

ROCKWELL AUTOMATION INC

Form 4

February 01, 2005

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
MCCORMICK WILLIAM T JR/WI

2. Issuer Name **and** Ticker or Trading
Symbol

ROCKWELL AUTOMATION INC
[ROK]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

777 EAST WISCONSIN
AVENUE, SUITE 1400

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
01/31/2005

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

MILWAUKEE, WI 53202

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
Common Stock	01/31/2005		M		1,000	A	\$ 18.3703	18,221 <u>(1)</u>	D
Common Stock	01/31/2005		M		1,140	A	\$ 18.3105	19,361 <u>(1)</u>	D
Common Stock	01/31/2005		M		1,000	A	\$ 17.8539	20,361 <u>(1)</u>	D
Common Stock	01/31/2005		M		1,213	A	\$ 16.1644	21,574 <u>(1)</u>	D
Common Stock	01/31/2005		S		4,353	D	<u>(2)</u>	17,221 <u>(1)</u>	D

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date Date	Title Amount or Number of Shares
Director stock option (right to buy)	\$ 16.1644	01/31/2005		M	1,213	02/07/1997 02/07/2006	Common Stock 1,213
Director stock option (right to buy)	\$ 18.3105	01/31/2005		M	1,140	02/05/1998 02/05/2007	Common Stock 1,140
Director stock option (right to buy)	\$ 18.3703	01/31/2005		M	1,000	02/04/1999 02/04/2008	Common Stock 1,000
Director stock option (right to buy)	\$ 17.8539	01/31/2005		M	1,000	02/03/2000 02/03/2009	Common Stock 1,000
Director stock option (right to buy)	\$ 18.7986					02/02/2001 02/02/2010	Common Stock 1,000
Director stock	\$ 18.1746					02/07/2002 02/07/2011	Common Stock 1,000

option
(right to
buy)

Director
stock

option \$ 16.05
(right to
buy)

07/31/2002

07/31/2011

Common
Stock

7,000

Director
stock

option \$ 18.05
(right to
buy)

02/06/2003⁽³⁾

02/06/2012

Common
Stock

1,000

Director
stock

option \$ 22.76
(right to
buy)

02/05/2004⁽³⁾

02/05/2013

Common
Stock

1,000

Director
stock

option \$ 30.22
(right to
buy)

02/04/2005⁽³⁾

02/04/2014

Common
Stock

1,500

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MCCORMICK WILLIAM T JR/WI 777 EAST WISCONSIN AVENUE SUITE 1400 MILWAUKEE, WI 53202	X			

Signatures

K. A. Balistreri, Attorney-in-Fact for William T.
McCormick, Jr.

02/01/2005

____Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) 8,821 shares are held by the Company to implement restrictions on transfer unless and until certain conditions are met.

(2) Sale proceeds ranged from \$56.60 to \$56.78.

(3) The option vests in three substantially equal annual installments beginning on the date exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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