#### MERCANTILE BANK CORP

Form 4 June 03, 2014

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number:

**OMB APPROVAL** 

3235-0287

Expires:

January 31, 2005

0.5

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if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading SULLIVAN THOMAS R Issuer Symbol MERCANTILE BANK CORP (Check all applicable) [MBWM] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner Other (specify Officer (give title (Month/Day/Year) below) C/O MERCANTILE BANK 06/01/2014 CHAIRMAN OF THE BOARD CORPORATION, 310 LEONARD STREET (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

#### GRAND RAPIDS, MI 49504

(City)	(State) (2	Table Table	I - Non-De	erivative S	ecurit	ies Acq	uired, Disposed o	of, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securiti n(A) or Dis (D) (Instr. 3, 4)	sposed	of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	06/01/2014		A	30,330	A	<u>(1)</u>	30,330	I	by IRA
Common Stock	06/01/2014		A	16,728	A	<u>(2)</u>	16,728	D	
Common Stock	06/01/2014		A	53,814	A	<u>(3)</u>	53,814	I	by 401K

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

Person

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Common Stock - right to buy)	\$ 24.4639	06/01/2014		A	1,736	06/01/2014	11/22/2014	Common Stock	1,736
Employee Stock Option (Common Stock - right to buy)	\$ 22.8662	06/01/2014		A	1,653	06/01/2014	11/28/2015	Common Stock	1,653
Employee Stock Option (Common Stock - right to buy)	\$ 22	06/01/2014		A	1,575	06/01/2014	11/27/2016	Common Stock	1,575
Employee Stock Option (Common Stock - right to buy)	\$ 16	06/01/2014		A	7,000	06/01/2014	12/03/2017	Common Stock	7,000
Employee Stock	\$ 7.8	06/01/2014		A	7,000	06/01/2014	11/24/2018	Common Stock	7,000

Option (Common Stock right to buy)

## **Reporting Owners**

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer

SULLIVAN THOMAS R C/O MERCANTILE BANK CORPORATION 310 LEONARD STREET GRAND RAPIDS, MI 49504

CHAIRMAN OF THE BOARD

Other

## **Signatures**

/s/ Bradley J. Wyatt, Attorney-in-Fact

06/03/2014

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Received in exchange for 30,330 shares of Firstbank Corporation common stock in connection with the merger of Firstbank Corporation (1) into Mercantile Bank Corporation (the "Merger"). On the effective date of the Merger, the closing price of Firstbank's common stock was \$21.59 per share and the closing price of Mercantile's common stock was \$21.43 per share.
- (2) Received in exchange for 16,728 shares of Firstbank Corporation common stock in connection with the Merger.
- (3) Received in exchange for 53,814 shares of Firstbank Corporation common stock in connection with the Merger.
- (4) Received in the Merger in exchange for an employee stock option to acquire 1,736 shares of Firstbank Corporation common stock for \$24.4639 per share.
- (5) Received in the Merger in exchange for an employee stock option to acquire 1,653 shares of Firstbank Corporation common stock for \$22.8662 per share.
- (6) Received in the Merger in exchange for an employee stock option to acquire 1,575 shares of Firstbank Corporation common stock for \$22.00 per share.
- (7) Received in the Merger in exchange for an employee stock option to acquire 7,000 shares of Firstbank Corporation common stock for \$16.00 per share.
- (8) Received in the Merger in exchange for an employee stock option to acquire 7,000 shares of Firstbank Corporation common stock for \$7.80 per share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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