

CUTERA INC  
Form 4  
May 04, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
CONNORS KEVIN P

(Last) (First) (Middle)  
C/O CUTERA, INC., 3240  
BAYSHORE BLVD  
(Street)

BRISBANE, CA 94005

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
CUTERA INC [CUTR]

3. Date of Earliest Transaction  
(Month/Day/Year)  
05/02/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
President & CEO

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock	05/02/2016		M	42,123	A \$ 8.66	686,001	D
Common Stock	05/02/2016		S	42,123	D \$ 11.319	643,878	D
Common Stock	05/03/2016		M	13,172	A \$ 8.66	657,050	D
Common Stock	05/03/2016		S	13,172	D \$ 11.447	643,878	D
	05/04/2016		M	10,001	A \$ 8.66	653,879	D

Common  
Stock

Common Stock	05/04/2016		S	10,001	D	\$ 11.5 <u>(3)</u>	643,878	D
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount of Shares
Employee Stock Option (Right to Buy)	\$ 8.66	05/02/2016		M	42,123	07/01/2010 <sup>(4)</sup>	06/08/2016	Common Stock	120,000
Employee Stock Option (Right to Buy)	\$ 8.66	05/03/2016		M	13,172	11/01/2010 <sup>(4)</sup>	06/08/2016	Common Stock	120,000
Employee Stock Option (Right to Buy)	\$ 8.66	05/04/2016		M	10,001	02/01/2011 <sup>(4)</sup>	06/08/2016	Common Stock	120,000

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
CONNORS KEVIN P C/O CUTERA, INC. 3240 BAYSHORE BLVD	X		President & CEO	

BRISBANE, CA 94005

## Signatures

/s/ Kevin P.

05/04/2016

Connors

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Stock sold pursuant to a 10b5-1 trading plan for employee options that are expiring on June 8, 2016. Stock prices ranged from \$11.25 to \$11.38, with \$11.319 being the average. The reporting person undertakes to provide to Cutera, Inc., any security holder of Cutera, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

(2) Stock sold pursuant to a 10b5-1 trading plan for employee options that are expiring on June 8, 2016. Stock prices ranged from \$11.40 to \$11.705, with \$11.447 being the average. The reporting person undertakes to provide to Cutera, Inc., any security holder of Cutera, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

(3) Stock sold pursuant to a 10b5-1 trading plan for employee options that are expiring on June 8, 2016. Stock prices ranged from \$11.50 to \$11.55, with \$11.50 being the average. The reporting person undertakes to provide to Cutera, Inc., any security holder of Cutera, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

(4) Options vested according to the following schedule: 1/3rd on 06/1/2010 and 1/36th vested monthly thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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