018	P			5 2.35	720,592	D		
Common Stock	11/13/2018	Р	2,800	A 2 (2	2.081	723,392	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address		Relationships					
		Director	10% Owner	Officer	Other		
Altman Peter C/O BIOCARDIA, INC. 125 SHOREWAY ROAD, SU SAN CARLOS, CA 94070	JITE B	X		President and CEO			
Signatures							
la / Datan Altinan 1	1/12/201	0					

/s/ Peter Altman	11/13/2018
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**Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This transaction was executed in multiple trades at prices ranging from \$2.30 to \$2.39 per share. The price reported above reflects the
 (1) weighted average per share purchase price. The Reporting Person hereby undertakes to provide upon request to the SEC staff, the issuer or security holder of the issuer full information regarding the number of shares purchased at each separate price.
- This transaction was executed in multiple trades at prices ranging from \$2.05 to \$2.10 per share. The price reported above reflects the(2) weighted average per share purchase price. The Reporting Person hereby undertakes to provide upon request to the SEC staff, the issuer or security holder of the issuer full information regarding the number of shares purchased at each separate price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.