Edgar Filing: Super Micro Computer, Inc. - Form 4

| Super Micro Form 4 March 03, 2 | o Computer, Inc. | | | | | | | | | | |
|--|------------------------|---|---------------------------------------|--------------------------|------------|-----------|----------------------|---|--|---|--|
| | | | | | | | | OMB APPROVAL | | | |
| UNITED STATES SECURITIES AND EXCHANGE COMMISSION | | | | | | | | OMB Number: | 3235-0287 | | |
| Check t | | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES | | | | | | | | | |
| if no lor subject Section Form 4 Form 5 | to STATEN 16. or | | | | | | | | | | |
| Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | | | | |
| (Print or Type Responses) | | | | | | | | | | | |
| Hideshima Howard Symbol | | | Symbol | l I | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| | | | Super Micro Computer, Inc. [SMCI] | | | | | (Check all applicable) | | | |
| | | | of Earliest Transaction /Day/Year) | | | | Director 10% Owner | | | | |
| 980 ROCK AVE. 02/28/ | | | | /2014 | | | | _X_ Officer (give title Other (specify below) below) Chief Financial Officer | | | |
| | | | | Ionth/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | |
| | E, CA 95131 | | | | | | Ē | Form filed by Mo Person | ore than One Rep | porting | |
| (City) | (State) | (Zip) | Tab | ole I - Non- | Derivative | Secu | rities Acqui | red, Disposed of, | or Beneficiall | y Owned | |
| 1.Title of Security2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, any (Month/Day/Year) | | | Date, if | Code (Instr. 3, 4 and 5) | | | | Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| ~ | | | | Code V | Amount | or (D) | Price | Transaction(s) (Instr. 3 and 4) | (Instr. 4) | | |
| Common Stock | 02/28/2014 | | | М | 8,037 | А | \$ 5.53 | 8,037 | D | | |
| Common Stock | 02/28/2014 | | | М | 4,964 | А | \$ 5.53 | 13,001 | D | | |
| Common Stock | 02/28/2014 | | | S <u>(1)</u> | 13,001 | D | \$ 20.5519 (2) | 0 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form

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displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | Transaction of Derivative Code Securities | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--|--|---------------------|--|-----------------|---|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Stock Option (right to buy) | \$ 5.53 | 02/28/2014 | | М | 8,037 | (3) | 04/29/2019 | Common Stock | 8,037 | |
| Stock Option (right to buy) | \$ 5.53 | 02/28/2014 | | М | 4,964 | (3) | 04/29/2019 | Common Stock | 4,964 | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|---|---------------|-----------|-------------------------|-------|--|--|--|--|
| reporting officer (unit) (rear cos | Director | 10% Owner | Officer | Other | | | | |
| Hideshima Howard 980 ROCK AVE. SAN JOSE, CA 95131 | | | Chief Financial Officer | | | | | |
| Signatures | | | | | | | | |

Howard Hideshima 03/03/2014 **Signature of Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reported sale was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on November 25, 2013.
- (2) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$20.26 to \$20.89, inclusive. The reporting person undertakes to provide to Super Micro Computer, Inc., any security holder of Super Micro Computer, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares

Reporting Person

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sold at each separate price within the ranges set forth in footnote (2) to this Form 4.

(3) The vesting commencement date is April 29, 2009. The option is exercisable at the rate of 1/4th of the shares on the first anniversary of the vesting commencement date and 1/16th at the end of each successive calendar quarter thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.