#### **COLUMBUS MCKINNON CORP**

Form 4 May 28, 2008

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

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**OMB APPROVAL** 

response...

subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

**PARKWAY** 

(Print or Type Responses)

1. Name and Address of Reporting Person \* Steinberg Richard A

2. Issuer Name and Ticker or Trading

Issuer

below)

Symbol COLUMBUS MCKINNON CORP

(Check all applicable)

5. Relationship of Reporting Person(s) to

[CMCO]

(Last) (First) 3. Date of Earliest Transaction (Month/Day/Year)

Director \_X\_\_ Officer (give title

10% Owner Other (specify

140 JOHN JAMES AUDUBON

(Middle)

05/19/2008

V.P. - Human Resources

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

AMHERST, NY 14228-1197

(Street)

(City)	(State)	(Zip) Tab	ole I - Non-	Derivative	e Secu	rities A	acquired, Dispos	ed of, or Bene	ficially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. SecurionAcquirect Disposed (Instr. 3,	l (A) o	<b>)</b> )	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	or	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)	
Common Stock	05/19/2008		A	690 (1)	A	\$0	690	D	
Common Stock	05/19/2008		A	918 (2)	A	\$0	1,608	D	
Common Stock							219 (3)	D	
Common Stock							843,616	Ι	Additional shares held ESOP:

by

reporting

### Edgar Filing: COLUMBUS MCKINNON CORP - Form 4

person is 1 of 3 trustees; DISCLAIMS beneficial ownership.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number conf Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Incentive Stock Options (Right to Buy)	\$ 21.61					10/16/2006	10/15/2016	Common Stock	45,000
Incentive Stock Options (Right to Buy)	\$ 28.45	05/19/2008		A	1,307	<u>(5)</u>	05/18/2018	Common Stock	1,307

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Steinberg Richard A			V.P			
140 JOHN JAMES AUDUBON PARKWAY			Human			
AMHERST, NY 14228-1197			Resources			

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## **Signatures**

Richard A. Steinberg 05/28/2008

\*\*Signature of
Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents restricted stock issued to reporting person under the Columbus McKinnon Corporation 2006 Long Term Incentive Plan dated (1) as of May 4, 2006, subject to forfeiture; shares become fully vested and non-forfeitable 33.33% on May 19, 2011, 33.33% on May 19, 2012 and 33.33% on May 19, 2013, if reporting person remains an employee of issuer.
- Represents restricted stock issued to reporting person under the Columbus McKinnon Corporation 2006 Long Term Incentive Plan dated as of May 4, 2006, subject to forfeiture in whole or part; shares become fully vested and non-forfeitable 33.33% on May 19, 2012, 33.33% on May 19, 2013 and 33.33% on May 19, 2014, if reporting person remains an employee of issuer and achieves performance
- (3) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (4) Exercisable 25% per year for four years, beginning 10/16/06, subject to IRS limitations
- (5) Represents incentive stock options issued to reporting person under the Columbus McKinnon Corporation 2006 Long Term Incentive Plan dated as of May 4, 2006; options become exercisable 25% per year, beginning 5/19/09 for the next 4 years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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