

DAVIS DON H JR
Form 4
February 02, 2005

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
DAVIS DON H JR

2. Issuer Name and Ticker or Trading Symbol
ROCKWELL AUTOMATION INC
[ROK]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
02/01/2005

Director 10% Owner
 Officer (give title below) Other (specify below)

777 EAST WISCONSIN AVENUE, SUITE 1400

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

MILWAUKEE, WI 53202

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code V Amount (A) or (D) Price			
Common Stock	02/01/2005		M	133,334 A \$ 20.349	231,133	D	
Common Stock	02/01/2005		S	133,334 D 20.349	97,799	D	
Common Stock	02/01/2005		M	4,266 A \$ 15.5	102,065	D	
Common Stock	02/01/2005		M	1,200 A \$ 27.75	103,265	D	
Common Stock	02/01/2005		A	311 (2) A \$ 57.9	103,576	D	

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Common Stock	02/01/2005		M	50,000	A	\$ 20.349	50,000	I	By Davis Family Limited Partnership ⁽³⁾
Common Stock	02/01/2005		S	50,000	D	<u>(4)</u>	0	I	By Davis Family Limited Partnership ⁽³⁾
Common Stock							14,309.0539	I ⁽⁵⁾	By Savings Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title
Employee stock option (right to buy)	\$ 20.349	02/01/2005		M	133,334	10/04/2000	10/04/2009	Common Stock
Employee stock option (right to buy)	\$ 20.349	02/01/2005		M	50,000	10/04/2000	10/04/2009	Common Stock
Employee stock option (right to buy)	\$ 15.5	02/01/2005		M	4,266	10/07/2003 ⁽⁶⁾	10/07/2012	Common Stock
Employee stock option (right to buy)	\$ 15.5					10/07/2003	10/07/2012	Common Stock

buy)

Employee stock option (right to buy)	\$ 27.75	02/01/2005	M	1,200	10/06/2004 ⁽⁷⁾	10/06/2013	Common Stock
Common Stock Share Equivalents	(8)				(9)	(9)	Common Stock 2

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
DAVIS DON H JR 777 EAST WISCONSIN AVENUE SUITE 1400 MILWAUKEE, WI 53202	X			

Signatures

K. A. Balistreri, Attorney-in-Fact for Don H. Davis, Jr. 02/02/2005

**Signature of Reporting Person
Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - (1) Sale prices ranged from \$57.75 to \$58.02
 - (2) Restricted shares delivered as director annual retainer under the 2003 Directors Stock Plan.
 - (3) Reporting person disclaims beneficial ownership of the reported securities except to the extent of his pecuniary interest therein.
 - (4) Sale prices ranged from \$57.75 to \$58.02.
 - (5) Shares represented by Company stock fund units under the Company's Savings Plan which were acquired on a periodic basis pursuant to the Plan, based on information furnished by the Plan Administrator as of 12/31/2004.
 - (6) 28,733 shares are currently exercisable and 116,668 shares become exercisable on 10/07/05.
 - (7) The option vests in three substantially equal annual installments beginning on the date exercisable.
- Share equivalents represented by Company stock fund units credited under the Company's nonqualified savings plan based on information furnished by the Plan Administrator as of 12/31/2004. The number of share equivalents represented by the balance of a
- (8) participant's Company stock fund account may not exactly equal the number of share equivalents represented by a prior balance plus additions due to variances in the proportion of uninvested cash held in the reference fund used to determine unit values of the Company stock fund under the plan.
 - (9) The share equivalents are payable in cash upon retirement or after termination of employment.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.